# Language Classes Based on Randomization 

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## Randomized Turing Machines



Scratch tape(s)

## Quicksort

A RTM $M$ does as follows on input $w$ where $|w|=m$ :

1. Use about $O(\log m)$ new random bits on Tape 2 to pick a random number $n$ between $1 \ldots m$. The $n^{\text {th }}$ symbol in $w$ is the pivot $p$.
2. Put $p$ on Tape 3.
3. Scan $w$, copying each symbol $x$ to Tape 4 if $x \leq p$.
4. Scan $w$, copying each symbol $y$ to Tape 5 if $y>p$.
5. Overwrite $w$ on Tape 1 with contents of Tape 4 and then 5 , placing a marker between them.
6. If either or both sublists have more than one element, recursively sort them by the same algorithm.

Running time is in $O(n \log n)$

## Language of Randomized Turing Machines

- Each "branch" of a RTM has a probability
- On a given input $w$, a RTM $M$ :
- may have different runtime behavior
- may not halt
- Each input $w$ to $M$ has some probability of acceptance
- Time and space complexity can be measured using the worst case computation branch


## Example Probability Calculation

|  | 00 | 01 | 10 | 11 | $B 0$ | $B 1$ |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\rightarrow q_{0}$ | $q_{1} 00 R S$ | $q_{3} 01 S R$ | $q_{2} 10 R S$ | $q_{3} 11 S R$ |  |  |
| $q_{1}$ | $q_{1} 00 R S$ |  |  |  | $q_{4} B 0 S S$ |  |
| $q_{2}$ |  |  | $q_{2} 10 R S$ |  | $q_{4} B 0 S S$ |  |
| $q_{3}$ | $q_{3} 00 R R$ |  |  | $q_{3} 11 R R$ | $q_{4} B 0 S S$ | $q_{4} B 1 S S$ |
| $* q_{4}$ |  |  |  |  |  |  |

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| $* q_{4}$ |  |  |  |  |  |  |  |
| $q_{0}$ | 0 | 0 | 0 | 0 | B |  |  |
|  |  |  |  |  |  |  |  |
|  | 0 | 1 | 1 | 0 | 1 |  |  |

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|  | 1 | 1 | 1 | 1 | B |  |  |
| $q_{0}$ | 1 | 1 | 1 | 0 | 1 |  |  |
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| $* q_{4}$ |  |  |  |  |  |  |  |
|  | 1 | 1 | 1 | 1 | B |  |  |
| $q_{2}$ | 1 | 1 | 1 | 0 | 1 |  |  |
|  |  |  |  |  |  |  |  |
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| $* q_{4}$ |  |  |  |  |  |  |  |
|  | 1 | 1 | 1 | 1 | B |  |  |
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|  | 1 | 1 | 1 | 1 | B |  |  |
| $q_{2}$ | 1 | 1 | 1 | 0 | 1 |  |  |
|  |  |  |  |  |  |  |  |
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| $q_{4}$ | 1 | 1 | 1 | 1 | B |  |  |
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| $* q_{4}$ |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| $q_{0}$ | 1 | 0 | 1 | B | B |  |  |
|  | 1 | 1 | 0 | 1 | 1 |  |  |

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| $q_{1}$ | $q_{1} 00 R S$ |  |  |  | $q_{4} B 0 S S$ |  |  |
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| $* q_{4}$ |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| $q_{3}$ | 1 | 0 | 1 | B | B |  |  |
|  | 1 | 1 | 0 | 1 | 1 |  |  |

## Example Probability Calculation

|  | 00 | 01 | 10 | 11 | $B 0$ | B1 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\rightarrow q_{0}$ | $q_{1} 00$ RS | $q_{3} 01 S R$ | $q_{2} 10$ RS | $q_{3} 11 S R$ |  |  |
| $q_{1}$ | $q_{1} 00 R S$ |  |  |  | $q_{4} B 0 S S$ |  |
| $q_{2}$ |  |  | $q_{2} 10 \mathrm{RS}$ |  | $q_{4} B 0 S S$ |  |
| $q_{3}$ | $q_{3} 00 R R$ |  |  | $q_{3} 11 R R$ | $q_{4} B 0 S S$ | $q_{4} B 1 S S$ |
| $*_{4}$ |  |  |  |  |  |  |
| 1 | 0118 |  |  |  |  |  |
| $q_{3} 1$ | 101 | 1 |  |  |  |  |

## Example Probability Calculation

|  | 00 | 01 | 10 | 11 | $B 0$ | $B 1$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\rightarrow q_{0}$ | $q_{1} 00 \mathrm{RS}$ | $q_{3} 01 S R$ | $q_{2} 10 \mathrm{RS}$ | $q_{3} 11 S R$ |  |  |
| $q_{1}$ | $q_{1} 00 R S$ |  |  |  | $q_{4} B 0 S S$ |  |
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Homogeneous Input $w\left(0^{i}\right.$ or $\left.1^{i}\right)$

$$
\frac{1}{2}+\frac{1}{2} 2^{-i}=\frac{1}{2}+2^{-(i+1)}
$$

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Heterogeneous Input $w$ (both 0's and 1's)

- if first random bit is 0 then $w$ is never accepted
- Otherwise the probability of acceptance is $2^{-|w|}$

$$
\frac{1}{2} 2^{-|w|}=2^{-(|w|+1)}
$$

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Conclusion: We can compute a probability of acceptance of any given string by any given RTM.

## $\mathcal{R P}$ Random Polynomial

A language $L$ is said to be in $\mathcal{R} \mathcal{P}$ if it is accepted by a RTM $M$ such that on input $w$ :

1. If $w \notin L$, then the probability that $M$ accepts $w$ is 0 .
2. If $w \in L$, then the probability that $M$ accepts $w$ is at least $1 / 2$.
3. There exits a polynomial $T(n)$ where $n=|w|$ such that all runs of $M$ halt after at most $T(n)$ steps.

