

Abstract interpretation from a denotational semantics perspective

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Thank you, Bob Tennent...



for your contributions to programming-languages research!

The clarity and precision of your work is an inspiration, as is the care you take to ground your results in practice!



Patrick Cousot, MFPS 1997: “Denotational semantics is an abstract interpretation...”

Constructive Design of a Hierarchy of Semantics of a Transition System
by Abstract Interpretation

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We construct a hierarchy of semantics by successive abstract interpretations. Starting from the maximal trace semantics of a transition system, we derive the big-step semantics, termination and nontermination semantics, Plotkin's natural, Smyth's demoniac and Hoare's angelic relational semantics and equivalent nondeterministic denotational semantics (with alternative powerdomains to the Egli-Milner and Smyth constructions), D. Scott's deterministic denotational semantics, the generalized and Dijkstra's conservative/liberal predicate transformer semantics, the generalized/total and Hoare's partial correctness axiomatic semantics and the corresponding proof methods. All the semantics are presented in a uniform fixpoint form and the correspondences between these semantics are established through composable Galois connections, each semantics being formally calculated by abstract interpretation of a more concrete one using Kleene and/or Tarski fixpoint approximation transfer theorems.

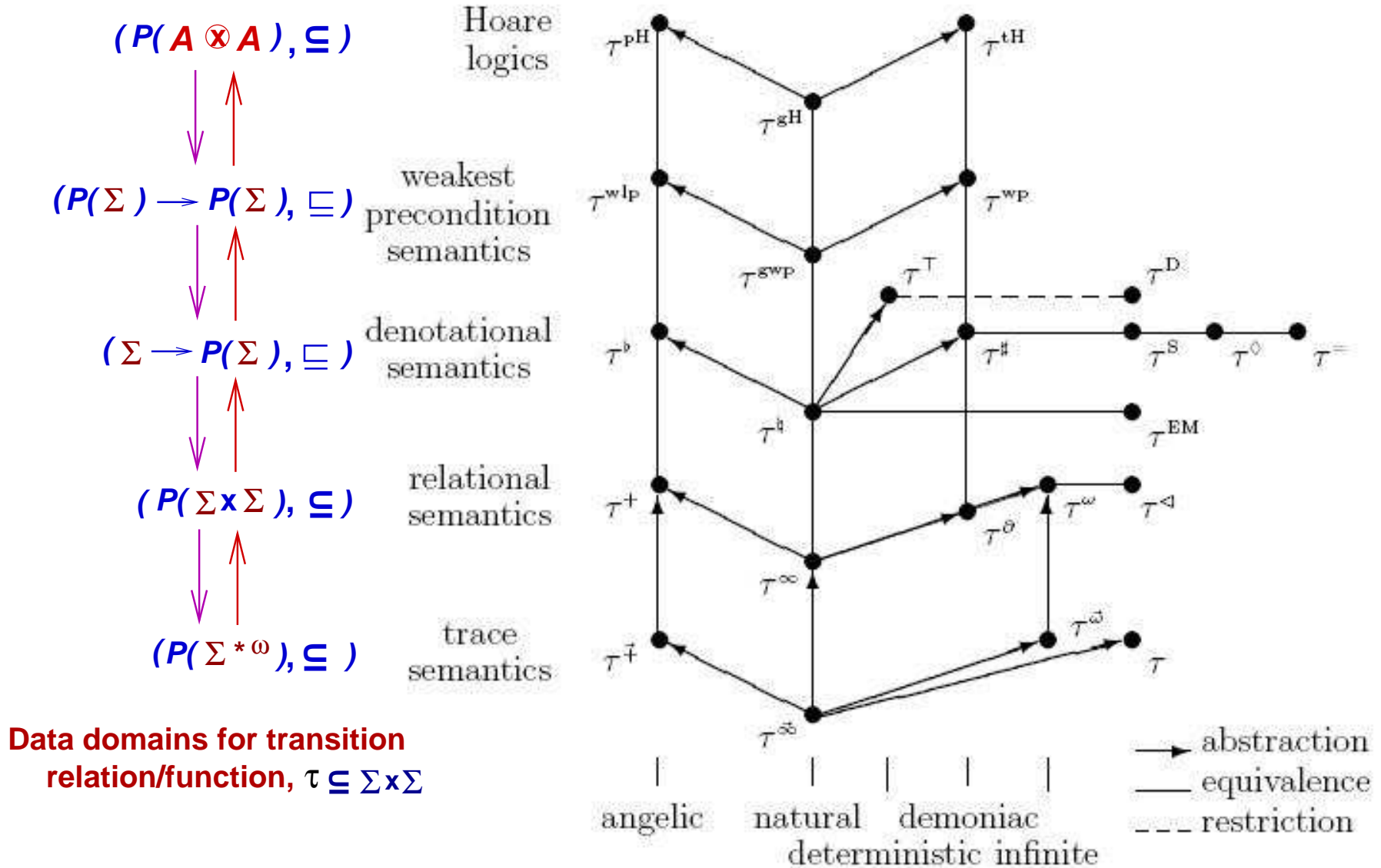


Fig. 4. The lattice of semantics

Abstract interpretation

finitely approximates a program's execution [Cousot78,Cousot²77].

According to [Cousot97], it is the reinterpretation of a formal system, (τ, D) , by an adjunction,

$$D \begin{array}{c} \xleftarrow{\gamma} \\ \xrightarrow{\alpha} \end{array} A, \text{ as } (\alpha \circ \tau \circ \gamma, A):$$
$$\begin{array}{ccc} & \tau & \\ & \longrightarrow & \\ \gamma \uparrow & & \downarrow \alpha \\ D & & D \\ & \dashrightarrow & \\ A & & A \end{array}$$

where A 's elements finitely approximate D 's.

This talk shows how to use the approximation embedded within Scott domain D^∞ to define abstract interpretation. In this sense, “abstract interpretation is a denotational semantics....”

Denotational semantics

defines a program's meaning extensionally (and inductively) a value from a *Scott domain* [ScottStrachey71,Tennent76].

In the sense of [Cousot97], it is a function,

$$C : \text{Program} \rightarrow D^\infty \rightarrow D^\infty,$$

from which one defines, for program P , its formal system, $(C[[P]], D^\infty)$.

Background: abstract interpretation

Abstract interpretation = finite approximation

```
readInt(x)
```

```
x = succ(x)
```

```
if x < 0 :
```

```
  x = negate(x)
```

```
else:
```

```
  x = succ(x)
```

```
writeInt(x)
```

Q: is the output pos?

A: abstractly interpret

input domain Int by

Sign = {neg, zero, pos, any}:

```
readSign(x)
```

```
x = succ#(x)
```

```
if (filterNeg(x):
```

```
  x = negate#(x))
```

```
(filterNonNeg(x):
```

```
  x = succ#(x)) fi
```

```
writeSign(x)
```

$\text{succ}^{\#}(\text{pos}) = \text{pos}$

$\text{succ}^{\#}(\text{zero}) = \text{pos}$

where $\text{succ}^{\#}(\text{neg}) = \text{any}$ (!) and

$\text{succ}^{\#}(\text{any}) = \text{any}$

$\text{negate}^{\#}(\text{neg}) = \text{pos}$

$\text{negate}^{\#}(\text{zero}) = \text{zero}$

$\text{negate}^{\#}(\text{pos}) = \text{neg}$

$\text{negate}^{\#}(\text{any}) = \text{any}$

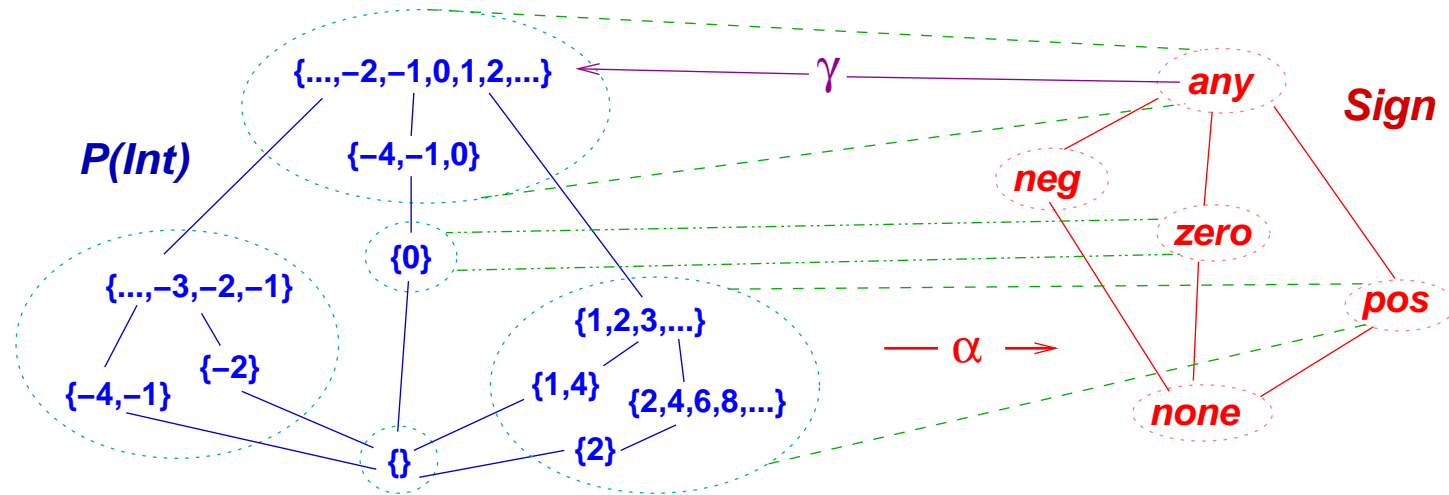
For the abstract data-test sets, zero, neg, pos, we calculate:

