Logic for Computer Scientists

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Contents

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1 Datalog

[no textbook reference]

1.1 Informal Examples

1.1 Example
We want to formalize the following statements.

- Marian is the mother of Michelle.
- Craig is the brother of Michelle.
- Ann is the mother of Barack.
- Barack is the father of Malia.
- Michelle is the mother of Malia.
- Barack is the father of Natasha.
- Michelle is the mother of Natasha.
- Craig is male.
- Natasha is female.

We can write these as so-called *Datalog facts*:

$$\mathrm{motherOf}(\mathrm{marian}, \mathrm{michelle}) \tag{1}$$
$$\mathrm{brotherOf}(\mathrm{craig}, \mathrm{michelle}) \tag{2}$$
$$\mathrm{motherOf}(\mathrm{ann}, \mathrm{barack}) \tag{3}$$
$$\mathrm{fatherOf}(\mathrm{barack}, \mathrm{malia}) \tag{4}$$
$$\mathrm{motherOf}(\mathrm{michelle}, \mathrm{malia}) \tag{5}$$
$$\mathrm{fatherOf}(\mathrm{barack}, \mathrm{natasha}) \tag{6}$$
$$\mathrm{motherOf}(\mathrm{michelle}, \mathrm{natasha}) \tag{7}$$
$$\mathrm{male}(\mathrm{craig}) \tag{8}$$
$$\mathrm{female}(\mathrm{natasha}) \tag{9}$$

Say, we also want to formalize the following.

- Every father of a person is also a parent of that person.

- Every mother of a person is also a parent of that person.
- If somebody is the mother of another person, who in turn is the parent of a third person, then this first person is the grandmother of this third person.
- If a person is the brother of another person, and this other person is the parent of a third person, then this first person is the uncle of this third person.
- Every father is male.

We can write these as so-called *Datalog rules*:

$$\text{fatherOf}(x, y) \to \text{parentOf}(x, y) \tag{10}$$
$$\text{motherOf}(x, y) \to \text{parentOf}(x, y) \tag{11}$$
$$\text{motherOf}(x, y) \wedge \text{parentOf}(y, z) \to \text{grandmotherOf}(x, z) \tag{12}$$
$$\text{brotherOf}(x, y) \wedge \text{parentOf}(y, z) \to \text{uncleOf}(x, z) \tag{13}$$
$$\text{fatherOf}(x, y) \to \text{male}(x) \tag{14}$$

If we take all statements (1) to (14) together, then we can derive new knowledge, which is *implicit* in these statements, e.g. the following.

$$\text{from (4) and (10):} \qquad \text{parentOf}(\text{barack}, \text{malia}) \tag{15}$$
$$\text{from (2), (7), (11) and (13):} \qquad \text{uncleOf}(\text{craig}, \text{natasha}) \tag{16}$$
$$\text{from (3), (15) and (12):} \qquad \text{grandmotherOf}(\text{ann}, \text{malia}) \tag{17}$$

Note that we reused (15) to derive (17). Derived knowledge can be used to derive even further knowledge.

8/30/12

1.2 Example

Consider the following sentences.

- Every human is mortal.
- Socrates is a human.

We can write these in Datalog as follows.

$$\text{human}(x) \to \text{mortal}(x)$$
$$\text{human}(\text{socrates})$$

From these two rules we can derive

$$\text{mortal}(\text{socrates}).$$

1.3 Example
Consider the following facts.

$$\begin{aligned}&\text{newsFrom}(\textit{Merkel is Chancellor}, \text{berlin})\\&\text{newsFrom}(\textit{Obamacare is constitutional}, \text{dc})\\&\quad\vdots\end{aligned}$$

And furthermore assume there is a set of facts about locations of cities.

$$\begin{aligned}&\text{locatedIn}(\text{berlin}, \text{germany})\\&\text{locatedIn}(\text{dc}, \text{usa})\\&\quad\vdots\end{aligned}$$

We can also state the following Datalog rule.

$$\text{newsFrom}(x, y) \wedge \text{locatedIn}(y, z) \rightarrow \text{newsFrom}(x, z)$$

Derived knowledge is then, e.g., the following.

$$\begin{aligned}&\text{newsFrom}(\textit{Merkel is Chancellor}, \text{germany})\\&\text{newsFrom}(\textit{Obamacare is constitutional}, \text{usa})\end{aligned}$$

1.4 Example
In Datalog, we can state, e.g., that locatedIn is *transitive*:

$$\text{locatedIn}(x, y) \wedge \text{locatedIn}(y, z) \rightarrow \text{locatedIn}(x, z)$$

Exercise 1 (hand-in) Can you derive the following from (1) to (14)? Justify your answers.

(a) Michelle is a parent of Malia.

(b) Ann is a grandmother of Natasha.

Exercise 2 (hand-in) Write the following sentences as Datalog rules.

(a) Every mother is female.

(b) If somebody is the father of a female person, then that female person is the daughter of this father.

(c) If a person is the daughter of somebody's daughter, then this first person is the granddaughter of this "somebody."

Exercise 3 (hand-in) In the context of (1) to (14), write Datalog rules

(a) which define what an aunt is

(b) and which define what a niece is.

Explain your answers.

Exercise 4 In the context of (1) to (14),

(a) define siblingOf and

(b) state that siblingOf is symmetric.

Explain your answers.

1.5 Example
We can write directed graphs as Datalog facts, e.g., as follows. If $V = \{a, b, c, d\}$ is the set of vertices of the graph, and $E = \{(a, b), (b, b), (b, c), (d, d), (d, a), (d, b)\}$ is the set of edges of the graph (see Figure 1), then we can write it as follows.

$$\begin{gathered}\text{edge}(a, b)\\ \text{edge}(b, b)\\ \text{edge}(b, c)\\ \text{edge}(d, d)\\ \text{edge}(d, a)\\ \text{edge}(d, b)\end{gathered}$$

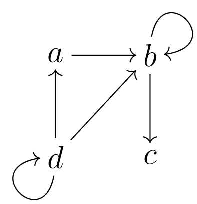

Figure 1: Figure for Example 1.5.

We can now formally define what it means that there is a path from a vertex to another:

$$\begin{aligned}\text{edge}(x, y) &\rightarrow \text{path}(x, y)\\ \text{path}(x, y) \wedge \text{path}(y, z) &\rightarrow \text{path}(x, z)\end{aligned}$$

Then we can derive, e.g., the following.

$$\begin{gathered}\text{path}(a, b)\\ \text{path}(b, c)\\ \text{path}(a, c)\end{gathered}$$

We can also specify that two edges are connected if there is a path in either direction.

$$\begin{aligned}\text{path}(x, y) &\rightarrow \text{connected}(x, y)\\ \text{connected}(x, y) &\rightarrow \text{connected}(y, x)\end{aligned}$$

Then we can derive, e.g., the following.

$$\text{connected}(a, b)$$
$$\text{connected}(b, a)$$
$$\text{connected}(a, c)$$
$$\text{connected}(c, a)$$

Exercise 5 A vertex v in a graph is *self-connected* if there is a path from v to v in the graph.
By extending the Datalog facts and rules from Example 1.5, complete the datalog rule

$$\cdots \to \text{sc}(x)$$

such that a vertex v is self-connected if and only if $\text{sc}(v)$ can be derived. Justify your answer.

1.2 Syntax and Formal Semantics

1.6 Definition
A *Datalog language* $L = (V, C, R)$ consists of the following.

- A finite set V of *variables*: $x_1, x_2, \ldots, x_n$ (also y, z, ...).
- A finite non-empty set C of *constants*: $a, b, c, \ldots$
- A finite non-empty set R of *predicate symbols*: $p_1, p_2, \ldots$ (also q, r, ...), each with an *arity* ($\in\mathbb{N}$) (number of parameters).

An *atom* (or *atomic formula*) is of the form

$$p(v_1, \ldots, v_n),$$

where p is a predicate symbol of arity n and each of the v_i is either a constant or a variable. An atom is called a *ground atom* if all the v_i are constants.

1.7 Example
Let L consist of constants a, b, of variables x, y, and of predicate symbols p with arity 1 and q with arity 2.
Then the following are examples for atomic formulas over L.

$$p(a), \qquad p(y), \qquad q(a,b), \qquad q(b,b), \qquad q(b,x), \qquad q(y,y)$$

Of these, $p(a)$, $q(a,b)$ and $q(b,b)$ are ground atoms.
The following are *not* atomic formulas over L:

$$p(a,b), \qquad q(x), \qquad p(c), \qquad a(x)$$

Exercise 6 (hand-in) Let $L = (V, C, R)$ with $V = \{w, y\}$, $C = \{d, e\}$ and $R = \{r, s\}$ where r has arity 1 and s has arity 2. Which of the following are atoms over L? Which are ground atoms? Justify your answers.

(a) $d(w, w)$

(b) $r(d, e)$

(c) $s(w, w)$

(d) $r(y)$

Exercise 7 (hand-in) Let $L = (V, C, R)$ with $V = \{x, y\}$, $C = \{\text{barack}, \text{michelle}, \text{craig}, \text{malia}\}$ and $R = \{\text{motherOf}, \text{parentOf}, \text{grandmotherOf}\}$, all with arity 2.
Which of the Datalog facts (1) to (9) from Example 1.1 are atoms over L? Justify your answers.

1.8 Definition
A *Datalog rule* is a statement of the form

$$B_1 \wedge \cdots \wedge B_n \to A,$$

where the B_i and A are atoms. $B_1 \wedge \cdots \wedge B_n$ is called the *body* of the rule, each B_i is called a *body atom* of the rule, and A is called the *head* of the rule.
A rule with $n = 0$, i.e. with no body, is called a *fact*, and the arrow is omitted in this case.
A *Datalog program* is a set of Datalog rules.

9/4/12

1.9 Example
The following are examples of Datalog rules.

$$\text{newsFrom}(x, y) \wedge \text{locatedIn}(y, z) \to \text{newsFrom}(x, z)$$
$$p(a, x) \wedge q(x, y) \to r(a, x, y)$$
$$p_2(a) \wedge p_3 \to q_2(a)$$

Note that in this example, p_3 is a predicate symbol of arity 0.

1.10 Example
The statements (1) to (14) from Example 1.1 constitute a Datalog program. Statements (1) to (9) are facts.

Exercise 8 Write a Datalog program which captures the following natural language sentences.

(a) Every human being has a parent.

(b) If somebody is an orphan, then all his parents are dead.

(c) Every orphan is a human being.

(d) Somebody's father is also that person's parent.

(e) Harry Potter is an orphan.

(f) James Potter is the father of Harry Potter.

1.11 Definition
Given a Datalog language L and a Datalog program P over L, a *Herbrand interpretation* for P is a set of ground atoms over L.

1.12 Example
Consider P to consist only of the following statements from Example 1.1 (with abbreviated notation, c, m, n are constants).

$$\begin{aligned} \mathrm{mOf}(x, y) &\to \mathrm{pOf}(x, y) \\ \mathrm{bOf}(x, y) \wedge \mathrm{pOf}(y, z) &\to \mathrm{uOf}(x, y) \\ &\mathrm{bOf}(c, m) \\ &\mathrm{mOf}(m, n) \end{aligned}$$

Then the following are examples for Herbrand interpretations.

$$\begin{aligned} I_1 &= \{\mathrm{bOf}(c, m), \mathrm{mOf}(m, n), \mathrm{pOf}(m, n), \mathrm{uOf}(c, n)\} \\ I_2 &= \{\mathrm{bOf}(m, c), \mathrm{mOf}(c, n), \mathrm{pOf}(m, n), \mathrm{uOf}(n, c)\} \end{aligned}$$

1.13 Example
Some examples for interpretations of the following Datalog program, where a, b, c, d are constants.

$$\begin{aligned} &p(a, b) \\ &p(b, c) \\ &p(c, a) \\ &p(d, d) \\ p(x, y) &\to q(x, y) \\ q(x, y) \wedge q(y, z) &\to q(x, z) \\ q(x, y) &\to r(x, y) \\ r(x, y) &\to r(y, x) \\ r(x, x) &\to t(x) \end{aligned}$$

are the following.

$$\begin{aligned} I_1 &= \{p(c, a), p(c, b), t(a)\} \\ I_2 &= \emptyset \\ I_3 &= \{p(a, b), p(b, c), p(c, a), p(d, d), q(a, b), r(a, b), r(b, a), t(a), t(b)\} \end{aligned}$$

Exercise 9 (hand-in) Give three distinct Herbrand interpretations for the following Datalog program, where a, b are constants.

$$\begin{aligned} q(a) \\ p(b) \\ q(x) \rightarrow p(x) \\ q(y) \wedge p(y) \rightarrow r(b) \end{aligned}$$

1.14 Definition
A *substitution* $[x_1/c_1, \ldots, x_n/c_n]$, where the x_i are variables and the c_i are constants, is a mapping which maps each Datalog rule R to the formula $R[x_1/c_1, \ldots, x_n/c_n]$, which is obtained from R by replacing all occurrences of x_i by c_i, for all $i = 1, \ldots, n$.