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## Polynomial-time Monte-Carlo TMs

The class of languages for which membership can be determined in polynomial time by a RTM with no false acceptances and less than half of the rejections are false rejections

## Recognizing Languages in $\mathcal{R} \mathcal{P}$

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Theorem 11.16: If $L$ is in $\mathcal{R} \mathcal{P}$, then for any constant $c>0$, no matter how small, there is a polynomial-time randomized algorithm that renders a decision whether its given input $w$ is in $L$, makes no false-positive errors, and makes false-negative errors with probability no greater than $c$.

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- Defn: Las-Vegas Turning Machines are TMs that always give the correct answer, but whose running time varies depending on the values of some random bits.
- A language $L \in \mathcal{Z P P}$ if it's accepted by a Las-Vegas Turing Machine with a polynomial expected running time.


## Relationship between $\mathcal{R P}$ and $\mathcal{Z P P}$

If $L \in \mathcal{Z P} \mathcal{P}$, then so is $\bar{L}$

- Assume $M$ is a polynomial-expected-time Las-Vegas TM such that $L \in L(M)$
- $\bar{L} \in L\left(M^{\prime}\right)$ such that all accepting states in $M$ are changed to halting without acceptance states in $M^{\prime}$ and all non-accepting halting states in $M$ are changed to accepting and halt states in $M^{\prime}$.


## Relationship between $\mathcal{R P}$ and $\mathcal{Z P P}$

Theorem 11.17: $\mathcal{Z P \mathcal { P }}=\mathcal{R} \mathcal{P} \cap \operatorname{co}-\mathcal{R} \mathcal{P}$
Proof: $\mathcal{R P} \cap \mathrm{co}-\mathcal{R} \mathcal{P} \subseteq \mathcal{Z P P}$
Suppose $L \in \mathcal{R} \mathcal{P} \cap \operatorname{co}-\mathcal{R} \mathcal{P}$. Therefore $L$ and $\bar{L}$ have Monte-Carlo TM's $S$ and $T$, each with a polynomial running time. Assume $p(n)$ bounds the running time of both machines. We design a Las-Vegas TM $M$ for $L$ as follows:

1. Run $S$ on the input; if it accepts, then $M$ accepts and halts.
2. If not, run $T$ on the input. If it accepts, then $M$ halts without accepting. Otherwise, $M$ returns to step (1)

## Relationship between $\mathcal{R P}$ and $\mathcal{Z P P}$

- Clearly $M$ accepts $w$ if $w \in L$ and rejects $w$ only if $w \notin L$.
- The expected running time of round one is $2 p(n)$. Step (1) has a $50 \%$ chance of leading to acceptance and Step (2) has a $50 \%$ chance of leading to rejection so the expected running time of $M$ is no more than

$$
2 p(n)+\frac{1}{2} 2 p(n)+\frac{1}{4} 2 p(n)+\frac{1}{8} 2 p(n)+\cdots=4 p(n)
$$

## Relationship to classes $\mathcal{P}$ and $\mathcal{N} \mathcal{P}$

Proof: Assume $L \in \mathcal{Z P P}$ and show $L \in \mathcal{R P}$ and $L \in \operatorname{co}-\mathcal{R P}$.
We construct a Monte-Carlo TM $M_{2}$ as follows:

- $M_{2}$ simulates $S$ for $2 p(n)$ steps.
- If $S$ accepts then so does $M_{2}$; otherwise $M_{2}$ rejects.


## Observations:

- Suppose $w \notin L$. Then $S$ will not accept $w$ nor will $M_{2}$.
- Suppose $w \in L$. Then $S$ will eventually accept $w$ but not necessarily within $2 p(n)$ steps.
- However the probability of $S$ accepting $w$ within $2 p(n)$ steps is at least $1 / 2$ and therefore the probability of $M_{2}$ accepting $w$ is at least $1 / 2$.
- Thus $M_{2}$ is polynomial-time-bounded Monte-Carlo TM, so $L \in \mathcal{R} \mathcal{P}$.


## Relationship to classes $\mathcal{P}$ and $\mathcal{N} \mathcal{P}$

Theorem 11.18: $\mathcal{P} \subseteq \mathcal{Z P P}$
Proof: Any deterministic, polynomial-time bounded TM is also a Las-Vegas, polynomial-time bounded TM, that happens not to use its ability to make random choices

## Relationship to classes $\mathcal{P}$ and $\mathcal{N} \mathcal{P}$

Theorem 11.19: $\mathcal{R} \mathcal{P} \subseteq \mathcal{N} \mathcal{P}$
Proof: Suppose we have a polynomial-time-bounded
Monte-Carlo TM $M_{1}$ for a language $L$. When $M_{1}$ examines a random bit, $M_{2}$ non-deterministically chooses both possible values for the bit and writes it to its own tape which simulates the random tape of $M_{1} . M_{2}$ accepts whenever $M_{1}$ accepts and does not accept otherwise.

Suppose $w \in L$. Since the chance $M_{1}$ accepts $w$ is $50 \%$, there must exist a sequence of random bits that leads to acceptance of $w . M_{2}$ will eventually choose this sequence and therefore also accepts when $M_{1}$ does. Thus $w \in L\left(M_{2}\right)$.

However, if $w \notin L$ then there are no sequece of random bits that lead to acceptance in $M_{1}$ and therefore no sequence of choices of $M_{2}$ will lead to acceptance. Therefore $w \notin L\left(M_{2}\right)$.

Relationship to classes $\mathcal{P}$ and $\mathcal{N} \mathcal{P}$