$\{\text{zero} \mapsto \text{pos}, \text{pos} \mapsto \text{pos}, \text{neg} \mapsto \text{any}\}$. The last result arises because

$\text{succ}^{\#}(\text{neg}) = \text{any}$ and $\text{filterNeg}(\text{any}) = \text{neg}$ (good!) but $\text{filterNonNeg}(\text{any}) = \text{any}$

(bad — we need $\text{zero} \vee \text{pos}$!), so we cannot ensure the success of the else-arm.

A Galois connection formalizes the approximation



$$\gamma : \text{Sign} \rightarrow \mathcal{P}(\text{Int})$$

$$\gamma(\text{none}) = \{\}, \quad \gamma(\text{any}) = \text{Int}$$

$$\gamma(\text{neg}) = \{\dots, -3, -2, -1\}$$

$$\gamma(\text{zero}) = \{0\}, \quad \gamma(\text{pos}) = \{1, 2, 3, \dots\}$$

$$\alpha : \mathcal{P}(\text{Int}) \rightarrow \text{Sign}$$

$$\alpha(S) = \sqcap \{a \mid \gamma(a) \subseteq S\}$$

$$\text{e.g., } \alpha\{2, 4, 6, 8, \dots\} = \text{pos},$$

$$\alpha\{-1, 0\} = \text{any}, \quad \alpha\{0\} = \text{zero}$$

$(\mathcal{P}(\text{Int}), \subseteq) \langle \alpha, \gamma \rangle (\text{Sign}, \sqsubseteq)$ is a **Galois connection**:

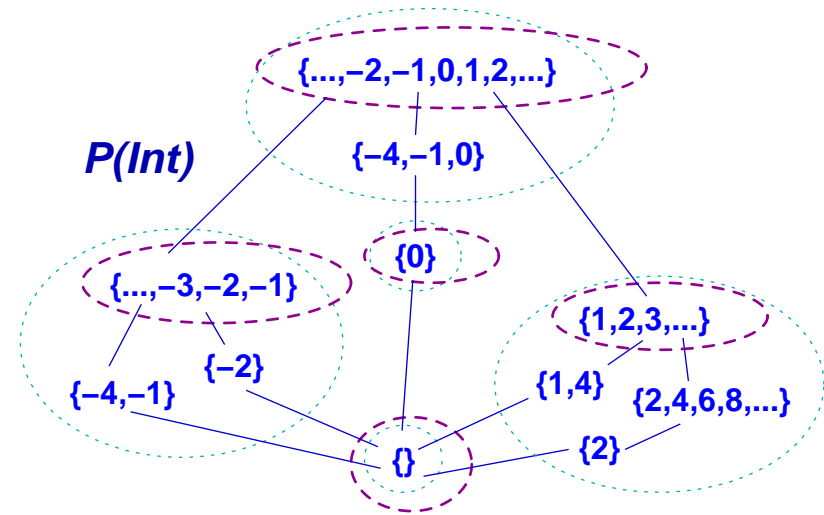
$$\alpha(S) \sqsubseteq a \text{ iff } S \subseteq \gamma(a).$$

γ interprets the elements in *Sign*, and α maps each data-test set in the *collecting domain*, $\mathcal{P}(\text{Int})$, to the name that best describes the set [CousotCousot77].

The Galois connection defines a closure operator, $\rho = \gamma \circ \alpha : \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$

$$\rho[\mathcal{P}(Int)] =$$

$$\{\{\}, \{\dots, -2, -1\}, \{0\}, \{1, 2, \dots\}, Int\}$$



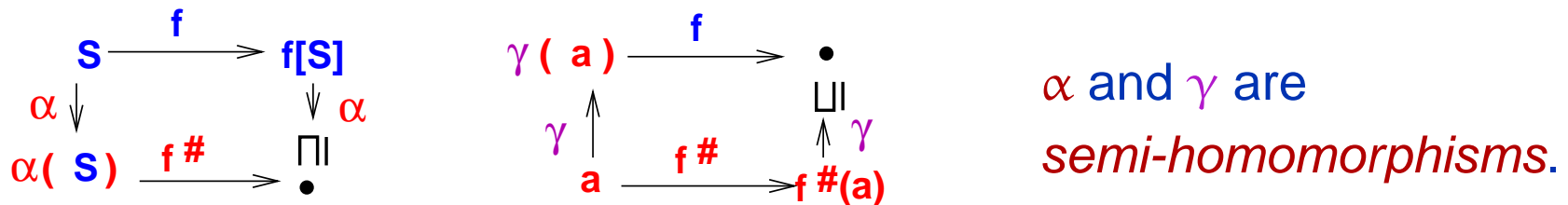
$\rho[\mathcal{P}(Int)]$ identifies the *properties* expressible in abstract domain *Sign*, and ρ maps a test set to its *minimal property*, e.g., $\rho\{1\} = \{1, 2, \dots\}$, $\rho\{-1, 1\} = Int$, etc. Note that $\rho[\mathcal{P}(Int)]$ is *closed under intersection* (conjunction).

From here on, we work with Galois connections of form,

$(\mathcal{P}(\Sigma), \subseteq) \langle \alpha, \gamma \rangle (A, \sqsubseteq)$, so that $\rho = \gamma \circ \alpha$ maps sets to sets, and we assume that α is onto.

Monotone, sound abstract functions

$f^\# : A \rightarrow A$ is *sound* for $f : \Sigma \rightarrow \Sigma$ iff $\alpha \circ f \sqsubseteq f^\# \circ \alpha$ (iff $f \circ \gamma \sqsubseteq \gamma \circ f^\#$):



Example: The $\text{succ}^\#$ function seen earlier is sound for succ , e.g., for $\text{succ} : \text{Int} \rightarrow \text{Int}$, $\text{succ}[\{0\}] = \{1\}$, and $\text{succ}^\#(\text{zero}) = \text{pos}$.

Recall that $\rho[\mathcal{P}(\Sigma)] = \gamma[A]$ identifies the properties expressed by A .

When α is onto, we can treat $f^\# : A \rightarrow A$ as $f^\# : \rho[\mathcal{P}(\Sigma)] \rightarrow \rho[\mathcal{P}(\Sigma)]$.

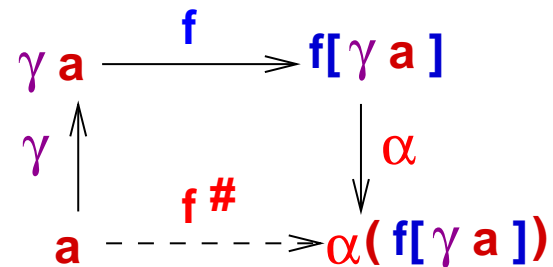
Example: $\text{succ}^\#\{0\} = \{1, 2, \dots\}$.

Proposition: For all $\phi \in \rho[\mathcal{P}(\Sigma)]$, $f^\#$ is sound for f iff $f(\phi) \subseteq f^\#(\phi)$.

There is also the dual notion, *underapproximating soundness*, where $f(\phi) \supseteq f^\#(\phi)$; this is best developed with an interior map, $\iota : \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$.

Strongest abstract function

The strongest (most precise), sound $f^\# : \mathcal{A} \rightarrow \mathcal{A}$ for $f : \Sigma \rightarrow \Sigma$ is $f_0^\# = \alpha \circ f \circ \gamma$, that is, $f_0^\#(a) = \alpha(f[\gamma(a)])$:



Example: The $\text{succ}^\#$ function seen earlier is strongest for succ .