1.15 Example
In the following, a, b, c, d are constants, while x, y, z are variables.

$$(p(x,y) \wedge q(y,z) \rightarrow r(x,z))[x/a, z/b] = p(a,y) \wedge q(y,b) \rightarrow r(a,b) \quad (18)$$
$$(q(x) \wedge r(x,y) \rightarrow p(y))[x/b, y/c] = q(b) \wedge r(b,c) \rightarrow p(c) \quad (19)$$
$$q(x,z,y)[x/b, z/b, y/a] = q(b,b,a) \quad (20)$$
$$\begin{aligned} (p(x,y) \wedge q(y,z,z) \rightarrow q(x,y))[x/b][x/a][y/c] &= (p(b,y) \wedge q(y,z,z) \rightarrow q(b,y))[x/a][y/c] \\ &= (p(b,y) \wedge q(y,z,z) \rightarrow q(b,y))[y/c] \\ &= (p(b,c) \wedge q(c,z,z) \rightarrow q(b,c)) \quad (21) \end{aligned}$$

Exercise 10 Evaluate the following.

(a) $(p(x,y,x) \wedge q(x,y,y) \wedge r(y,y) \rightarrow t(x))[x/a, y/b] = \ldots$

(b) $(p(x) \wedge q(x) \rightarrow r(x))[x/c][x/d] = \ldots$

(c) $(q(a,x) \wedge p(x,y) \wedge q(y,a) \rightarrow r(y))[x/a][x/b] = \ldots$

(d) $(p(x,x) \wedge q(x,y) \rightarrow p(x,y))[y/b][y/c][x/b] = \ldots$

1.16 Definition
A *ground rule* is a Datalog rule which contains no variables. A substitution φ for a Datalog rule R is called a *ground substitution* for R if $R\varphi$ is a ground rule.

1.17 Example
In Example 1.15, the substitutions in (19) and (20) are ground substitutions for these rules, while those in (18) and (21) are not.

Exercise 11 Which of the substitutions in Exericse 10 are ground substitutions?

1.18 Definition
Given a Datalog rule R, we define ground(R) to be the Datalog program which consists of all ground rules $R\varphi$ which can be obtained from R via a ground substitution for R. In other words,

$$\text{ground}(R) = \{R\varphi \mid \varphi \text{ is a ground substitution for } R\}.$$

Each $S \in \text{ground}(R)$ is called a *grounding* of R.
Given a Datalog program P, we define the *grounding* of P as

$$\text{ground}(P) = \bigcup_{R \in P} \text{ground}(R).$$

9/6/12

1.19 Remark
ground(P) is always a finite set if P is finite, since the underlying language L by definition has only a finite number of constants.
If L is not explicitly given, then we assume that the set C of constants in L contains exactly the constants occurring in P.

1.20 Example
For the program P in Example 1.12, ground(P) consists of the following rules.

$$\begin{aligned}
\text{mOf}(c,c) &\to \text{pOf}(c,c)\\
\text{mOf}(c,m) &\to \text{pOf}(c,m)\\
\text{mOf}(c,n) &\to \text{pOf}(c,n)\\
\text{mOf}(m,c) &\to \text{pOf}(m,c)\\
\text{mOf}(m,m) &\to \text{pOf}(m,m)\\
\text{mOf}(m,n) &\to \text{pOf}(m,n)\\
\text{mOf}(n,c) &\to \text{pOf}(n,c)\\
\text{mOf}(n,m) &\to \text{pOf}(n,m)\\
\text{mOf}(n,n) &\to \text{pOf}(n,n)\\
\text{bOf}(c,c) \wedge \text{pOf}(c,c) &\to \text{uOf}(c,c)\\
\text{bOf}(c,m) \wedge \text{pOf}(m,n) &\to \text{uOf}(c,m)\\
\text{bOf}(c,n) \wedge \text{pOf}(n,m) &\to \text{uOf}(c,n)
\end{aligned}$$

$$\vdots \qquad \text{overall 27 groundings of this rule}$$

$$\text{bOf}(c,m)$$
$$\text{mOf}(m,n)$$

Exercise 12 Give the grounding of the Datalog program from Exercise 9.

1.21 Definition
A Herbrand interpretation I of P is called a *Herbrand model* of P if the following condition holds: For every rule

$$B_1 \wedge \cdots \wedge B_n \to A$$

in ground(P) with $\{B_1, \dots B_n\} \subseteq I$, we also have $A \in I$.

1.22 Example
In Example 1.12, I_1 is a Herbrand model of P, while I_2 is not a model of P.

1.23 Example
For the Datalog program P consisting of the rules

$$\begin{aligned} p(a) \\ q(a,b) \\ q(b,c) \\ p(x) \rightarrow r(x) \\ r(x) \wedge q(x,y) \rightarrow r(y) \\ r(x) \wedge q(y,x) \rightarrow q(x,y) \end{aligned}$$

the following are Herbrand models:

$$\{p(a), q(a,b), q(b,c), r(a), r(c), q(c,b), r(b), q(b,a)\}$$
$$\{p(a), q(a,b), q(b,c), r(a), r(c), q(c,b), r(b), q(b,a), q(c,c)\}$$

However,

$$\{p(a), q(a,b), q(b,c), r(a), r(c), q(c,b), r(b), q(b,a), q(a,c)\}$$

is *not* a Herbrand model.

1.24 Example
The Datalog program P consisting of the rules

$$\begin{aligned} p(a) \\ q(b) \\ q(x) \rightarrow q(x) \end{aligned}$$

has the following Herbrand models:

$$\{p(a), q(b)\}$$
$$\{p(a), q(b), q(a)\}$$
$$\{p(a), q(b), q(a), p(b)\}$$

Exercise 13 (hand-in) Give a Herbrand model for the Datalog program in Exercise 9.

Exercise 14 (hand-in) Give three distinct Herbrand models for the Datalog program P consisting of the following rules.

$$\begin{aligned} p(a,b) \\ q(c) \\ p(x,y) \rightarrow q(x) \end{aligned}$$

1.3 Fixed-point Semantics

1.25 Example
Consider the program P from Example 1.23. There is a systematic way of obtaining a Herbrand model, as follows. In order to do this, consider ground(P).
First, collect all facts from ground(P):

$$I_1 = \{p(a), q(a,b), q(b,c)\}.$$

Next, collect all heads of rules in ground(P) for which all body atoms are in I_1, and add them to I_1:

$$I_2 = I_1 \cup \{r(a)\}.$$

Next, collect all heads of rules in ground(P) for which all body atoms are in I_2, and add them to I_2:

$$I_3 = I_2 \cup \{r(b)\}.$$

Iteratively continue this process until nothing is added any more:

$$I_4 = I_3 \cup \{r(c), q(b,a)\}$$
$$I_5 = I_4 \cup \{q(c,b)\}$$
$$I_6 = I_5 \cup \emptyset = I_5$$

We have already seen in Example 1.23 that I_5 is indeed a Herbrand model.

We now develop this idea systematically.

1.26 Definition
Given a Datalog program P with underlying language L, let B_P be the set of all ground atoms over L, called the *Herbrand base* of P. Furthermore, let $I_P = 2^{B_P}$ be the power set of B_P, i.e., the set of all subsets of B_P.

1.27 Example
For P as in Example 1.23, we have

$$B_P = \{p(a), p(b), p(c), r(a), r(b), r(c), \\ q(a,a), q(a,b), q(a,c), q(b,a), q(b,b), q(b,c), q(c,a), q(c,b), q(c,c)\}$$

which consists of 15 atoms. Correspondingly, I_P has $2^{15} = 32,768$ elements.

Exercise 15 (hand-in) Give B_P for P as in Exercise 1.24. How many elements does I_P have?

1.28 Remark
I_P is in fact the set of all Herbrand interpretations for P.

1.29 Definition
Given a Datalog program P, define a function $T_P : I_P \to I_P$ by

$$T_P(I) = \{A \in B_P \mid (B_1 \wedge \cdots \wedge B_n \to A) \in \text{ground}(P) \text{ and } B_i \in I \text{ for all } i = 1, \ldots, n\}.$$

This function is called the *single-step operator*, or *immediate consequence operator*, or simply the *T_P-operator* for P.

1.30 Example
Considering Example 1.25, we have

$$\begin{aligned} T_P(\emptyset) &= I_1 \\ T_P(I_1) &= I_2 \\ T_P(I_2) &= I_3 \\ T_P(I_3) &= I_4 \\ T_P(I_4) &= I_5 \\ T_P(I_5) &= I_5 \end{aligned}$$

To give some further examples, we also have

$$T_P(\{r(c), q(c,c)\}) = \{p(a), q(a,b), q(b,c), r(c), q(c,c)\}$$
$$T_P(\{p(b)\}) = \{p(a), q(a,b), q(b,c), r(b)\}$$

1.31 Example
For P as in Example 1.24, we have

$$T_P(\{q(c)\}) = \{p(a), q(b), q(c)\}$$
$$T_P(\{p(a), q(b), q(c\}) = \{p(a), q(b), q(c\}$$

Exercise 16 (hand-in) For the program P in Example 1.23, compute the following.

(a) $T_P(\{p(c), q(c,c)\})$

(b) $T_P(B_P)$

1.32 Definition
Given a Datalog program P and $I \in I_P$, we call I a *pre-fixed point* of T_P if $T_P(I) \subseteq I$. We call I a *fixed point* of T_P if $T_P(I) = I$.

1.33 Example
In Example 1.25, we have $T_P(I_5) = I_5$, hence I_5 is a fixed point of T_P.

1.34 Example
For P as in Example 1.24, we have

$$T_P(\{p(a), q(b)\}) = \{p(a), q(b)\},$$

which therefore is a fixed point of T_P.
We also have

$$T_P(\{p(a), q(b), p(b)\}) = \{p(a), q(b)\} \subseteq \{p(a), q(b), p(b)\},$$

therefore $\{p(a), q(b), p(b)\}$ is a pre-fixed point of T_P.

Exercise 17 With respect to Example 1.23, verify that B_P is a pre-fixed point of T_P.

Exercise 18 Give three pre-fixed points and one fixed point of the T_P-operator for P as in Exericise 14.

1.35 Theorem
Given any Datalog program P, the pre-fixed points of T_P are exactly the Herbrand models of P. 9/13/12

Proof: Let I be a pre-fixed point of T_P, i.e., $T_P(I) \subseteq I$. Now let $B_1 \wedge \cdots \wedge B_n \rightarrow A$ be any rule in ground(P). If $\{B_1, \ldots, B_n\} \subseteq I$, then $A \in T_P(I)$ bey definition of T_P, and hence $A \in I$ by the assumption that $T_P(I) \subseteq I$. This shows that I is a Herbrand model of P.
Conversely, let I be a Herbrand model of P. Now for any $A \in T_P(I)$ there must be a rule $B_1 \wedge \cdots \wedge B_n \rightarrow A$ in ground(P) with $\{B_1, \ldots, B_n\} \subseteq I$. Since I is a Herbrand model we obtain $A \in I$. This shows $T_P(I) \subseteq I$. ∎

1.36 Lemma
Given any Datalog program P and $I_1 \subseteq I_2 \in I_P$, we have that

$$T_P(I_1) \subseteq T_P(I_2),$$

i.e., the T_P-operator is *monotonic*.