We can define $f_0^\#$ in terms of $\rho = \gamma \circ \alpha$:

$$f_0^\# = \rho \circ f : \rho[\mathcal{P}(\Sigma)] \rightarrow \rho[\mathcal{P}(\Sigma)], \quad \text{e.g., } \text{succ}_0^\#\{0\} = \{1, 2, \dots\}.$$

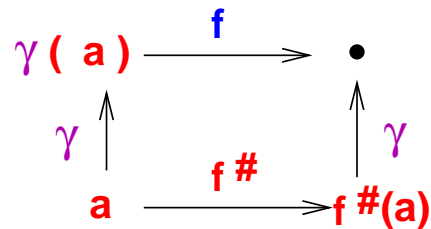
Proposition: (strongest postcondition for f): For all $\phi, \psi \in \rho[\mathcal{P}(\Sigma)]$, if $f(\phi) \subseteq \psi$, then $f_0^\#(\phi) \subseteq \psi$.

There is dual formulation, in terms of an interior map, ι , that generates the weakest precondition for f as $\iota \circ f^{-1}$.

Complete abstract functions

Forwards completeness

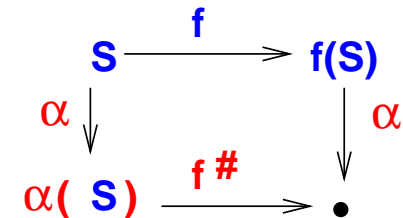
[Giacobazzi01]: $f \circ \gamma = \gamma \circ f^\#$



Backwards completeness

[Cousot²79, Giacobazzi00]:

$$\alpha \circ f = f^\# \circ \alpha$$



Define $f_0^\# = \rho \circ f : \rho[\mathcal{P}(\Sigma)] \rightarrow \rho[\mathcal{P}(\Sigma)]$ as before.

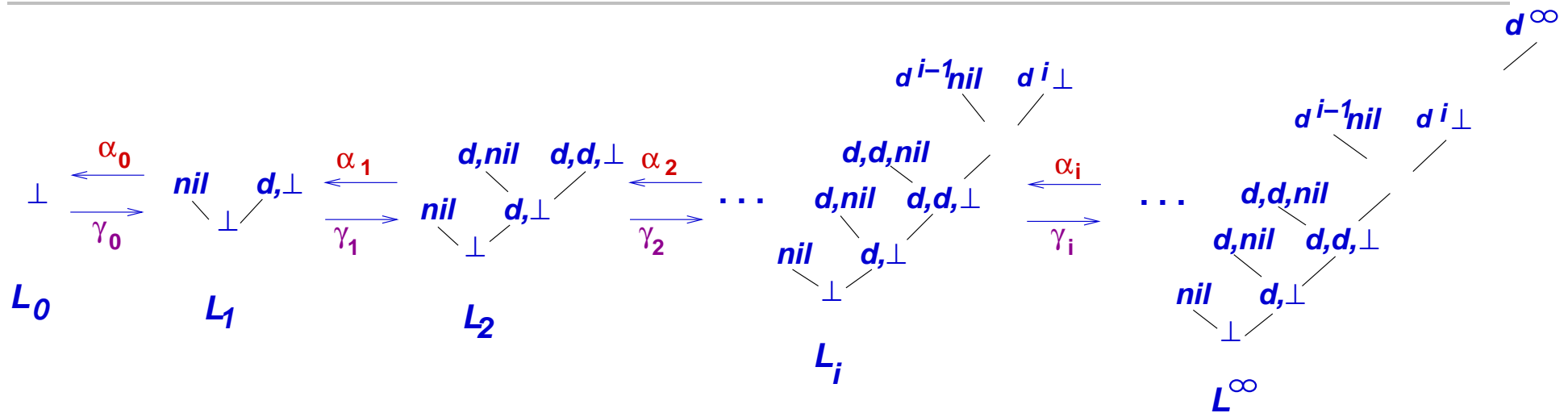
Proposition: TFAE: (i) $f_0^\#$ is forwards complete for f ;
(ii) for all $\phi \in \rho[\mathcal{P}(\Sigma)]$, $f(\phi) \in \rho[\mathcal{P}(\Sigma)]$;
(iii) $f \circ \rho = \rho \circ f \circ \rho$.

Proposition: TFAE: (i) $f_0^\#$ is backwards complete for f ;
(ii) for all $S_1, S_2 \in \mathcal{P}(\Sigma)$, $\rho(S_1) = \rho(S_2)$ implies $\rho(f[S_1]) = \rho(f[S_2])$;
(iii) $\rho \circ f = \rho \circ f \circ \rho$.

What do these results signify, really?

Background: denotational semantics

Inverse limit of $L^\infty \approx (\{nil\} + (D \times L^\infty))_\perp$ (in SFP^{ep})

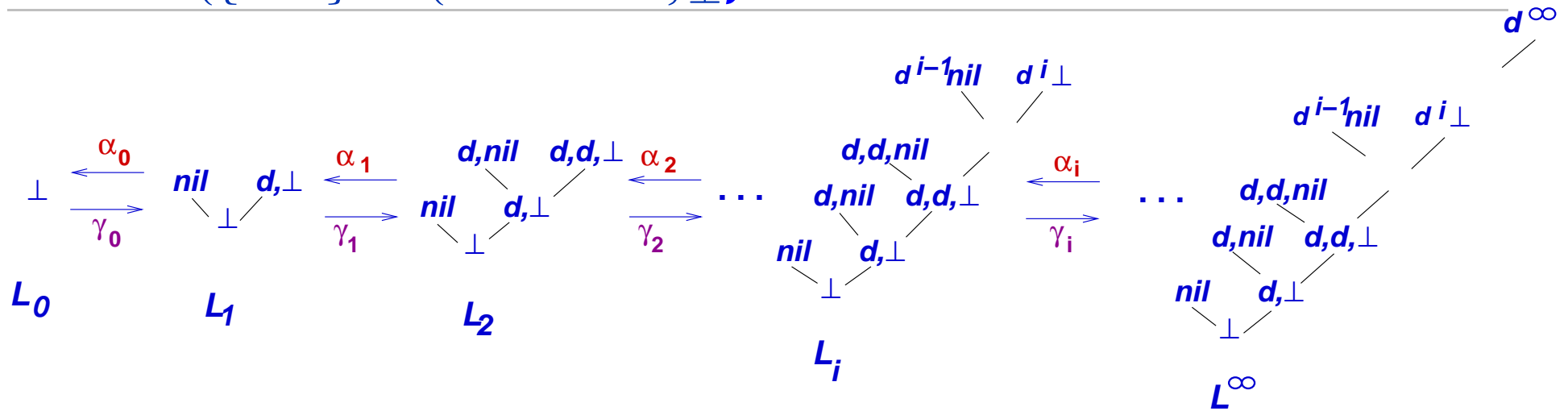


For $L_0 = \{\perp\}$, $L_{i+1} = (\{nil\} + (D \times L_i))_\perp$,
 the embedding, projection pairs, $L_i \langle \gamma_i, \alpha_i \rangle L_{i+1}$, are defined

$$\begin{array}{ll}
 \gamma_0(\perp) = \perp & \gamma_{i+1} = F(\gamma_i) \\
 \alpha_0(\ell) = \perp & \alpha_{i+1} = F(\alpha_i)
 \end{array}
 \quad \text{where} \quad
 \begin{array}{l}
 F(f)(\perp) = \perp \\
 F(f)(nil) = nil \\
 F(f)(d, \ell) = (d, f(\ell))
 \end{array}$$

The e,p pairs compose into ones of form, $L_i \langle \gamma_{i,j}, \alpha_{j,i} \rangle L_j$, for $i < j$.

$L^\infty \approx (\{\text{nil}\} + (D \times L^\infty))_\perp$, **cont.**



The elements of L^∞ are tuples, $\langle l_i \rangle_{i \geq 0}$, such that each $l_i \in L_i$ and $l_i = \alpha_i(l_{i+1})$, for all $i \geq 0$.

For all $i \geq 0$, $L_i \langle \gamma_{i,\infty}, \alpha_{\infty,i} \rangle L^\infty$ are defined

$$\gamma_{i,\infty}(l) = \langle \alpha_{i-1,0}(l), \alpha_{i-1,1}(l), \dots, \alpha_i(l), l, \gamma_i(l), \gamma_{i,i+2}(l), \gamma_{i,i+3}(l) \dots \rangle$$

$$\alpha_{\infty,i} \langle l_0, l_1, \dots, l_i, \dots \rangle = l_i$$

$L^\infty \langle \gamma^\infty, \alpha^\infty \rangle (\{\text{nil}\} + (D \times L^\infty))_\perp$ forms an order-isomorphism, where

$$\gamma^\infty = \sqcup_{i \geq 0} F(\gamma_{i,\infty}) \circ \alpha_{\infty,i+1}$$

$$\alpha^\infty = \sqcup_{i \geq 0} \gamma_{i+1,\infty} \circ F(\alpha_{\infty,i})$$

A semantics definition based on L^∞

$d \in \text{Data}(\text{atomic data})$ $x \in \text{Var}(\text{var names})$ $G \in \text{Guard}(\text{bool exprs})$

$E \in \text{Expression} ::= x \mid \text{tl } E \mid \text{cons } d E$

$C \in \text{Command} ::= x = E \mid C_1; C_2 \mid \text{if } (G_i : C_i)_{i \in I} \text{ fi} \mid \text{while } G \text{ do } C$

Domain of stores: $\sigma \in \Sigma = \text{Var} \rightarrow L^\infty$

$\mathcal{G} : \text{Guard} \rightarrow \Sigma \rightarrow \Sigma_\perp$

$\mathcal{G}[[G]]\sigma = \sigma$ when G holds true in σ ; $\mathcal{G}[[G]]\sigma = \perp$ otherwise

$\mathcal{E} : \text{Expression} \rightarrow \Sigma \rightarrow L^\infty$

$\mathcal{E}[[x]]\sigma = \text{lookup } [[x]] \sigma$ where $\text{lookup } v \sigma = \sigma(v)$
 $\mathcal{E}[[\text{tl } E]]\sigma = \text{tail } (\mathcal{E}[[E]]\sigma)$ where $\text{tail}(v) = \text{cases } \gamma^\infty(v) \text{ of}$
 $\mathcal{E}[[\text{cons } d E]]\sigma = \text{cons } d (\mathcal{E}[[E]]\sigma)$ where $\text{cons } d \ell = \alpha^\infty(d, \ell)$

$$\left\{ \begin{array}{l} \perp : \alpha^\infty(\perp) \\ \text{nil} : \alpha^\infty(\perp) \\ (d, \ell) : \ell \end{array} \right.$$

$\mathcal{C} : \text{Command} \rightarrow \Sigma \rightarrow \Sigma_\perp$

$\mathcal{C}[[x = E]]\sigma = \text{update } [[x]] (\mathcal{E}[[E]]\sigma) \sigma$ where $\text{update } v \ell \sigma = \sigma + [v \mapsto \ell]$

$\mathcal{C}[[C_1; C_2]] = \mathcal{C}[[C_2]] \circ \mathcal{C}[[C_1]]$ Note: $g \circ f(\sigma) = \perp$ when $f(\sigma) = \perp$

$\mathcal{C}[[\text{if } (G_i : C_i)_{i \in I} \text{ fi}]] = \bigsqcup_{i \in I} \mathcal{C}[[C_i]] \circ \mathcal{G}[[G_i]]$

$\mathcal{C}[[\text{while } G \text{ do } C]] = \text{lfp } \lambda f. (\mathcal{G}[[\neg G]]) \sqcup (f \circ \mathcal{C}[[C]] \circ \mathcal{G}[[G]])$

A guard filters the store, like a logic gate; absence of store is denoted by \perp .

Example: let $\sigma_0 = \llbracket x \rrbracket \mapsto \text{nil}$. Then,

$$\begin{aligned}
 & \mathcal{C}[\text{if } (\text{isNil } x : x = \text{cons } d0 \ x) \ (\text{isNonNil } x : x = x) \ \text{fi}] \sigma_0 \\
 &= (\mathcal{C}[\text{x} = \text{cons } d0 \ \text{x}] \circ \mathcal{G}[\text{isNil } \text{x}]) \sigma_0 \sqcup (\mathcal{C}[\text{x} = \text{x}] \circ \mathcal{G}[\text{isNonNil } \text{x}]) \sigma_0 \\
 &= \mathcal{C}[\text{x} = \text{cons } d0 \ \text{x}] \sigma_0 \sqcup \mathcal{C}[\text{x} = \text{x}] \perp \\
 &= (\text{update } \llbracket x \rrbracket \ (\mathcal{E}[\text{cons } d0 \ \text{x}] \sigma_0) \ \sigma_0) \sqcup \perp = \llbracket x \rrbracket \mapsto (d0, \text{nil})
 \end{aligned}$$

$\mathcal{G}[\text{isNil } \text{x}]$ passes σ_0 forwards, because the guard holds true for the store, whereas $\mathcal{G}[\text{isNonNil } \text{x}]$ passes \perp .

The while-command is a tail-recursive guarded-if, such that

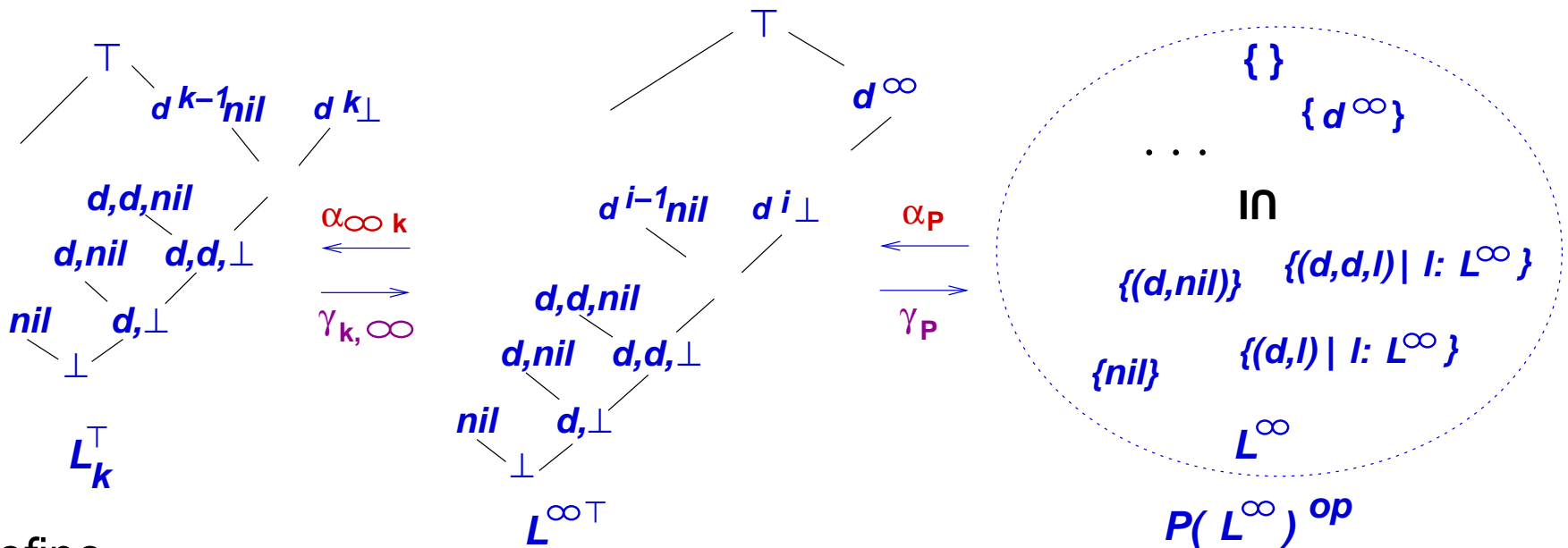
$$\mathcal{C}[\text{while } B \ \text{do } C] \text{ equals } \mathcal{C}[\text{if } (\neg B : \text{skip}), \ (B : C; (\text{while } B \ \text{do } C)) \ \text{fi}].$$

We write the semantics this way, because abstract-interpretation methodology treats programs as circuits and calculates information flows through them.