Proof: For any $A \in T_P(I_1)$ there must be a rule $B_1 \wedge \cdots \wedge B_n \rightarrow A$ in ground(P) with $\{B_1, \ldots, B_n\} \subseteq I_1$. Since $I_1 \subseteq I_2$ we obtain $\{B_1, \ldots, B_n\} \subseteq I_2$, and hence $A \in T_P(I_2)$ as required. ∎

1.37 Definition
Given any Datalog program P, we iteratively define the following.

$$\begin{aligned} T_P{\uparrow}0 &= \emptyset \\ T_P{\uparrow}1 &= T_P(T_P{\uparrow}0) \\ &\vdots \\ T_P{\uparrow}(n+1) &= T_P(T_P{\uparrow}n) \end{aligned}$$

We furthermore define

$$T_P{\uparrow}\omega = \bigcup_{n \in \mathbb{N}} T_P{\uparrow}n.$$

The sets $T_P{\uparrow}n$ are called *iterates* of the T_P-operator.

1.38 Example
Returning to Example 1.25, we have $I_n = T_P{\uparrow}n$ for all $n = 1, \ldots, 6$ and $T_P{\uparrow}\omega = I_5$.

Exercise 19 (hand-in) Compute $T_P{\uparrow}n$ for all $n \in \mathbb{N}$ and $T_P{\uparrow}\omega$ for P as in Example 1.24.

Exercise 20 (hand-in) Compute $T_P{\uparrow}n$ for all $n \in \mathbb{N}$ and $T_P{\uparrow}\omega$ for P as in Exercise 14.

Exercise 21 Compute $T_P{\uparrow}n$ for all $n \in \mathbb{N}$ and $T_P{\uparrow}\omega$ for P as in Exercise 9.

Exercise 22 Compute $T_P{\uparrow}n$ for all $n \in \mathbb{N}$ and $T_P{\uparrow}\omega$ for P as in Example 1.13.

Exercise 23 Compute $T_P{\uparrow}n$ for all $n \in \mathbb{N}$ and $T_P{\uparrow}\omega$ for P as in Example 1.12.

Exercise 24 (if coding helps you with the material) Write a computer program (you may choose your favorite language), which accepts as input graphs specified in the form of Example 1.5, and computes all $T_P \uparrow n$, where P consists of all the non-fact Datalog rules from Example 1.5

1.39 Theorem
For every Datalog program P, the following hold.

(a) $T_P{\uparrow}\omega$ is a fixed point of T_P.

(b) $T_P{\uparrow}\omega$ is a Herbrand model for P.

(c) For every Herbrand model M for P we have that $T_P{\uparrow}\omega \subseteq M$.

Condition (c) states that $T_P \uparrow \omega$ is the *least* Herbrand model of P (with respect to the set inclusion ordering).

Proof: First note that

$$T_P{\uparrow}0 = \emptyset \subseteq T_P(\emptyset) = T_P{\uparrow}1,$$

and due to monotonicity of T_P, we obtain

$$T_P{\uparrow}n \subseteq T_P{\uparrow}(n+1)$$

for each $n \in \mathbb{N}$. Thus, the iterates of T_P form an increasing chain:

$$T_P{\uparrow}0 \subseteq T_P{\uparrow}1 \subseteq T_P{\uparrow}2 \subseteq \dots T_P{\uparrow}n \subseteq T_P{\uparrow}(n+1) \subseteq \cdots \subseteq T_P{\uparrow}\omega.$$

Since furthermore $T_P \uparrow \omega \subseteq B_P$, and B_P is a finite set, there must be an n_P such that $T_P \uparrow n_P = T_P \uparrow (n_P + 1) = T_P \uparrow \omega$, i.e., $T_P \uparrow n_P = T_P \uparrow \omega$ must be a fixed points of T_P. This shows (a).
Every fixed point of T_P is a pre-fixed point of T_P. Hence $T_P \uparrow \omega$ is a pre-fixed point of T_P and thus a Herbrand model for P by 1.35. This shows (b). 9/20/12
Now assume that M is another Herbrand model for P. Clearly, $\emptyset \subseteq M$, and thus $T_P{\uparrow}0 = T_P(\emptyset) \subseteq T_P(M) \subseteq M$ by monotonicity of T_P and since M is a pre-fixed point of T_P. By iteratively repeating this argument we obtain $T_P{\uparrow}n \subseteq T_P(M) \subseteq M$ for all $n \in \mathbb{N}$, and thus $T_P{\uparrow}\omega \subseteq M$, which shows (c). ■

Exercise 25 Given a Datalog program P, an interpretation $I \subseteq B_P$ is said to be *supported* if for every $A \in I$ there exists a rule $B_1 \wedge \cdots \wedge B_n \to A$ in ground(P) with $\{B_1, \ldots, B_n\} \subseteq I$. Show the following.

(a) An interpretation $I \in I_P$ is supported if and only if $I \subseteq T_P(I)$.

(b) The least Herbrand model of any program is supported.

1.40 Definition
We say that a Datalog program P *Herbrand-entails* a ground atom A, written $P \models_H A$, if $A \in T_P {\uparrow} \omega$.

Exercise 26 (hand-in) Show that the Datalog program from Example 1.1 Herbrand-entails

$$\text{grandmotherOf}(\text{ann}, \text{malia}).$$

2 Propositional Logic

2.1 Syntax

[Schöning, 1989, Chapter 1.1]

Let $\{A_1, A_2, \dots\}$ be an infinite set of *propositional variables.*

2.1 Definition
An *atomic formula* is a propositional variable.
Formulas are defined by the following inductive process.

1. All atomic formulas are formulas.

2. For every formula F, $\neg F$ is a formula, called the *negation* of F.

3. For all formulas F and G, also $(F \vee G)$ and $(F \wedge G)$ are formulas, called the *disjunction* and the *conjunction* of F and G, respectively.

The symbols $\neg$, $\vee$, $\wedge$ are called *connectives.* $\neg$ is a *unary* connective, while $\vee$ and $\wedge$ are *binary* connectives.
If a formula F occurs in another formula G, then it is called a *subformula* of G. Note that every formula is a subformula of itself.

2.2 Notation
We use the following abbreviations:
$A, B, C, \dots$ instead of $A_1, A_2, \dots$ and other obvious variants.
[Be careful with the use of F and G!]
We sometimes omit brackets if it can be done safely. [Be careful with this!]
$(F \rightarrow G)$ instead of $(\neg F \vee G)$
$(F \leftrightarrow G)$ instead of $(F \rightarrow G) \wedge (G \rightarrow F)$
$\rightarrow$ and $\leftrightarrow$ are also called connectives.
$(\bigvee_{i=1}^{n} F_i)$ instead of $(F_1 \vee F_2 \vee \cdots \vee F_n)$
$(\bigwedge_{i=1}^{n} F_i)$ instead of $(F_1 \wedge F_2 \wedge \cdots \wedge F_n)$

2.3 Example
$(\neg B \rightarrow F)$ is $(\neg\neg B \vee F)$.
Some Subformulas: $\neg\neg B$, $\neg B$.

2.4 Example
$((I \vee \neg B) \rightarrow \neg F)$ is $(\neg(I \vee \neg B) \vee \neg F)$.
Some Subformulas: $\neg(I \vee \neg B)$, I, $\neg B$.

Exercise 27 (hand-in) Determine all subformulas of $((B \wedge F) \rightarrow \neg I)$.

2.5 Remark
Formulas can be represented in a unique way as *trees.* [Example 2.4 on whiteboard.]

Exercise 28 (hand-in) Draw the formulas from Example 2.3 and Exercise 27 as trees.

2.2 Semantics

[Schöning, 1989, Chapter 1.1 cont.]

2.6 Definition
$\mathbb{T} = \{0, 1\}$ – the set of *truth values*: *false*, and *true*, respectively.
An *assignment* is a function $\mathcal{A} : \mathbf{D} \to \mathbb{T}$, where $\mathbf{D}$ is a set of atomic formulas.
Given such an assignment $\mathcal{A}$, we extend it to $\mathcal{A}' : \mathbf{E} \to \mathbb{T}$, where $\mathbf{E}$ is the set of all formulas containing only elements from $\mathbf{D}$ as atomic subformulas:

1. $\mathcal{A}'(A_i) = \mathcal{A}(A_i)$ for each $A_i \in \mathbf{D}$
2. $\mathcal{A}'(F \wedge G) = \begin{cases} 1, & \text{if } \mathcal{A}'(F) = 1 \text{ and } \mathcal{A}'(G) = 1 \\ 0, & \text{otherwise} \end{cases}$
3. $\mathcal{A}'(F \vee G) = \begin{cases} 1, & \text{if } \mathcal{A}'(F) = 1 \text{ or } \mathcal{A}'(G) = 1 \\ 0, & \text{otherwise} \end{cases}$
4. $\mathcal{A}'(\neg F) = \begin{cases} 1, & \text{if } \mathcal{A}'(F) = 0 \\ 0, & \text{otherwise} \end{cases}$

[From now on, drop distinction between $\mathcal{A}$ and $\mathcal{A}'$.]

2.7 Example
Let $\mathcal{A}(B) = \mathcal{A}(F) = 1$ and $\mathcal{A}(I) = 0$.

$$\begin{aligned} \mathcal{A}(\neg(B \wedge F) \vee \neg I) &= \begin{cases} 1, & \text{if } \mathcal{A}(\neg(B \wedge F)) = 1 \text{ or } \mathcal{A}(\neg I) = 1 \\ 0, & \text{otherwise} \end{cases} \\ &= \begin{cases} 1, & \text{if } \mathcal{A}(B \wedge F) = 0 \text{ or } \mathcal{A}(I) = 0 \\ 0, & \text{otherwise} \end{cases} \\ &= \begin{cases} 1, & \text{if } \mathcal{A}(B) = 0 \text{ or } \mathcal{A}(F) = 0 \text{ or } \mathcal{A}(I) = 0 \\ 0, & \text{otherwise} \end{cases} \\ &= 1 \end{aligned}$$

Exercise 29 (hand-in) Do the calculation from Example 2.7 for the formula $\neg(I \vee \neg B) \vee \neg F$ from Example 2.4 and the values $\mathcal{A}(I) = 1$ and $\mathcal{A}(B) = \mathcal{A}(F) = 0$.

2.8 Remark
The same thing can be expressed via *truth tables*.

$\mathcal{A}(F)$	$\mathcal{A}(G)$	$\mathcal{A}(F \wedge G)$
0	0	0
0	1	0
1	0	0
1	1	1

$\mathcal{A}(F)$	$\mathcal{A}(G)$	$\mathcal{A}(F \vee G)$
0	0	0
0	1	1
1	0	1
1	1	1

$\mathcal{A}(F)$	$\mathcal{A}(\neg F)$
0	1
1	0

2.9 Example
Determining the truth values of formulas using truth tables:
[Use the tree structure of formulas.]

$\mathcal{A}(B)$	$\mathcal{A}(F)$	$\mathcal{A}(I)$	$\mathcal{A}(B \wedge F)$	$\mathcal{A}(\neg(B \wedge F))$	$\mathcal{A}(\neg I)$	$\mathcal{A}(\neg(B \wedge F) \vee \neg I)$
0	0	0	0	1	1	1
0	0	1	0	1	0	1
0	1	0	0	1	1	1
0	1	1	0	1	0	1
1	0	0	0	1	1	1
1	0	1	0	1	0	1
1	1	0	1	0	1	1
1	1	1	1	0	0	0

2.10 Remark
The truth value of a formula is uniquely determined by the truth values of the propositional variables it contains as subformulas.

Exercise 30 Make the truth table for the formula from Exercise 29.