From denotational semantics to abstract interpretation

...the bridge is the collecting domain, $\mathcal{P}(L^\infty)$

Intuition: an element, like (d, \perp) , approximates/describes the set, $\{(d, l) \mid l \in L^\infty\} \in \mathcal{P}(L^\infty)$:



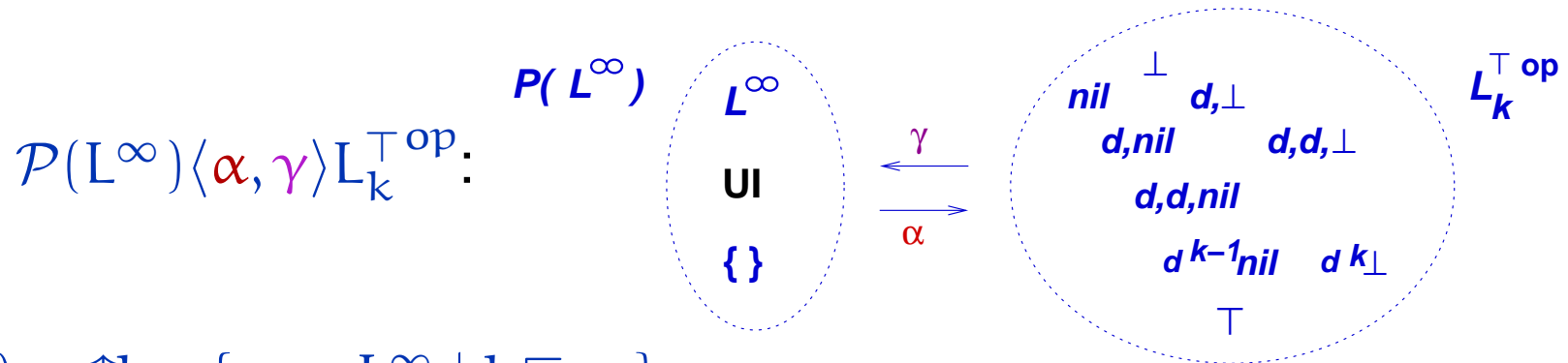
Define

$$L_k^T \langle \gamma, \alpha \rangle \mathcal{P}(L^\infty)^{op} \text{ as } \begin{cases} \gamma = \gamma_P \circ \gamma_{k, \infty} \\ \alpha = \alpha_{\infty, k} \circ \alpha_P \end{cases}, \text{ where } \begin{cases} \gamma_P(l) = \uparrow l \\ \gamma_{k, \infty}(l) = \{m \in L^\infty \mid l \sqsubseteq m\} \\ \alpha_P(S) = \bigcap S \end{cases}$$

Each $l \in L_k$ “names” the data-test set, $\gamma(l) = \uparrow l \in \mathcal{P}(L^\infty)$

just like $pos \in Sign$ names $\{1, 2, \dots\}$!

The rotated diagram yields a Cousot-style Galois connection — the notion of approximation is one and the same



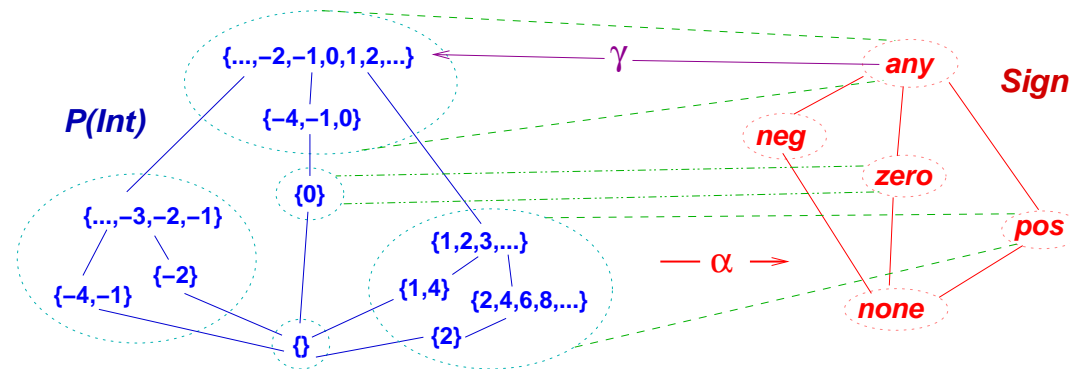
$$\gamma(l) = \uparrow l = \{m \in L^\infty \mid l \sqsubseteq m\}$$

$$\alpha(S) = \bigsqcup_{L_k^{\top}} \{l \in L_k^{\top} \mid S \subseteq \gamma(l)\}$$

- ◆ $(d^n, \perp) \in L_k^{\top \text{op}}$ names those lists having at least n -many elements; (d^n, nil) represents a list that has exactly n elements.
- ◆ $\perp \in L_k^{\top \text{op}}$ stands for all lists; $\top \in L_k^{\top \text{op}}$ for none.

One might also restrict the collecting domain to be just the *totally defined* lists or just the *finite, total* lists.

The *Sign* domain is derived from a Scott-domain:



$N = \{1\}_{\perp} \oplus N$ where \oplus denotes disjoint sum with merged \perp s

$$S = (N + \{0\} + N)_{\perp}$$

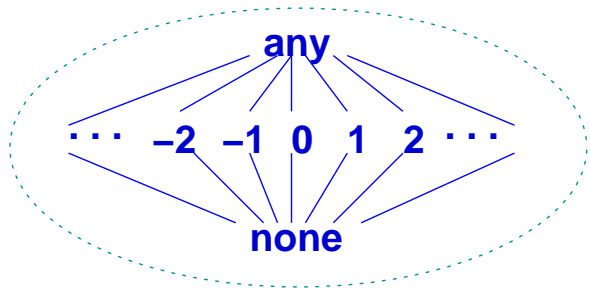
S denotes the integers partitioned into the negatives, zero, and the positives. The approximating domain,

$$S_1 = (N_0 + \{0\} + N_0)_{\perp}, \quad \text{where } N_0 = \{\perp\}, \quad \text{defines } \textit{Sign} = S_1^{\top \text{op}}.$$

The collecting domain, $\mathcal{P}(\text{Int})$, holds sets of *total values* from S^{∞} .

We obtain better-precision signs-analyses from domains S_k , $k > 1$, which distinguish individual integers, e.g., $S_2^{\top \text{op}} = \{\top, \textit{neg}, -1, \textit{zero}, 1, \textit{pos}, \perp\}$.

Many abstract domains are defined this way — they are “partitions” of data-test sets, “crowned” by a \top , characterized by a finite domain from an inverse-limit sequence. But here are two that are not:



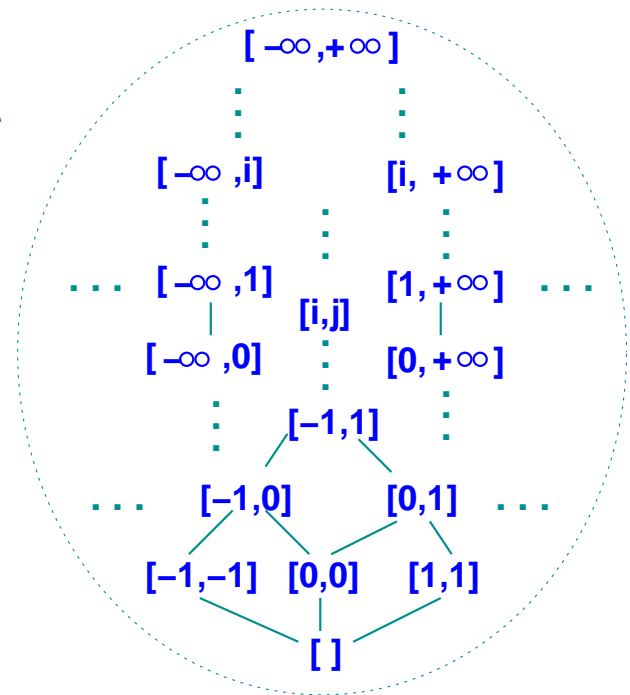
Const, for constant-propagation analysis.

Vars are analyzed to see if they are uninitialized (\perp), a constant (n), or hold multiple values (\top).

This domain is $N^{\infty \top \text{op}}$, where $N = (\{0\} + N)_{\perp}$.

Interval, for tracking the range of values a variable is assigned. Like *Const*, this domain is itself an inverse limit; its opposite is not in SFP.

Later, we will look at *relational abstract domains*, which abstract the entire store, $Var \rightarrow \Sigma$, rather than just the data domain, Σ .



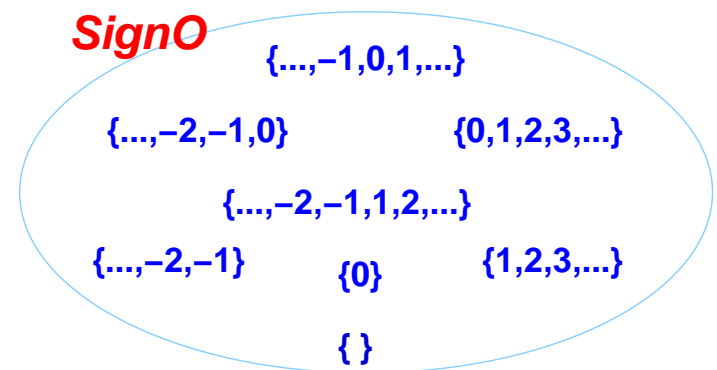
A bit of topology...

Domains like L^∞ are objects in category **SFP**, and each $l \in L_k$ is a *finite element* that names the property, $\gamma(l) = \uparrow l \subseteq L^\infty$ — a *Scott-basic-open set*.

For $\rho = \gamma \circ \alpha$, $\rho[\mathcal{P}(L^\infty)]$ are all Scott-basic opens. This family is closed under intersection (because γ is an upper adjoint).

We can close $\rho[\mathcal{P}(L^\infty)]$ under unions, making a topology on L^∞ (coarser than L^∞ 's Scott topology).