2.11 Remark

$\mathcal{A}(F)$	$\mathcal{A}(G)$	$\mathcal{A}(F \to G)$
0	0	1
0	1	1
1	0	0
1	1	1

$\mathcal{A}(F)$	$\mathcal{A}(G)$	$\mathcal{A}(F \leftrightarrow G)$
0	0	1
0	1	0
1	0	0
1	1	1

2.12 Definition
F, a formula, $\mathcal{A}$, an assignment.
$\mathcal{A}$ is *suitable* if it is defined for all atomic formulas occurring in F.
We write $\mathcal{A} \models F$ if $\mathcal{A}$ is suitable for F and $\mathcal{A}(F) = 1$. We say F *holds under* $\mathcal{A}$ or $\mathcal{A}$ *is a model for* F. Otherwise, we write $\mathcal{A} \not\models F$.
F is *satisfiable* if F has at least one model. Otherwise, it is called *unsatisfiable* or *contradictory.*
A set $\mathbf{M}$ of formulas is *satisfiable* if there is an assignment $\mathcal{A}$ which is a model for each formula in $\mathbf{M}$. In this case, $\mathcal{A}$ is called a *model* of $\mathbf{M}$, and we write $\mathcal{A} \models \mathbf{M}$. [Note the overloading of notation.]
F is called *valid* or a *tautology* if every suitable assignment for F is a model for F. In this case we write $\models F$, and otherwise $\not\models F$.

2.13 Example
Examples of models for $p \vee \neg q \vee \neg r$ are the following. $\mathcal{A}_1$ with $\mathcal{A}_1(p) = \mathcal{A}_1(q) = \mathcal{A}_1(r) = 1$; $\mathcal{A}_2$ with $\mathcal{A}_2(p) = 1$ and $\mathcal{A}_2(q) = \mathcal{A}_1(r) = 0$; $\mathcal{A}_3$ with $\mathcal{A}_3(p) = \mathcal{A}_1(q) = 0$ and $\mathcal{A}_2(r) = 1$. You can find models by making the truth table for the formula: the assignments for which the truth value is 1 are models.

10/4/12

2.14 Example
For the formula $(p \wedge \neg q) \vee \neg p$, the assignment $\mathcal{A}_1$ with $\mathcal{A}_1(p) = \mathcal{A}_1(q) = 0$ is a model, because $\mathcal{A}_1((p \wedge \neg q) \vee \neg p) = 1$. The assignment $\mathcal{A}_2$ with $\mathcal{A}_2(p) = 0$ and $\mathcal{A}_2(q) = 1$ is also a model, because $\mathcal{A}_2((p \wedge \neg q) \vee \neg p) = 1$. This can also be seen from the truth table for $(p \wedge \neg q) \vee \neg p$. The assignment $\mathcal{A}_3$ which only assigns $\mathcal{A}_3(p) = 0$ is not a model for the formula because it is not suitable for the formula.

Exercise 31 (hand-in) Give a model for $\neg(p \wedge q) \vee \neg r$.

2.15 Example
$A \vee \neg A$ is a tautology.
[This is established by the following truth table:

$\mathcal{A}(A)$	$\mathcal{A}(\neg A)$	$\mathcal{A}(A \vee \neg A)$
0	1	1
1	0	1

]

Exercise 32 (hand-in) Show the following.

1. $A \wedge \neg A$ is unsatisfiable.
2. $A \rightarrow \neg A$ is satisfiable.

2.16 Theorem
A formula F is a tautology if and only if $\neg F$ is unsatisfiable.

Proof: F is a tautology
iff every suitable assignment for F is a model for F
iff every suitable assignment for F (hence also for $\neg F$) is not a model for $\neg F$
iff $\neg F$ does not have a model
iff $\neg F$ is unsatisfiable ■

2.17 Definition
A formula G is a (*logical*) *consequence* of a set $M = \{F_1, \ldots, F_n\}$ of formulas if for every assignment $\mathcal{A}$ which is suitable for G and for all elements of M, it follows that whenever $\mathcal{A} \models F_i$ for all $i = 1, \ldots, n$, then $\mathcal{A} \models G$. 10/9/12
If G is a logical consequence of M, we write $M \models G$ and say M *entails* G. [Note the overloading of notation!]

2.18 Theorem
The following assertions are equivalent.

1. G is a consequence of $\{F_1, \ldots, F_n\}$.
2. $((\bigwedge_{i=1}^{n} F_i) \to G)$ is a tautology.
3. $((\bigwedge_{i=1}^{n} F_i) \wedge \neg G)$ is unsatisfiable.

Exercise 33 Show that an assignment is a model for $(\bigwedge_{i=1}^{n} F_i)$ if and only if it is a model for $\{F_1, \ldots, F_n\}$.

Exercise 34 Prove that 1. and 2. of Theorem 2.18 are equivalent. [Hint: Use Exercise 33.]

2.19 Example
Using Theorem 2.18, we can determine logical consequences using truth tables.
E.g., *modus ponens*: $\{P, P \to Q\} \models Q$.
We have to show: $(P \wedge (P \to Q)) \to Q$ is a tautology.

$\mathcal{A}(P)$	$\mathcal{A}(Q)$	$\mathcal{A}(P \to Q)$	$\mathcal{A}(P \wedge (P \to Q))$	$\mathcal{A}((P \wedge (P \to Q)) \to Q)$
0	0	1	0	1
0	1	1	0	1
1	0	0	0	1
1	1	1	1	1

Exercise 35 (hand-in) Express modus tollens, modus tollendo ponens, and modus ponendo tollens in propositional logic.

Exercise 36 (hand-in) Show, using truth tables, that the modi from Exercise 35 are valid.

2.3 Datalog Revisited: Semantics By Grounding

We can related Datalog and propositional logic as follows.

2.20 Definition
Given a Datalog language L, we can define a set of propositional variables as follows. For every ground atom $p(v_1, \ldots, v_n)$ over L, let $p_{v_1,\ldots,v_n}$ be a propositional variable. Furthermore, let v be the function from ground atoms to propositional variables defined as

$$v(p(v_1, \ldots, v_n)) = p_{v_1,\ldots,v_n}.$$

Given a ground Datalog rule $B_1 \wedge \cdots \wedge B_k \to A$, furthermore define

$$v(B_1 \wedge \cdots \wedge B_k \to A) = v(B_1) \wedge \cdots \wedge v(B_k) \to v(A)$$

if $k \geq 1$, and

$$v(\to A) = v(A)$$

(for facts).

2.21 Example
For the ground Datalog rule

$$p(a,b) \wedge q(c) \rightarrow p(a,c)$$

we have

$$v(p(a,b) \wedge q(c) \rightarrow p(a,c)) = v(p(a,b)) \wedge v(q(c)) \rightarrow v(p(a,c)) = p_{a,b} \wedge q_c \rightarrow p_{a,c}.$$

For the ground fact $p(b,c)$ we have $v(p(b,c)) = p_{b,c}$.

2.22 Definition
Given a Datalog program P, define the associated set $v(P)$ of propositional formulas as

$$v(P) = \{v(r) \mid r \in \text{ground}(P)\}.$$

2.23 Example
For the program P in Examples 1.12 and 1.20, $v(P)$ consists of the following formulas.

$$\begin{aligned}
\text{mOf}_{c,c} &\rightarrow \text{pOf}_{c,c}\\
\text{mOf}_{c,m} &\rightarrow \text{pOf}_{c,m}\\
\text{mOf}_{c,n} &\rightarrow \text{pOf}_{c,n}\\
\text{mOf}_{m,c} &\rightarrow \text{pOf}_{m,c}\\
\text{mOf}_{m,m} &\rightarrow \text{pOf}_{m,m}\\
\text{mOf}_{m,n} &\rightarrow \text{pOf}_{m,n}\\
\text{mOf}_{n,c} &\rightarrow \text{pOf}_{n,c}\\
\text{mOf}_{n,m} &\rightarrow \text{pOf}_{n,m}\\
\text{mOf}_{n,n} &\rightarrow \text{pOf}_{n,n}\\
\text{bOf}_{c,c} \wedge \text{pOf}_{c,c} &\rightarrow \text{uOf}_{c,c}\\
\text{bOf}_{c,m} \wedge \text{pOf}_{m,n} &\rightarrow \text{uOf}_{c,m}\\
\text{bOf}_{c,n} \wedge \text{pOf}_{n,m} &\rightarrow \text{uOf}_{c,n}\\
&\vdots \qquad \text{overall 27 groundings of this rule}\\
&\text{bOf}_{c,m}\\
&\text{mOf}_{m,n}
\end{aligned}$$

Exercise 37 (hand-in) For P the Datalog program from Exercise 9, determine $v(P)$.

2.24 Theorem
Let P be a Datalog program and $A \in B_P$. Then $P \models_H A$ if and only if $v(P) \models v(A)$.

Proof: For every Herbrand interpretation I define an assignment $\mathcal{A}_I$ by setting, for every ground atom B,

$$\mathcal{A}_I(v(B)) = \begin{cases} 1 & \text{if } B \in I \\ 0 & \text{if } B \notin I. \end{cases}$$

Clearly, if I is a Herbrand model for P, then $\mathcal{A}_I$ is a model for $v(P)$.
Now assume $v(P) \models v(A)$, and let M be a Herbrand model of P. Then $\mathcal{A}_M$ is a model of $v(P)$ and hence $\mathcal{A}_M(v(A)) = 1$. By definition of $\mathcal{A}_M$ we obtain $A \in M$ as required.
Conversely, for every assignment $\mathcal{A}$, define a Herbrand interpretation $I_{\mathcal{A}}$ as

$$I_{\mathcal{A}} = \{B \mid \mathcal{A}(v(B)) = 1\}.$$

Clearly, if $\mathcal{A}$ is a model for $v(P)$ then $I_{\mathcal{A}}$ is a Herbrand model for P.
Now assume $P \models_H A$, and let $\mathcal{M}$ be model for $v(P)$. Then $I_{\mathcal{M}}$ is a Herbrand model for P and hence $A \in I_{\mathcal{M}}$. By definition of $I_{\mathcal{M}}$ we obtain $\mathcal{M}(v(A)) = 1$ as required. ∎

Remark:
Theorem 2.24 shows how the problem of determining logical consequences for Datalog can be *reduced* (transformed) to the problem of determining logical consequences for propositional logic.
However, the theorem does not work in the other direction. E.g., in propositional logic we have $\{\neg p, p \vee q\} \models q$ and $\{p \to q, q \to r\} \models p \to r$, and neither of these can be transformed into a Datalog problem based on the theorem. 10/11/12

2.4 Equivalence

[Schöning, 1989, Chapter 1.2]

2.25 Definition
Formulas F and G are (*semantically*) *equivalent* (written $F \equiv G$) if for every assignment $\mathcal{A}$ that is suitable for F and G, $\mathcal{A}(F) = \mathcal{A}(G)$.

2.26 Example
$A \vee B \equiv B \vee A$. (*commutativity* of $\vee$)
[

$\mathcal{A}(A)$	$\mathcal{A}(B)$	$\mathcal{A}(A \vee B)$	$\mathcal{A}(B \vee A)$
0	0	0	0
0	1	1	1
1	0	1	1
1	1	1	1

]
$A \vee \neg A \equiv B \vee \neg B$. [truth table]

2.27 Example
$F \equiv G$ iff $\models (F \leftrightarrow G)$. [truth table]

2.28 Theorem
The following hold for all formulas F, G, and H.

$F \wedge F \equiv F$	$F \vee F \equiv F$	Idempotency
$F \wedge G \equiv G \wedge F$	$F \vee G \equiv G \vee F$	Commutativity
$(F \wedge G) \wedge H \equiv F \wedge (G \wedge H)$	$(F \vee G) \vee H \equiv F \vee (G \vee H)$	Associativity
$F \wedge (G \vee H) \equiv (F \wedge G) \vee (F \wedge H)$	$F \vee (G \wedge H) \equiv (F \vee G) \wedge (F \vee H)$	Distributivity
$\neg\neg F \equiv F$	Double Negation	(also Involution)
$\neg(F \wedge G) \equiv \neg F \vee \neg G$	$\neg(F \vee G) \equiv \neg F \wedge \neg G$	de Morgan's Laws

Proof: Straightforward using truth tables. ■

Exercise 38 Prove that 2. and 3. of Theorem 2.18 are equivalent.

Exercise 39 (hand-in) Translate the "secrets" of the centenarian (slide 15 of slideset 1) into formulas, where B stands for *beer for dinner*, F for *fish for dinner* and I for *ice cream for dinner*.

Exercise 40 (hand-in) Show that the claim on slide 15 of slideset 1 holds.

2.29 Remark
Disjunction is dispensable. [$F \vee G \equiv \neg(\neg F \wedge \neg G)$]
Alternatively, conjunction is dispensable. [$F \wedge G \equiv \neg(\neg F \vee \neg G)$]

2.30 Remark
Let $F \uparrow G = \neg(F \wedge G)$.
$\neg F \equiv \neg(F \wedge F) \equiv F \uparrow F$.
$F \vee G \equiv \neg(\neg F \wedge \neg G) \equiv \neg F \uparrow \neg G \equiv (F \uparrow F) \uparrow (G \uparrow G)$
$F \wedge G \equiv \neg\neg(F \wedge G) \equiv \neg(F \uparrow G) \equiv (F \uparrow G) \uparrow (F \uparrow G)$.

2.31 Remark (The contraposition principle)
$\{F\} \models G$ iff $\{\neg G\} \models \neg F$.
[$\{F\} \models G$ iff $F \rightarrow G$ is a tautology (Theorem 2.18).
$F \rightarrow G \equiv \neg F \vee G \equiv \neg(\neg G) \vee (\neg F) \equiv (\neg G) \rightarrow (\neg F)$.
$(\neg G) \rightarrow (\neg F)$ is a tautology iff $\{\neg G\} \models \neg F$ (Theorem 2.18)]

2.5 Normal Forms

[Schöning, 1989, Chapter 1.2 cont.]

2.32 Definition
A *literal* is an atomic formula (a *positive* literal) or the negation of an atomic formula (a *negative* literal).
A formula F is in *negation normal form* (NNF) if it is made up only of literals, $\vee$, and $\wedge$.

2.33 Theorem
For every formula F, there is a formula $G \equiv F$ which is in NNF.

Proof: The proof of Theorem 2.36 below shows this as well. ■

2.34 Example
$(\neg(I \vee \neg B) \vee \neg F) \equiv (\neg I \wedge B) \vee \neg F$

2.35 Definition
A formula F is in *conjunctive normal form* (CNF) if it is a conjunction of disjunctions of literals, i.e., if

$$F = \left(\bigwedge_{i=1}^{n} \left(\bigvee_{j=1}^{m_i} L_{i,j} \right) \right),$$

where the $L_{i,j}$ are literals. 10/16/12
A formula F is in *disjunctive normal form* (DNF) if it is a disjunction of conjunctions of literals, i.e., if

$$F = \left(\bigvee_{i=1}^{n} \left(\bigwedge_{j=1}^{m_i} L_{i,j} \right) \right),$$

where the $L_{i,j}$ are literals.

2.36 Theorem
For every formula F there is a formula $F_1 \equiv F$ in CNF and a formula $F_2 \equiv F$ in DNF.

Proof: Proof by structural induction.
Induction base: If F is atomic, then it is already in CNF and in DNF.
Induction hypothesis: G has CNF G_1 and DNF G_2, H has CNF H_1 and DNF H_2. *Induction step*: We have 3 cases.
Case 1: F has the form $F = \neg G$.
Then

$$F \equiv \neg G_1 \equiv \neg \left(\bigwedge_{i=1}^{n} \left(\bigvee_{j=1}^{m_i} L_{i,j} \right) \right) \equiv \left(\bigvee_{i=1}^{n} \left(\bigwedge_{j=1}^{m_i} \neg L_{i,j} \right) \right) \equiv \left(\bigvee_{i=1}^{n} \left(\bigwedge_{j=1}^{m_i} \overline{L_{i,j}} \right) \right),$$

where

$$\overline{L_{i,j}} = \begin{cases} A & \text{if } L_{i,j} = \neg A \\ \neg A & \text{if } L_{i,j} = A \end{cases}$$

and the latter formula is in DNF as required. Analogously, we can obtain from G_2 a CNF formula equivalent to F.
Case 2: F has the form $F = G \vee H$.
Then $F \equiv G_2 \vee H_2$, which is in DNF.
Further,

$$F \equiv G_1 \vee H_1 \equiv \left(\bigwedge_{i=1}^{n} \left(\bigvee_{j=1}^{m_i} K_{i,j} \right) \right) \vee \left(\bigwedge_{k=1}^{o} \left(\bigvee_{l=1}^{p_k} L_{k,l} \right) \right) \equiv \left(\bigwedge_{i=1}^{n} \left(\bigwedge_{k=1}^{o} \left(\bigvee_{j=1}^{m_i} K_{i,j} \vee \bigvee_{l=1}^{p_k} L_{k,l} \right) \right) \right),$$

which is in CNF.
Case 3: F has the form $F = G \wedge H$.
This case is analogous to Case 2. ■

Exercise 41 Show by structural induction: For any formula F (with all brackets written), we have $b(F) \leq c(F)$, where $b(F)$ is the number of all opening brackets in F, and $c(F)$ is the number of all connectives in F.

2.37 Remark
Structural induction is a fundamental proof technique, comparable with natural induction.

Exercise 42 Transform $\neg((A \vee B) \wedge (C \vee D) \wedge (E \vee F))$ into CNF.

2.38 Remark
DNF via truth table.
If, e.g.,

$\mathcal{A}(A)$	$\mathcal{A}(B)$	$\mathcal{A}(C)$	$\mathcal{A}(F)$
0	0	0	1
0	0	1	0
0	1	0	0
0	1	1	0
1	0	0	1
1	0	1	1
1	1	0	0
1	1	1	0

then a DNF for F is $(\neg A \wedge \neg B \wedge \neg C) \vee (A \wedge \neg B \wedge \neg C) \vee (A \wedge \neg B \wedge C)$.

Exercise 43 (hand-in) Give a CNF for the formula F in Remark 2.38.

2.39 Definition
Two formulas F and G are *equisatisfiable* if the following holds: F has a model if and only if G has a model.

Exercise 44 (hand-in) Show the following: For all formulas F_i ($i = 1, 2, 3$), $F_1 \vee (F_2 \wedge F_3)$ and $(F_1 \vee E) \wedge (E \leftrightarrow (F_2 \wedge F_3))$ are equisatisfiable (E is a propositional variable not occurring in F_1, F_2, F_3).

2.6 Tableaux Algorithm

[Ben-Ari, 1993, Chapter 2.6, strongly modified]

Translating truth tables directly into an algorithm is very expensive.
We take the following approach:
For showing $F_1, \ldots, F_n \models G$, if suffices to show that $F = F_1 \wedge \cdots \wedge F_n \wedge \neg G$ is unsatisfiable (Theorem 2.18).
We attempt to construct a model for F in such a way that, if and only if the construction fails, we know that F is unsatisfiable.

2.40 Definition
Let F be a formula in NNF. A *tableau branch* for F is a set of formulas, defined inductively as follows.

- $\{F\}$ is a tableau branch for F.
- If T is a tableau branch for F and $G \wedge H \in T$, then $T \cup \{G, H\}$ is a tableau branch for F.
- If T is a tableau branch for F and $G \vee H \in T$, then $T \cup \{G\}$ is a tableau branch for F and $T \cup \{H\}$ is a tableau branch for F.

A *tableau* for F is a set of tableau branches for F.
A tableau branch is *closed* if it contains an atomic formula A and the literal $\neg A$. Otherwise, it is *open*.
A tableau branch T is called *complete* if it satisfies the following conditions.

- T is open.
- If $G \wedge H \in T$, then $\{G, H\} \subseteq T$.
- If $G \vee H \in T$, then $G \in T$ or $H \in T$.

A tableau M for F is called *complete* if it satisfies the following conditions.

- If $G \vee H \in T \in M$, and T is open, then there are branches $S_1 \in M$ and $S_2 \in M$ with $\{G\} \cup T \subseteq S_1$ and $\{H\} \cup T \subseteq S_2$.
- All branches of M are complete or closed.

A tableau is *closed* if it is complete and all its branches are closed.

If F is not in NNF, then a tableau (resp., tableau branch) for F is a tableau (resp. tableau branch) for an NNF of F.

2.41 Example
Consider $(\neg I \wedge B) \vee \neg F$, for which a complete (but not closed) tableau is $\{\{(\neg I \wedge B) \vee \neg F, \neg I \wedge B, \neg I, B\}, \{(\neg I \wedge B) \vee \neg F, \neg F\}\}$.

Exercise 45 (hand-in) Give a complete tableau (as set of sets of formulas) for $(\neg A \wedge \neg B \wedge \neg C) \vee (A \wedge \neg B \wedge \neg C)$.

2.42 Remark
Tableaux can be represented graphically (blackboard).

2.43 Theorem (Soundness)
A formula F is satisfiable if there is a complete tableau branch for F.

2.44 Theorem (Completeness)
If a formula F is satisfiable, then there is a complete tableau branch for F.

2.45 Theorem
A formula F is

1. unsatisfiable if and only if there is a closed tableau for F,
2. a tautology if and only if there is a closed tableau for $\neg F$.

2.46 Example
Modus Ponens holds if $(P \wedge (P \to Q)) \to Q$ is a tautology. We construct a complete tableau (blackboard) for $\neg((P \wedge (P \to Q)) \to Q)$, which turns out to be closed.

Exercise 46 Do the same as in Example 2.46 for Modus Tollens.

Exercise 47 (hand-in) Show $\{A \to (B \to C)\} \models (A \to B) \to (A \to C)$ using the tableaux algorithm.

2.47 Lemma
Let F be a formula, T be a complete tableau branch for F, and $L_1, \dots, L_n$ be all the literals contained in T. Then any assignment $\mathcal{A}$ with $\mathcal{A}(L_1 \wedge \cdots \wedge L_n) = 1$ is a model for F.

Proof: We show by structural induction, that $\mathcal{A}$ is a model for each formula F' in T.
Induction Base: Let $F' = L$ be a literal. Then by definition $\mathcal{A}(F') = 1$.
Induction Hypothesis: $\mathcal{A}(G) = \mathcal{A}(H) = 1$ for $G, H \in T$.
Induction Step: (1) Let $F' = G \wedge H \in T$. Then $G \in T$ and $H \in T$. By IH, $\mathcal{A}(F') = \mathcal{A}(G \wedge H) = 1$. (2) Let $F' = G \vee H$. Then $G \in T$ or $H \in T$. By IH, $\mathcal{A}(G) = 1$ or $\mathcal{A}(H) = 1$, hence $\mathcal{A}(F') = 1$. (3) The case $F' = \neg G \in T$ cannot happen since all formulas are in NNF, and the literal case was dealt with in the induction base. ■

Proof of Theorem 2.43: By Lemma 2.47, we obtain that F has a model, hence it is satisfiable. ■

2.48 Example
Is the following formula valid? satisfiable? unsatisfiable?

$$(((A \to B) \to A) \to A)$$

(done on whiteboard)

10/23/12

2.49 Example
Is the following formula valid? satisfiable? unsatisfiable?

$$(A \to (B \to C)) \to ((A \wedge B) \to C)$$

(done on whiteboard)

Proof of Theorem 2.44: First note the following, for any assignment M and all formulas G and H:

- If $M \models G \wedge H$, then $M \models G$ and $M \models H$.
- if $M \models G \vee H$, then $M \models G$ or $M \models H$.

Since F is satisfiable, it has a model M. Construct a tableau branch T for F recursively as follows.

- If $G \wedge H \in T$, set $T := T \cup \{G, H\}$.
- If $G \vee H \in T$ with $M \models G$, set $T := T \cup \{G\}$, otherwise set $T := T \cup \{H\}$.