But this is exactly the *disjunctive completion* construction of abstract interpretation [Cousot²94], which is used to increase precision of an analysis!



But what are the topologically continuous functions in this setting?

A bit of logic...

Every abstract interpretation, \mathcal{A} , has a logic of properties it can validate:

$$\psi ::= a \in \mathcal{A} \mid f(\psi_i)_{0 < i \leq n}$$

where $f : \Sigma^n \rightarrow \Sigma$ is a *logical operator*: $f[\gamma(\psi_i)]_{0 < i \leq n} \in \gamma[\mathcal{A}]$ that is, f maps properties to properties, on the nose

Fact: f is a logical operator iff it is forwards complete.

Since γ is an upper adjoint, \sqcap is a logical operator; when $\gamma[\mathcal{A}]$ defines a topology, \sqcup is a logical operator. Here is the logic for *Sign*:

$$\phi ::= a \in \text{Sign} \mid \phi_1 \sqcap \phi_2 \mid \text{negate}(\phi), \quad \text{where } \text{negate}(n) = -n$$

But this development is just Abramsky's *domain theory in logical form* [Abramsky91], where a domain's logic uses the finite/atomic elements of L^∞ as its \mathcal{A} !

Jensen similarly defined *abstract interpretation in logical form* [Jensen92], where \mathcal{A} is a *finite subset* of L^∞ 's finite elements.

A language's abstract interpretation is its semantics where $\mathbb{A} = \mathbb{L}_k^{\top \text{op}}$ replaces \mathbb{L}^∞

Abstract store domain: $\sigma \in \Sigma^\# = \text{Var} \rightarrow \mathbb{L}_k^{\top \text{op}}$

Galois connections:

$\mathbb{L}^\infty:$	$\mathcal{P}(\mathbb{L}^\infty) \langle \alpha, \gamma \rangle \mathbb{L}_k^{\top \text{op}}$
$\Sigma = \text{Var} \rightarrow \mathbb{L}^\infty:$	$\mathcal{P}(\Sigma) \langle \alpha_{\text{Var}}, \gamma_{\text{Var}} \rangle \Sigma^\#$ (indexed product)
$\Sigma_\perp:$	$\mathcal{P}(\Sigma_\perp) \langle \alpha_\perp, \gamma_\perp \rangle \Sigma^\#$ (merges \perp with $\perp \in \Sigma^\#$)

$\mathcal{G}^\# : \text{Guard} \rightarrow \Sigma^\# \rightarrow \Sigma^\#$

$$\mathcal{G}^\# \llbracket \mathbf{G} \rrbracket = \alpha_\perp \circ \mathcal{G} \llbracket \mathbf{G} \rrbracket \circ \gamma_\Sigma$$

$\mathcal{E}^\# : \text{Expression} \rightarrow \Sigma^\# \rightarrow \mathbb{L}_k^{\top \text{op}}$

$$\mathcal{E}^\# \llbracket \mathbf{x} \rrbracket \sigma = \text{lookup}^\# \llbracket \mathbf{x} \rrbracket \sigma$$

where $\text{lookup}^\# v = \alpha \circ \text{lookup } v \circ \gamma_{\text{Var}}$, that is, $\text{lookup}^\# v \sigma = \sigma(v)$

$$\mathcal{E}^\# \llbracket \text{tl } \mathbf{E} \rrbracket \sigma = \text{tail}^\# (\mathcal{E}^\# \llbracket \mathbf{E} \rrbracket \sigma)$$

that is, $\text{tail}^\# (a, l) = l$; $\text{tail}^\# (\text{nil}) = \perp = \text{tail}^\# (\perp)$

$$\mathcal{E}^\# \llbracket \text{cons } a \ \mathbf{E} \rrbracket \sigma = \text{cons}^\# a (\mathcal{E}^\# \llbracket \mathbf{E} \rrbracket \sigma)$$

that is, $\text{cons}^\# a \ l = (a, l)$

abstract interpretation, cont.

Abstract store domain: $\sigma \in \Sigma^\# = \text{Var} \rightarrow L_k^{\top \text{op}}$

Galois connections:

$$\begin{aligned} L^\infty &: && \mathcal{P}(L^\infty) \langle \alpha, \gamma \rangle L_k^{\top \text{op}} \\ \Sigma = \text{Var} \rightarrow L^\infty &: && \mathcal{P}(\Sigma) \langle \alpha_{\text{Var}}, \gamma_{\text{Var}} \rangle \Sigma^\# , \\ \Sigma_\perp &: && \mathcal{P}(\Sigma_\perp) \langle \alpha_\perp, \gamma_\perp \rangle \Sigma^\# \end{aligned}$$

$\mathcal{C}^\# : \text{Command} \rightarrow \Sigma^\# \rightarrow \Sigma^\#$

$\mathcal{C}^\# \llbracket \mathbf{x} = \mathbf{E} \rrbracket \sigma = \text{update}^\# \llbracket \mathbf{x} \rrbracket (\mathcal{E}^\# \llbracket \mathbf{E} \rrbracket \sigma) \sigma$

where $\text{update}^\# \llbracket \mathbf{x} \rrbracket = \alpha_\perp \circ \text{update} \llbracket \mathbf{x} \rrbracket \circ (\gamma \times \gamma_{\text{Var}})$,

that is, $\text{update}^\# v \ell \sigma = \sigma + [v \mapsto \ell]$

$\mathcal{C}^\# \llbracket \mathbf{C}_1; \mathbf{C}_2 \rrbracket = \mathcal{C}^\# \llbracket \mathbf{C}_2 \rrbracket \circ \mathcal{C}^\# \llbracket \mathbf{C}_1 \rrbracket$

$\mathcal{C}^\# \llbracket \text{if } (G_i : \mathbf{C}_i) \text{ ifi} \rrbracket = \bigsqcup_{i \in I} \mathcal{C}^\# \llbracket \mathbf{C}_i \rrbracket \circ \mathcal{G}^\# \llbracket G_i \rrbracket$

$\mathcal{C}^\# \llbracket \text{while } B \text{ do } \mathbf{C} \rrbracket = \text{lfp } \lambda f. \mathcal{G}^\# \llbracket \neg B \rrbracket \sqcup (f \circ \mathcal{C}^\# \llbracket \mathbf{C} \rrbracket \circ \mathcal{G}^\# \llbracket B \rrbracket)$

We utilize the appropriate maps from the Galois connections to replace operations f by $f_0^\# = \alpha \circ f \circ \gamma$.

For the conditional, the guards filter the abstract store, and the results join together

Let $\sigma_0 = [\![x]\!] \mapsto \perp] \in \Sigma^\sharp$, that is, x might be any L^∞ -value at all:

$$\begin{aligned} & \mathcal{C}^\sharp[\![\text{if } (\text{isNil } x : x = \text{cons } d0 \ x), (\text{isNonNil } x : x = x) \text{ fi}]\!] \sigma_0 \\ &= (\mathcal{C}^\sharp[\![x = \text{cons } d0 \ x]\!] \circ \mathcal{G}^\sharp[\![\text{isNil } x]\!]) \sigma_0 \sqcup (\mathcal{C}^\sharp[\![x = x]\!] \circ \mathcal{G}^\sharp[\![\text{isNonNil } x]\!]) \sigma_0 \end{aligned}$$

Now,

$$\begin{aligned} & \mathcal{G}^\sharp[\![\text{isNil } x]\!] \sigma_0 = (\alpha_\perp \circ \mathcal{G}[\![\text{isNil } x]\!] \circ \gamma_{Var}) \sigma_0 \\ &= \alpha_\perp\{[\![x]\!] \mapsto \text{nil}, \perp\} = [\![x]\!] \mapsto \text{nil} \end{aligned}$$

and,

$$\begin{aligned} & \mathcal{G}^\sharp[\![\text{isNonNil } x]\!] \sigma_0 = \alpha_\perp(\{[\![x]\!] \mapsto (d, \ell) \mid \ell \in L^\infty\} \cup \{\perp\}) \\ &= [\![x]\!] \mapsto (d, \perp) \end{aligned}$$

So,

$$\begin{aligned} & \mathcal{C}^\sharp[\![x = \text{cons } d0 \ x]\!] [\![x]\!] \mapsto \text{nil} \sqcup \mathcal{C}^\sharp[\![x = x]\!] [\![x]\!] \mapsto (d, \perp) \\ &= (\text{update}^\sharp [\![x]\!] (\mathcal{E}^\sharp[\![\text{cons } d0 \ x]\!] [\![x]\!] \mapsto \text{nil}) [\![x]\!] \mapsto \text{nil}) \sqcup [\![x]\!] \mapsto (d, \perp) \\ &= [\![x]\!] \mapsto (d0, \text{nil}) \sqcup [\![x]\!] \mapsto (d, \perp) \quad \text{Note that the } \sqcup \text{ operates in } L_k^{\top \text{op}}. \\ &= [\![x]\!] \mapsto (d0 \sqcup d, \perp) \end{aligned}$$

We use $C^\#$ for abstract testing

Like the previous example, we supply an abstract test input and calculate its output. For denotations of form, $f = \text{lfp } \lambda\sigma. F_{f\sigma}$, we must ensure detectable, finite convergence of tests, $f(\sigma)$.