The recursion terminates since only subformulas of F are added and sets cannot contain duplicate elements. The resulting T is a complete tableau branch, and $M \models T$, by definition. ■

Proof of Theorem 2.45:
We prove Statement 1. Statement 2 is shown in Exercise 48.
Let A be the statement "F is unsatisfiable", and let B be the statement "F has a closed tableau".
We need to show: $A \equiv B$, for which it suffices to show that $A \leftrightarrow B \equiv (A \rightarrow B) \wedge (B \rightarrow A)$ is valid.
By the contraposition principle, it therefore suffices to show that $(\neg B \rightarrow \neg A) \wedge (\neg A \rightarrow \neg B) \equiv (\neg B \leftrightarrow \neg A)$ is valid, i.e., that $\neg A \equiv \neg B$.
$\neg A$ is the statement "F is not unsatisfiable", i.e. "F is satisfiable".
$\neg B$ is the statment "F does not have a closed tableau". Since, every formula has a complete tableau, this is equivalent to the statement "F has a complete tableau branch".
It thus remains to show: *F is satisfiable if and only if F has a complete tableau branch.* This was shown in Theorems 2.43 and 2.44. ■

2.50 Remark
In short, Statement 1 of Theorem 2.45 holds because it expresses the contrapositions of Theorem 2.43 and 2.44.

Exercise 48 Show Theorem 2.45 part 2.

Exercise 49 For any formula F, let F' be the formula obtained from F by replacing all $\vee$ by $\wedge$, and by replacing all $\wedge$ by $\vee$. Furthermore, let $\overline{F}$ be obtained from F by replacing each occurrence of an atomic formula A in F by $\neg A$.
Example: For $F = (A \wedge B) \vee \neg C$, we have $F' = (A \vee B) \wedge \neg C$ and $\overline{F} = (\neg A \wedge \neg B) \vee \neg\neg C$; and $\overline{F}' = (\neg A \vee \neg B) \wedge \neg\neg C$.
Show by structural induction: $F \equiv \neg \overline{F}'$ for each formula F.

10/25/12

2.7 Theoretical Aspects

[Schöning, 1989, Part of Chapter 1.4 plus some more]

2.51 Theorem (monotonicity of propositional logic)
Let M, N be sets of formulas. If $M \subseteq N$ then $\{F \mid M \models F\} \subseteq \{F \mid N \models F\}$.

Proof: Let F be such that $M \models F$.
Let $\mathcal{A}$ be a model for N. Then all formulas in N, and hence all formulas in M, are true under $\mathcal{A}$. Hence $\mathcal{A} \models F$. This holds for all models of N, and hence $N \models F$. ■

Exercise 50 (hand-in) Is the following true or false?
Let M, N be sets of formulas. If $\{F \mid M \models F\} \subseteq \{F \mid N \models F\}$ then $M \subseteq N$.
Prove that your answer is correct.

2.52 Definition
A problem with a yes/no answer is *decidable* if there exists an algorithm which terminates on any allowed input of the problem and, upon termination, outputs the correct answer.

2.53 Example
"Is n an even number?" is decidable (allowed input: any $n \in \mathbb{N}$).
[

```
1. If n=1, terminate with output 'No'.
2. If n=0, terminate with output 'Yes'.
3. Set n := n-2.
4. Go to 1.
```

]

2.54 Theorem (decidability of finite entailment)
The problem of deciding whether a finite set M of formulas entails some other formula F is decidable.

Proof: M contains only a finite number of propositional variables. Use truth tables to check whether all models of M are models of F. ■

2.55 Theorem (decidability of Datalog entailment)
The problem of deciding whether a finite Datalog program P Herbrand-entails some $A \in B_P$ is decidable.

Proof: The set of propositional formulas $v(P)$ as defined in Definition 2.22 is finite. Theorems 2.24 and 2.54 then complete the proof. ■

2.56 Definition
A problem with a yes/no answer is *semi-decidable* if there exists an algorithm which, on any allowed input of the problem, terminates if the answer is 'yes' and outputs the correct answer.

2.57 Theorem (semi-decidability of infinite entailment)
The problem of deciding whether a countably infinite set M of formulas entails some other formula F is semi-decidable.

Proof: $M \models F$ if and only if $M \cup \{\neg F\}$ is unsatisfiable. [Exercise 52]
By the compactness theorem, $M \cup \{\neg F\}$ is unsatisfiable if and only if one of its finite subsets is unsatisfiable. Now use an enumeration $M_1, M_2, \ldots$ of all these finite subsets and check satisfiability of each of them in turn, using truth tables. If one of the sets is unsatisfiable, terminate and output that $M \models F$. ■

2.58 Theorem (compactness of propositional logic)
A set M of formulas is satisfiable if and only if every finite subset of it is satisfiable.

Proof:
$\Rightarrow$: Every model for M is also a model for each finite subset of M.
$\Leftarrow$: Assume every finite subset of M is satisfiable.
Let $\{A_1, A_2, \dots\}$ be all propositional variables.
Define M_n to be the set of all elements of M which contains only the propositional variables $A_1, \dots, A_n$.
M_n contains at most 2^{2^n} many formulas with different truth tables.
Thus, there is a set $\mathcal{F}_n = \{F_1, \dots, F_k\} \subseteq M_n$ ($k \leq 2^{2^n}$), such that for every $F \in M$, $F \equiv F_i$ for some i.
Hence, every model for $\mathcal{F}_n$ is a model for M_n.
By assumption, $\mathcal{F}_n$ is satisfiable, say with model $\mathcal{A}_n$.
$\mathcal{A}_n$ is also a model for $M_1, \dots, M_{n-1}$. [$M_i \subseteq M_{i+1}$for all i]
For all $k \in \mathbb{N}$, define $\mathcal{A}(A_k) = \limsup_{n\to\infty} \mathcal{A}_n(A_k)$.
Note: For each $k \in \mathbb{N}$ there exists $n_k \in \mathbb{N}$ s.t. for all $n \geq n_k$ we have $\mathcal{A}_n(A_k) = \mathcal{A}_{n+1}(A_k)$.
It remains to show: $\mathcal{A} \models M$:
Let $F \in M$. Then $F \in M_k$ for some k.
With $n' = \max\{n_1, \dots, n_k\}$ we have that $\mathcal{A}$ and all $\mathcal{A}_n$ with $n \geq n'$ agree on all propositional variables in F.
We have $\mathcal{A}_m \models F$ for all $m \geq \max\{k, n'\}$.
Hence $\mathcal{A} \models F$ as required. ∎

Exercise 51 Show: A set M of formulas is unsatisfiable if and only if some finite subset of it is unsatisfiable.

Exercise 52 (Proof by Contradiction) Show: $M \models F$ if and only if $M \cup \{\neg F\}$ is unsatisfiable.

Exercise 53 Let $\{F_1, F_2, F_3, \dots\}$ be a (countably) infinite set. Give an algorithm with enumerates all its finite subsets.

2.59 Theorem (complexity of finite satisfiability)
The problem of deciding whether a finite set of formulas is satisfiable, is NP-complete.

Proof: See any book or lecture on computational complexity theory. ∎

2.60 Theorem (complexity of finite entailment)
The problem of deciding whether a finite set of formulas entails some other formula is NP-complete.

Proof: Because of Exercise 52, finite entailment and finite satisfiability can be reduced to each other, hence they have the same complexity. ∎

3 First-order Predicate Logic

3.1 Example
Difficult/impossible to model in propositional logic:

- For all $n \in \mathbb{N}$, $n! \geq n$.

3.2 Example
Difficult/impossible to model in propositional logic:

1. Healthy beings are not dead.
2. Every cat is alive or dead.
3. If somebody owns something, (s)he cares for it.
4. A happy cat owner owns a cat and all beings he cares for are healthy.
5. Schrödinger is a happy cat owner.

3.1 Syntax

[Schöning, 1989, Chapter 2.1]

3.3 Definition

- *Variables*: $x_1, x_2, \ldots$ (also y, z, $\ldots$).
- *Function symbols*: $f_1, f_2, \ldots$ (also g, h, $\ldots$), each with an *arity* ($\in\mathbb{N}$) (number of parameters).
  Constants are function symbols with arity 0.
- *Predicate symbols*: $P_1, P_2, \ldots$ (also Q, R, $\ldots$), each with an *arity* ($\in\mathbb{N}$) (number of parameters).

Terms are inductively defined:

- Each variable is a term.
- If f is a function symbol of arity k, and if $t_1, \ldots, t_k$ are terms, then $f(t_1, \ldots, t_k)$ is a term.

Formulas are inductively defined:

- If P is a predicate symbol of arity k, and if $t_1, \ldots, t_k$ are terms, then $P(t_1, \ldots, t_k)$ is a formula (called *atomic*).
- For each formula F, $\neg F$ is a formula.
- For all formulas F and G, $(F \wedge G)$ and $(F \vee G)$ are formulas.
- If x is a variable and F is a formula, then $\exists x F$ and $\forall x F$ are formulas.

3.4 Definition
$F \to G$ (respectively, $F \leftrightarrow G$) is shorthand for $\neg F \vee G$ (respectively, $(F \to G) \wedge (G \to F)$). We also use other notational variants from propositional logic freely.

3.5 Example
The following are formulas (s is a constant).

1. $\forall x(H(x) \to \neg D(x))$
2. $\forall x(C(x) \to (A(x) \vee D(x)))$
3. $\forall x \forall y(O(x,y) \to R(x,y))$
4. $\forall x(P(x) \to (\exists y(O(x,y) \wedge C(y)) \wedge (\forall y(R(x,y) \to H(y)))))$
5. $P(s)$

In 1, predicate symbols are D and H, and x is a term.

Exercise 54 (hand-in) Identify all predicate symbols and all terms in Example 3.5 3.

3.6 Example
Example 3.1 could be written as

$$\forall n(n \in \mathbb{N} \to n! \geq n),$$

where (with abuse of our introduced formal notation), "$\in \mathbb{N}$" is a unary predicate symbol, "$\geq$" is a binary predicate symbol, and "!" is a unary function symbol, written postfix.

Exercise 55 (hand-in) Determine all predicate symbols and all function symbols, with arities, of the formula

$$\forall \varepsilon \exists \delta \forall x((\varepsilon > 0 \wedge \delta > 0) \to (|x-2| < \delta \to |x^3 - 2^3| < \varepsilon)).$$

3.7 Definition
If a formula F is part of a formula G, then it is called a *subformula* of G.
An occurrence of a variable x in a formula F is *bound* if it occurs within a subformula of F of the form $\exists xG$ or $\forall xG$. Otherwise it is *free*.
A formula without free variables is *closed*. A formula with free variables is *open*.
$\exists$, $\forall$ are *quantifiers*, $\vee$, $\wedge$, $\neg$, $\to$, $\leftrightarrow$ are *connectives*.

3.8 Example
All subformulas of $\forall x(C(x) \to (A(x) \vee D(x)))$:
$C(x)$, $A(x)$, $D(x)$, $A(x) \vee D(x)$, $C(x) \to (A(x) \vee D(x))$, $\forall x(C(x) \to (A(x) \vee D(x)))$.

3.9 Example
In the formula $P(x) \wedge \forall x(P(x) \to Q(f(x)))$, the first occurrence of x is free, the others are bound.

Exercise 56 (hand-in) Give all subformulas of Example 3.5 4. Which of them are closed? Which of them are open?

11/08/12

3.2 Semantics

[Schöning, 1989, Chapter 2.1 cont.]

3.10 Definition
A *structure* is a pair $\mathcal{A} = (U_{\mathcal{A}}, I_{\mathcal{A}})$, with $U_{\mathcal{A}} \neq \emptyset$ a set (*ground set* or *universe*) and $I_{\mathcal{A}}$ a mapping which maps

- each k-ary predicate symbol P to a k-ary predicate (relation) on $U_{\mathcal{A}}$ (if $I_{\mathcal{A}}$ is defined for P)
- each k-ary function symbol f to a k-ary function on $U_{\mathcal{A}}$ (if $I_{\mathcal{A}}$ is defined for f)
- each variable x to an alement of $U_{\mathcal{A}}$ (if $I_{\mathcal{A}}$ is defined for x).

Write $P^{\mathcal{A}}$ for $I_{\mathcal{A}}(P)$ etc. $\mathcal{A}$ is *suitable* for a formula F if $I_{\mathcal{A}}$ is defined for all predicate and function symbols in F and for all free variables in F.

3.11 Example

$$F = \forall x \forall y (P(a) \wedge (P(x) \to (P(s(x)) \wedge Q(x,x) \wedge ((P(y) \wedge Q(x,y)) \to Q(x, s(y))))))$$

Structure $(U_{\mathcal{A}}, I_{\mathcal{A}})$:

$$\begin{aligned} U_{\mathcal{A}} &= \mathbb{N} \\ a^{\mathcal{A}} &= 0 (\in \mathbb{N}) \\ s^{\mathcal{A}} &: n \mapsto n+1 \\ P^{\mathcal{A}} &= \mathbb{N} \qquad (= U_{\mathcal{A}}) \\ Q^{\mathcal{A}} &= \{(n,k) \mid n \leq k\} \end{aligned}$$

Another structure $(U_{\mathcal{B}}, I_{\mathcal{B}})$:

$$\begin{aligned} U_{\mathcal{B}} &= \{☺, ☹\} \\ a^{\mathcal{B}} &= ☺ \\ s^{\mathcal{B}} &: ☺ \mapsto ☹; ☹ \mapsto ☹ \\ P^{\mathcal{B}} &= U_{\mathcal{B}} \\ Q^{\mathcal{B}} &= \{(☹, ☹)\} \end{aligned}$$

Exercise 57 (hand-in) Give a structure for the formula

$$\forall x \forall y (Q(x,y) \to Q(y,x)).$$

3.12 Definition
F a formula. $\mathcal{A} = (U_{\mathcal{A}}, I_{\mathcal{A}})$ a suitable structure for F.
Define for each term t in F its *value* $t^{\mathcal{A}}$:

1. If $t = x$ is a variable, $t^{\mathcal{A}} = x^{\mathcal{A}}$.
2. If $t = f(t_1, \ldots, t_k)$, then $t^{\mathcal{A}} = f^{\mathcal{A}}(t_1^{\mathcal{A}}, \ldots, t_k^{\mathcal{A}})$.

Define for F its *truth value* $\mathcal{A}(F)$ as follows, where $\mathcal{A}_{[x/u]}$ is identical to $\mathcal{A}$ except $x^{\mathcal{A}_{[x/u]}} = u$.

1. $\mathcal{A}(P(t_1, \ldots, t_k)) = \begin{cases} 1, & \text{if } (\mathcal{A}(t_1), \ldots, \mathcal{A}(t_k)) \in P^{\mathcal{A}} \\ 0, & \text{otherwise} \end{cases}$

2. $\mathcal{A}(H \wedge G) = \begin{cases} 1, & \text{if } \mathcal{A}(H) = 1 \text{ and } \mathcal{A}(G) = 1 \\ 0, & \text{otherwise} \end{cases}$

3. $\mathcal{A}(H \vee G) = \begin{cases} 1, & \text{if } \mathcal{A}(H) = 1 \text{ or } \mathcal{A}(G) = 1 \\ 0, & \text{otherwise} \end{cases}$

4. $\mathcal{A}(\neg G) = \begin{cases} 1, & \text{if } \mathcal{A}(G) = 0 \\ 0, & \text{otherwise} \end{cases}$

5. $\mathcal{A}(\forall x G) = \begin{cases} 1, & \text{if for all } u \in U_{\mathcal{A}}, \mathcal{A}_{[x/u]}(G) = 1 \\ 0, & \text{otherwise} \end{cases}$

6. $\mathcal{A}(\exists x G) = \begin{cases} & \text{if there exists some } u \in U_{\mathcal{A}} \text{ s.t. } \mathcal{A}_{[x/u]}(G) = 1 \\ 0, & \text{otherwise} \end{cases}$

If $\mathcal{A}(F) = 1$, we write $\mathcal{A} \models F$ and say F *is true in* $\mathcal{A}$ or $\mathcal{A}$ *is a model for* F.
F is *valid* (or a *tautology*, written $\models F$) if $\mathcal{A} \models F$ for every suitable structure $\mathcal{A}$ for F. F is *satisfiable* if there is $\mathcal{A}$ with $\mathcal{A} \models F$, and otherwise it is *unsatisfiable*.

3.13 Remark
Many notions and results carry over directly from propositional logic: *logical consequence*, *equivalence of formulas*, Theorem 2.18, Theorem 2.28, etc. See Remark 3.19. 11/20/12

3.14 Example
Consider the sentences

James Potter is the parent of Harry Potter.
Harry Potter is an orphan.
Any parent of any orphan is dead.

They can be represented formally as follows.

$$\text{parentOf}(\text{jamespotter}, \text{harrypotter}) \tag{22}$$
$$\wedge\, \text{orphan}(\text{harrypotter}) \tag{23}$$
$$\wedge\, \forall x \forall y (\text{orphan}(x) \wedge \text{parentOf}(y, x) \rightarrow \text{dead}(y)) \tag{24}$$

This has

$$\text{dead}(\text{jamespotter})$$

as logical consequence.
Proof sketch: From lines (1) and (2) we can conclude by the rule in (3) with $x = \text{harrypotter}$ and $y = \text{jamespotter}$ that dead(harrypotter).
Before we go for a formal proof, let's first give some examples for signatures—see Table 1.
Now for a formal proof: Let $\mathcal{A}$ be any model for the formula in (1-3). From (1) we then obtain

$$(\text{jamespotter}^{\mathcal{A}}, \text{harrypotter}^{\mathcal{A}}) \in \text{parentOf}^{\mathcal{A}}.$$

From (2) we obtain

$$\text{harrypotter}^{\mathcal{A}} \in \text{orphan}^{\mathcal{A}}.$$

$\mathcal{U}_{\mathcal{A}}$	$\{j,h\}$	$\mathbb{N}$	$\mathbb{N}$	$\{a\}$	$\{j,h\}$	$\{j,h\}$
$\text{harrypotter}^{\mathcal{A}}$	h	1	1	a	h	h
$\text{jamespotter}^{\mathcal{A}}$	j	2	2	a	j	j
$\text{orphan}^{\mathcal{A}}$	$\{h\}$	$\{1,3,4\}$	$\{3,4,5\}$	$\{a\}$	$\{h\}$	$\{h\}$
$\text{parentOf}^{\mathcal{A}}$	$\{(j,h)\}$	$\{2,1\}$	$\{(1,2),(3,1)\}$	$\{(a,a)\}$	$\{(h,j)\}$	$\{(j,h)\}$
$\text{dead}^{\mathcal{A}}$	$\{j\}$	$\{1,2\}$	$\{1,3,4\}$	$\{a\}$	$\emptyset$	$\{h\}$
	model	model	no model	model	no model	no model

Table 1: Signatures for Example 3.14.

From (3) we obtain that, whenever

$$u \in \text{orphan}^{\mathcal{A}} \qquad \text{and} \qquad (u,v) \in \text{parentOf}^{\mathcal{A}},$$

then

$$v \in \text{dead}^{\mathcal{A}}.$$

So consequently

$$\text{jamespotter}^{\mathcal{A}} \in \text{dead}^{\mathcal{A}}.$$

Since this argument holds for all models $\mathcal{A}$, we have that

$$\text{dead}(\text{harrypotter})$$

is indeed a logical consequence.

3.15 Example

$$\begin{aligned} &\text{parentOf}(\text{fatherOf}(\text{harrypotter}), \text{harrypotter}) \\ &\qquad \wedge \text{orphan}(\text{harrypotter}) \\ &\qquad \wedge \forall x \forall y (\text{orphan}(x) \wedge \text{parentOf}(y,x) \rightarrow \text{dead}(y)) \end{aligned}$$

has

$$\text{dead}(\text{fatherOf}(\text{harrypotter}))$$

as logical consequence.

3.16 Example

$$\begin{aligned} &\text{human}(\text{harrypotter}) \wedge \text{orphan}(\text{harrypotter}) \\ &\qquad \wedge \forall x (\text{human}(x) \rightarrow \text{parentOf}(\text{fatherOf}(x), x)) \\ &\qquad \wedge \forall x \forall y (\text{orphan}(x) \wedge \text{parentOf}(y,x) \rightarrow \text{dead}(y)) \end{aligned}$$

has

$$\text{dead}(\text{fatherOf}(\text{harrypotter}))$$

as logical consequence.

3.17 Example

$$\forall x(\text{human}(x) \to \text{parentOf}(\text{fatherOf}(x), x)) \\ \wedge \forall x \forall y(\text{orphan}(x) \wedge \text{parentOf}(y, x) \to \text{dead}(y))$$

has

$$\forall x(\text{human}(x) \wedge \text{orphan}(x) \to \text{dead}(\text{fatherOf}(x)))$$

as logical consequence.

Exercise 58 (hand-in) Give two structures for the first formula in Example 3.17, one of which is a model for the formula, and one of which is not a model for the formula.

3.18 Example
Consider the formula $F = \exists x \forall y Q(x, y)$ under the structure $\mathcal{A} = (U_\mathcal{A}, I_\mathcal{A})$ from Example 3.11. We show $\mathcal{A}(F) = 1$.
First note that $0 \le n$ for all $n \in \mathbb{N}$, i.e. $\mathcal{A}_{[x/0][y/n]}(Q(x, y)) = 1$ for all $n \in \mathbb{N} = U_\mathcal{A}$. Thus, $\mathcal{A}_{[x/0]}(\forall y Q(x, y)) = 1$ and therefore $\mathcal{A}(\exists x \forall y Q(x, y)) = 1$ as desired.

Exercise 59 (hand-in) Show that $(U_\mathcal{B}, I_\mathcal{B})$ as in Example 3.11 is a model for

$$\forall x \exists y(P(x) \wedge Q(s(x), y)).$$

11/27/12

3.19 Remark
Predicate logic "degenerates" to propositional logic if either all predicate symbols have arity 0, or if no variables are used. For the latter, a formula like $(Q(a) \wedge \neg R(f(b), c)) \wedge P(a, b)$ can be written as the propositional formula $(A \wedge \neg B) \wedge C$ with A for $Q(a)$, B for $R(f(b), c)$, and C for $P(a, b)$.

3.20 Remark
We deal with *first-order* predicate logic. Second-order predicate logic also allows to quantify over predicate symbols.

Exercise 60 (hand-in) Sentence 1 of Example 3.2 can be written as.

$$\forall x(\text{Healthy}(x) \to \neg\text{Dead}(x)).$$

Translate all other sentences from Example 3.2. Use schroedinger as a constant symbol and use only the following predicate symbols:
unary: Healthy, Dead, Cat, Alive, HappyCatOwner
binary: owns, cares

Exercise 61 Sketch, how you would formally prove, using Exercise 60, that Schrödinger's cat is alive.

3.3 Datalog Revisited

3.21 Definition
Given a Datalog rule $r = B_1 \wedge \cdots \wedge B_k \rightarrow A$, let $\pi(r)$ be the rule

$$\forall x_1 \ldots \forall x_n (B_1 \wedge \cdots \wedge B_k \rightarrow A)$$

where $x_1, \ldots, x_n$ are (all) the variables ocurring in r.
Given a Datalog program P, let $\pi(P) = \{\pi(r) \mid r \in P\}$.

3.22 Theorem
Let P be any Datalog program and let $A \in B_P$. Then $P \models_H A$ if and only if $\pi(P) \models A$.

Exercise 62 Prove Theorem 3.22. (Hint: seek guidance from the proof of Theorem 2.24.)

3.4 Equivalence

[Schöning, 1989, Chapter 2.2]

3.23 Theorem
The following hold for arbitrary formulas F and G.

$$\neg \forall x F \equiv \exists x \neg F \qquad \neg \exists x F \equiv \forall x \neg F$$
$$\forall x F \wedge \forall x G \equiv \forall x (F \wedge G) \qquad \exists x F \vee \exists x G \equiv \exists x (F \vee G)$$
$$\forall x \forall y F \equiv \forall y \forall x F \qquad \exists x \exists y F \equiv \exists y \exists x F$$

If x does not occur free in G, then

$$\forall x F \wedge G \equiv \forall x (F \wedge G) \qquad \forall x F \vee G \equiv \forall x (F \vee G)$$
$$\exists x F \wedge G \equiv \exists x (F \wedge G) \qquad \exists x F \vee G \equiv \exists x (F \vee G)$$

Proof: We show only $\forall x F \wedge \forall x G \equiv \forall x (F \wedge G)$:
$\mathcal{A}(\forall x F \wedge \forall x G) = 1$
iff $\mathcal{A}(\forall x F) = 1$ and $\mathcal{A}(\forall x G) = 1$
iff for all $u \in U_{\mathcal{A}}$, $\mathcal{A}_{[x/u]}(F) = 1$ and for all $v \in U_{\mathcal{A}}$, $\mathcal{A}_{[x/v]}(G) = 1$
iff for all $u \in U_{\mathcal{A}}$, $\mathcal{A}_{[x/u]}(F) = 1$ and $\mathcal{A}_{[x/u]}(G) = 1$
iff $\mathcal{A}(\forall x (F \wedge G)) = 1$ ■

Exercise 63 (hand-in) Show, without using any of the statements in Theorem 3.23, that the first statement, $\neg \forall x F \equiv \exists x \neg F$, holds.