We use “minimal function graph” semantics [JonesMycroft86]: Starting from $f(\sigma_0)$, we generate the subsequent calls, $f(\sigma_i)$, giving a family of k *first-order* equations,

$$f\sigma_0 = F_{f\sigma_1}$$

$$f\sigma_1 = F_{f\sigma_2}$$

...

$$f\sigma_k = F_{f\sigma_j}, \text{ for some } j \leq k$$

which we solve iteratively.

If the abstract domain for σ is not finite (e.g., `Const`), k is forced finite by making the argument sequence, $\sigma_0, \sigma_1, \dots, \sigma_k$, into a chain so that the domain’s *finite-height* ensures a finite equation set. Then, it is common to solve just $f\sigma_k = F_{f\sigma_k}$.

Example: For $\mathcal{C}^\# \llbracket \text{while NonNil } x : x = \text{tl } x \rrbracket = f$, where
 $f(\sigma) = \mathcal{G}^\# \llbracket \text{Nil } x \rrbracket \sigma \sqcup f(\mathcal{C}^\# \llbracket x = \text{tl } x \rrbracket (\mathcal{G}^\# \llbracket \text{NonNil } x \rrbracket \sigma))$,
we calculate an abstract test with $\sigma_{d\perp}$:

Let $\sigma_{d\perp} = [x \mapsto (d, \perp)]$ (Note: in abstract domain $L_k^{\top \text{op}}$,
 $\perp \in L_k^\top$ means “all lists,” and $\top \in L_k^\top$
 $\sigma_\perp = [x \mapsto \perp]$ means “no lists.”)

$\mathcal{C}^\# \llbracket \text{while NonNil } x : x = \text{tl } x \rrbracket \sigma_{d\perp} = f\sigma_{d\perp}$, where

$$\begin{aligned} f\sigma_{d\perp} &= \mathcal{G}^\# \llbracket \text{Nil } x \rrbracket \sigma_{d\perp} \sqcup f(\mathcal{C}^\# \llbracket x = \text{tl } x \rrbracket (\mathcal{G}^\# \llbracket \text{NonNil } x \rrbracket \sigma_{d\perp})) \\ &= [x \mapsto \top] \sqcup f(\mathcal{C}^\# \llbracket x = \text{tl } x \rrbracket \sigma_{d\perp}) \\ &= f\sigma_\perp \end{aligned}$$

$$\begin{aligned} f\sigma_\perp &= \mathcal{G}^\# \llbracket \text{Nil } x \rrbracket \sigma_\perp \sqcup f(\mathcal{C}^\# \llbracket x = \text{tl } x \rrbracket (\mathcal{G}^\# \llbracket \text{NonNil } x \rrbracket \sigma_\perp)) \\ &= [x \mapsto \text{nil}] \sqcup f(\mathcal{C}^\# \llbracket x = \text{tl } x \rrbracket \sigma_{d\perp}) \\ &= [x \mapsto \text{nil}] \sqcup f\sigma_\perp \end{aligned}$$

We solve these two first-order equations.

The inductive definition preserves soundness and completeness

For the format, $\mathcal{E}[\text{op}(E_i)] = f(\mathcal{E}[E_i])$, we define the abstract semantics inductively as $\mathcal{E}^\#[\text{op}(E_i)] = f_0^\#(\mathcal{E}^\#[E_i])$, where $f_0^\# = \alpha \circ f \circ \gamma$.

It is easy to prove that $\mathcal{E}^\#$ is sound for \mathcal{E} .

Recall *F-completeness*: For all E , $\mathcal{E}[E] \circ \gamma = \gamma \circ \mathcal{E}^\#[E]$
B-completeness: For all E , $\alpha \circ \mathcal{E}[E] = \mathcal{E}^\#[E] \circ \alpha$

Proposition: If for every equation, $\mathcal{E}[\text{op}(E_i)] = f(\mathcal{E}[E_i])$, $f_0^\#$ is F- (resp. B-) complete for f , then $\mathcal{E}^\#$ is F- (resp. B-) complete for \mathcal{E} .

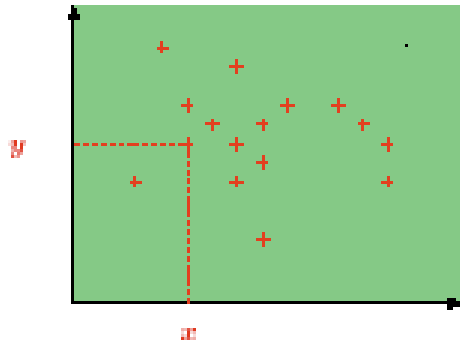
This result is preserved when *lfp* and *gfp* are used.

When there is not completeness, the inductive definition of $\mathcal{E}^\#$ is sound but may be weaker than the strongest abstract interpretation:

$$\mathcal{E}^\#[E] \sqsupseteq \alpha \circ \mathcal{E}[E] \circ \gamma.$$

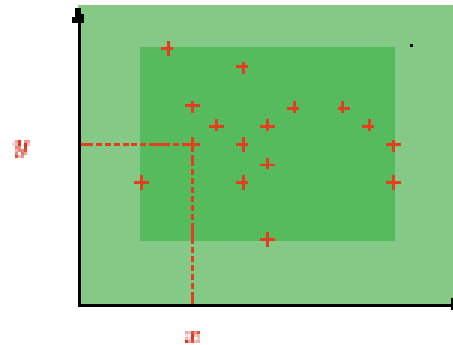
A store domain, $Var \rightarrow \Sigma$, can be abstracted pointwise by $Var \rightarrow A$ or relationally by $\mathcal{P}(A^n)$

SignO: $[x \mapsto \geq 0][y \mapsto \geq 0]$



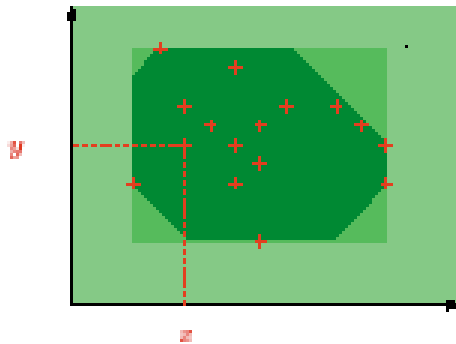
$$\begin{cases} x \geq 0 \\ y \geq 0 \end{cases}$$

Interval: $[x \mapsto [3, 27]][y \mapsto [4, 32]]$



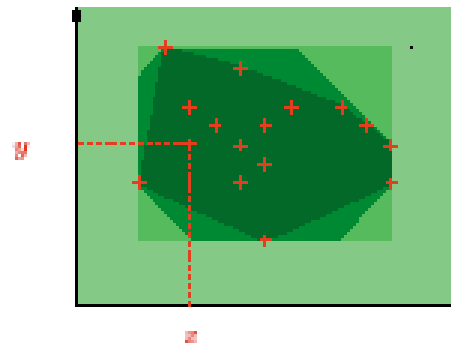
$$\begin{cases} x \in [3, 27] \\ y \in [4, 32] \end{cases}$$

Octagon: $\bigwedge_i (\pm x_i \pm y_i \leq c_i)$



$$\begin{cases} 3 \leq x \leq 27 \\ x + y \leq 88 \\ 4 \leq y \leq 32 \\ x - y \leq 61 \end{cases}$$

Polyhedra: $\bigwedge_i ((\sum_j a_{ij} \cdot x_{ij}) \leq b_i)$



$$\begin{cases} 7x + 31y \leq 325 \\ 21x + 7y \geq 0 \end{cases}$$

diagrams from *Abstract Interpretation: Achievements and Perspectives* by Patrick Cousot, Proc. SSGRR 2000.