Exercise 64 (hand-in) Show, that $\forall x \exists y P(x, y) \not\equiv \exists u \forall v P(v, u)$.

Exercise 65 (hand-in) Show, using the statements from of Theorem 3.23, that $\forall x \exists y (P(x) \wedge Q(y)) \equiv \exists y \forall x (P(x) \wedge Q(y))$.

Exercise 66 (hand-in) Show by using the statements from of Theorem 3.23, that

$$\forall x(P(x) \to (\exists y(O(x,y) \land C(y)) \land (\forall z(R(x,z) \to H(z)))))$$

and

$$\forall z \forall x \exists y((P(x) \to (O(x,y) \land C(y))) \land ((P(x) \land R(x,z)) \to H(z)))$$

are equivalent.

11/29/12

3.24 Definition
A *substitution* $[x/t]$, where x is a variable and t a term, is a mapping which maps each formula G to the formula $G[x/t]$, which is obtained from G by replacing all free occurrences of x by t.

3.25 Example
$(P(x,y) \land \forall y Q(x,y))[x/a][y/f(x)] = P(a, f(x)) \land \forall y Q(a,y)$

Exercise 67 (hand-in) What is $(\forall x(Q(x,y,z)[y/a])[x/b] \land \forall x(P(x,y)[y/x][x/a]))[z/x]$?

Exercise 68 Show, that, for any formula F in which y does not occur as free variable, $\forall x F \equiv \forall y F[x/y]$.

3.5 Normal Forms

[Schöning, 1989, Chapter 2.2 cont.]

3.26 Definition
A *literal* is an atomic formula (a *positive* literal) or the negation of an atomic formula (a *negative* literal).
A formula F is in *negation normal form* (NNF) if the negation symbol $\neg$ occurs only in literals (and $\to$, $\leftrightarrow$ don't appear in it).

3.27 Theorem
For every formula F, there is a formula $G \equiv F$ which is in NNF.

Proof: Apply de Morgan, double negation, and $\neg\forall x F \equiv \exists x \neg F$ and $\neg\exists x F \equiv \forall x \neg F$ exhaustively. ■

3.28 Example
$\neg(\exists x P(x,y) \lor \forall z Q(z)) \land \neg\exists w P(f(a,w))$
$\equiv (\neg\exists x P(x,y) \land \neg\forall z Q(z)) \land \forall w \neg P(f(a,w))$
$\equiv (\forall x \neg P(x,y) \land \exists z \neg Q(z)) \land \forall w \neg P(f(a,w))$

Exercise 69 (hand-in) Transform all formulas from Example 3.5 into NNF.

3.6 Tableaux Algorithm

[Ben-Ari, 1993, Chapter 5.5, strongly modified]

3.29 Definition
Let F be a formula in NNF. A *tableau branch* for F is a set of formulas, defined inductively as follows.

- $\{F\}$ is a tableau branch for F.
- If T is a tableau branch for F and $G \wedge H \in T$, then $T \cup \{G, H\}$ is a tableau branch for F.
- If T is a tableau branch for F and $G \vee H \in T$, then $T \cup \{G\}$ is a tableau branch for F and $T \cup \{H\}$ is a tableau branch for F.
- If T is a tableau branch for F and $\forall x G \in T$, then $T \cup \{G[x/t]\}$ is a tableau branch for F, where t is any term.
- If T is a tableau branch for F and $\exists x G \in T$, then $T \cup \{G[x/a]\}$ is a tableau branch for F, where a is a constant symbol which does not occur in T (or in the tableau curently constructed).

A *tableau* for F is a set of tableau branches for F.
A tableau branch is *closed* if it contains an atomic formula A and its negation $\neg A$. Otherwise, it is *open*.
A tableau M for F is called *closed* if for each $T \in M$ there is a closed $T' \in M$ with $T \subseteq T'$.

If F is not in NNF, then a tableau (resp., tableau branch) for F is a tableau (resp. tableau branch) for an NNF of F.

3.30 Theorem (Soundness)
If a closed formula F has a closed tableau, then F is unsatisfiable.

3.31 Theorem (Completeness)
If a closed formula F is unsatisfiable, then there is a closed tableau for F.

3.32 Example
We show $\exists u \forall v P(v, u) \models \forall x \exists y P(x, y)$. I.e. we make a tableau for

$$\exists u \forall v P(v, u) \wedge \exists x \forall y \neg P(x, y),$$

see Figure 2 (left).

12/04/12

3.33 Example
We show, that

$$\exists x \exists y (P(x) \vee Q(y)) \models \exists x (P(x) \vee Q(x)).$$

[done on whiteboard]

3.34 Example
We show, that

$$\forall x \exists y (P(x) \wedge Q(y)) \equiv \exists y \forall x (P(x) \wedge Q(y)).$$

[done on whiteboard]

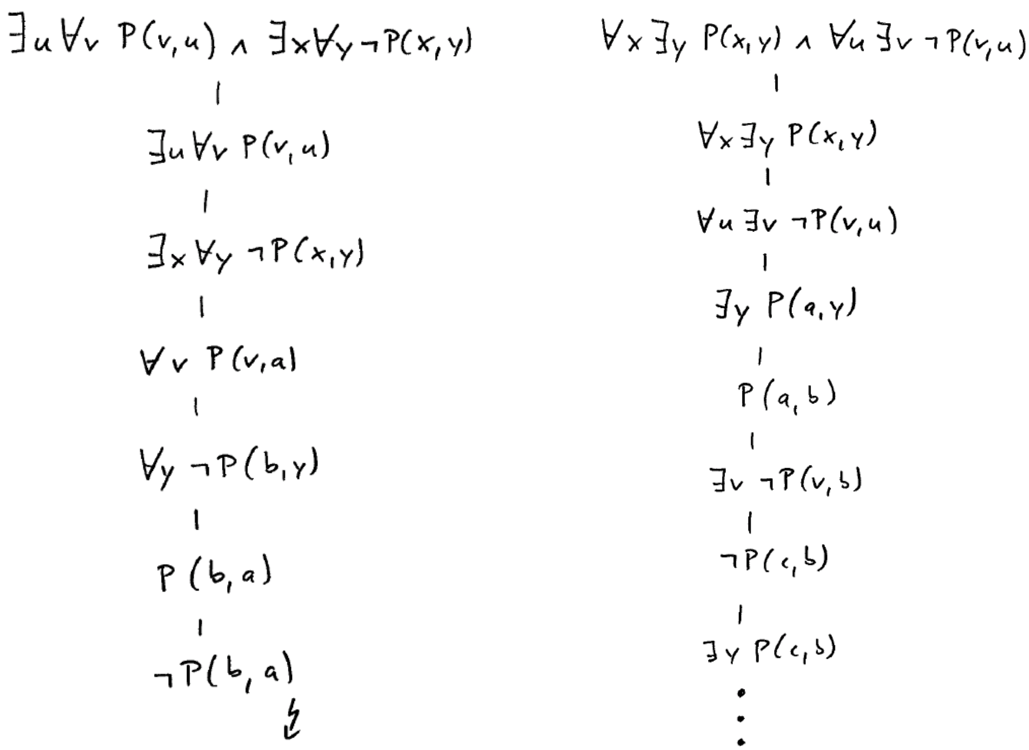

Figure 2: Tableaux for Example 3.32 (left) and Remark 3.36 (right).

3.35 Example
We show, that

$$\forall x(P(x) \to (\exists y(O(x,y) \wedge C(y)) \wedge (\forall z(R(x,z) \to H(z)))))$$

has

$$\forall z \forall x \exists y((P(x) \to (O(x,y) \wedge C(y))) \wedge ((P(x) \wedge R(x,z)) \to H(z)))$$

as logical consequence.
[done on whiteboard]

3.36 Remark
The (predicate logic) tableaux algorithm does *not* in general provide a means to find out if a formula is satisfiable or falsifiable.
Consider $\forall x \exists y P(x,y) \overset{?}{\models} \exists u \forall v P(v,u)$. If we attempt to make a tableau for

$$\forall x \exists y P(x,y) \wedge \forall u \exists v \neg P(v,u),$$

see for example Figure 2, then the search for closing the tableau does not stop. The reason for this is that the tableau cannot close, but the occurrence of the quantifiers in the formula prompts the algorithm to ever explore new terms for the bound variables.

Exercise 70 (hand-in) Show, using a tableau, that $\exists x(P(x) \wedge Q(x)) \models \exists x P(x) \wedge \exists y Q(y)$.

Exercise 71 (hand-in) Show, using a tableau, that $\exists x(O(s,x) \wedge A(x))$ is a logical consequence of the formulas in Example 3.5.

Exercise 72 (hand-in) Show, using a tableau, that $Q(a) \wedge Q(b) \wedge \forall x(P(x) \wedge (Q(x) \rightarrow \neg P(x)))$ is unsatisfiable.

3.37 Remark
While the propositional tableaux algorithm always terminates, this is not the case for the predicate logic tableaux algorithm.

3.7 Theoretical Aspects

[Schöning, 1989, Chapter 2.3 and other sources]

3.38 Theorem (monotonicity of propositional logic)
Let M, N be sets of formulas. If $M \subseteq N$ then $\{F \mid M \models F\} \subseteq \{F \mid N \models F\}$.

Proof: Similar as for propositional logic. ■

3.39 Theorem (compactness of propositional logic)
A set M of formulas is satisfiable if and only if every finite subset of it is satisfiable.

3.40 Theorem (undecidability of predicate logic)
The problem "*Given a formula F, is F valid?*" is undecidable.

Exercise 73 Show, that the problem "*Given a formula F and a finite set of formulas M, is $M \models F$?*" is undecidable. [use Theorem 3.40]

3.41 Theorem (semi-decidability of predicate logic)
The problem "*Given a formula F, is F valid?*" is semi-decidable.

Proof: We have, e.g., the tableaux calculus for this. ■

3.42 Remark
The formula

$$F = \forall x \forall y \forall u \forall v \forall w (P(x, f(x)) \wedge \neg P(y,y) \wedge ((P(u,v) \wedge P(v,w)) \rightarrow P(u,w))$$

is satisfiable but has no finite model (with $U_{\mathcal{A}}$ finite).
$\mathcal{A} = (U_{\mathcal{A}}, I_{\mathcal{A}})$ is a model, where

$$\begin{aligned} U_{\mathcal{A}} &= \mathbb{N} \\ P^{\mathcal{A}} &= \{(m,n) \mid m < n\} \\ f^{\mathcal{A}}(n) &= n+1 \end{aligned}$$

Assume $B = (U_\mathcal{B}, I_\mathcal{B})$ is a finite model for F. Let $u_0 \in U_\mathcal{B}$ and consider the sequence $(u_i)_{i\in\mathbb{N}}$ with $u_{i+1} = f^\mathcal{B}(u_i)$. Since $U_\mathcal{B}$ is finite, there exist $i < j$ with $u_i = u_j$. F enforces transitivity of F, hence $(u_i, u_j) \in P^\mathcal{B}$. But since $u_i = u_j$ this contradicts $\forall y \neg P(y, y)$.

3.43 Theorem (Löwenheim-Skolem)
If a (finite or) countable set of formulas is satisfiable, then it is satisfiable in a countable domain.

3.44 Remark
According to Theorem 3.43, it is impossible to axiomatize the real numbers in first-order predicate logic.

4 Application: Knowledge Representation for the World Wide Web

[See [Hitzler et al., 2009] for further reading.]

[Slideset 2]