Some modellings of a relational store value from the octagon abstract domain:

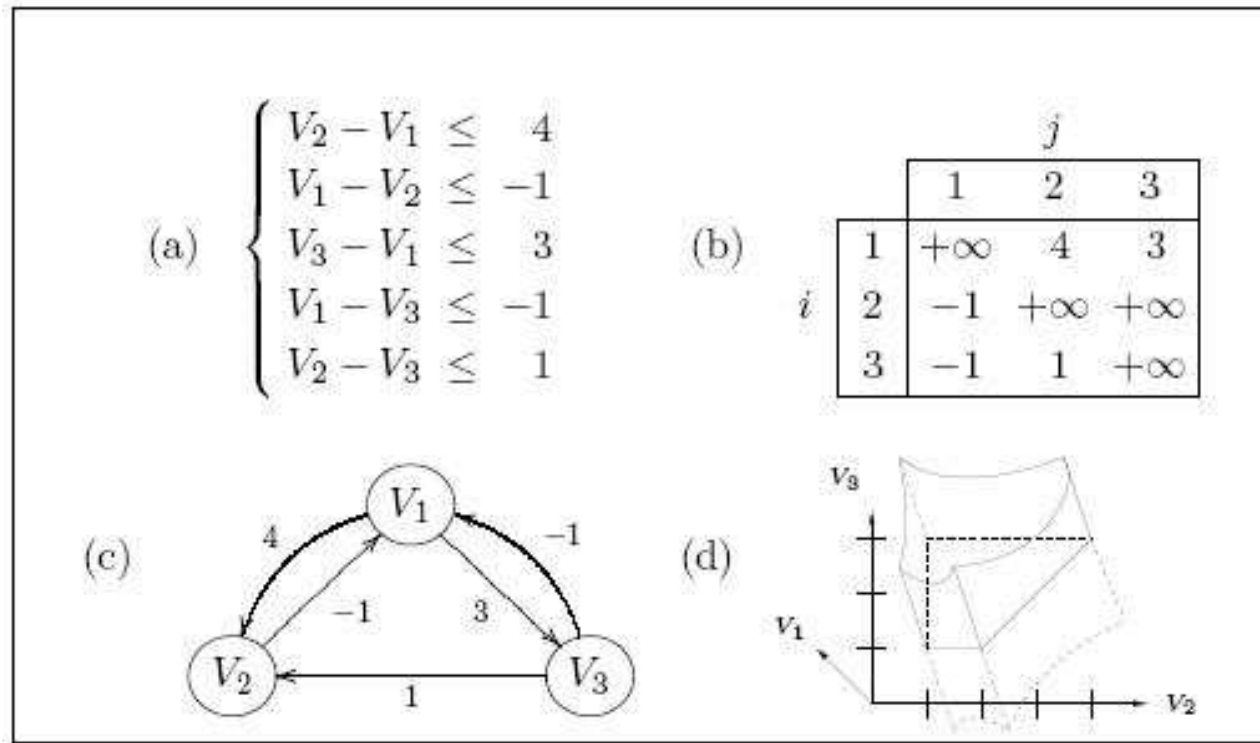


Figure 2. A potential constraint conjunction (a), its corresponding DBM \mathbf{m} (b), potential graph $\mathcal{G}(\mathbf{m})$ (c), and potential set concretization $\gamma^{\text{Pot}}(\mathbf{m})$ (d).

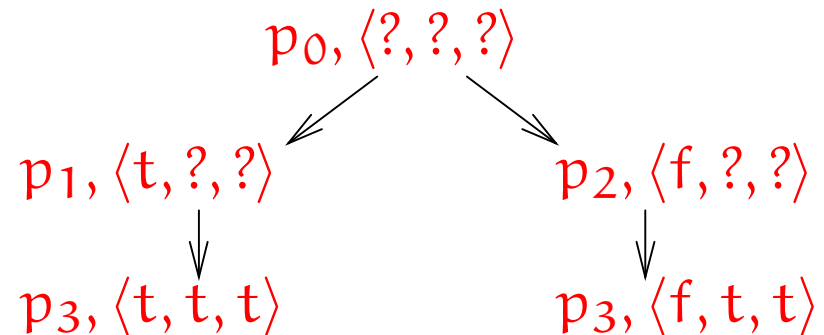
diagram from *The octagon abstract domain*, by Antoine Miné, *J. Symbolic and Higher-Order Computation* 2006

Octogon and polyhedral values can *perhaps* be explained in terms of Abramsky-Jensen “abstract interpretation in logical form.”

Predicate abstraction uses an ad-hoc relational domain, based on predicates in the program

Example: prove that $z \geq x \wedge z \geq y$ at p_3 :

```
 $p_0$  : if  $x < y$   
 $p_1$  :   then  $z = y$   
 $p_2$  :   else  $z = x$   
 $p_3$  : exit
```



The store is abstracted to a relational domain that denotes the values of these predicates, taken from the source program,

$$\phi_1 = x < y \quad \phi_2 = z \geq x \quad \phi_3 = z \geq y$$

The predicates are evaluated at the program's points as one of $\{t, f, ?\}$.
(Read ? as $t \vee f$.)

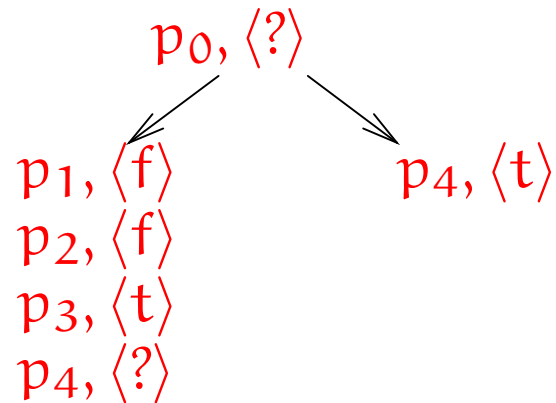
At all occurrences of p_3 in the abstract trace, $\phi_2 \wedge \phi_3$ holds.

When a goal is undecided, domain refinement becomes necessary

Prove $\phi_0 \equiv x \geq y$ at p_4 :

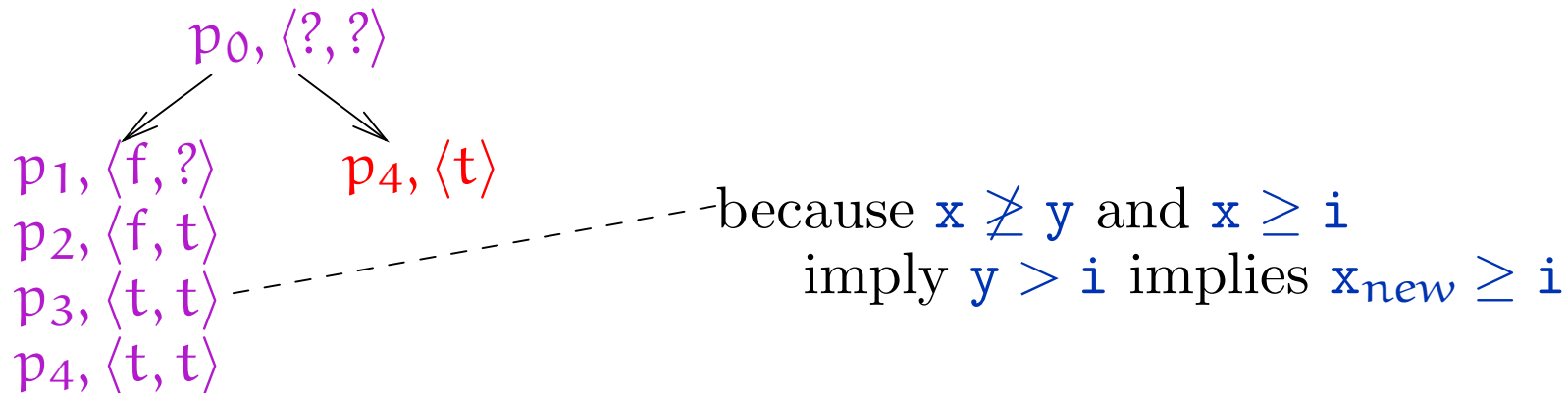
```

p0 : if !(x >= y)
p1 : then { i = x;
           p2 : x = y;
           p3 : y = i;
p4 : }
    
```



To decide the goal, we refine the ad-hoc domain:

$wp(y = i, x \geq y) = (x \geq i) \equiv \phi_1$. We add ϕ_1 and try again:



But incremental predicate refinement cannot synthesize many interesting loop invariants. For this example:

```
 $p_0$  :  $i = n; x = 0;$   
 $p_1$  : while  $i \neq 0$  {  
     $p_2$  :  $x = x + 1; i = i - 1;$   
}  
 $p_3$  : goal:  $x = n$ 
```

The initial predicate set, $P_0 \equiv \{i = 0, x = n\}$, does not validate the loop body.

The first refinement suggests we add $P_1 \equiv \{i = 1, x = n - 1\}$ to the program state, but this fails to validate a loop that iterates more than once.

Refinement stage j adds predicates $P_j \equiv \{i = j, x = n - j\}$; the refinement process continues forever!

The loop invariant is $x = n - i$:-)

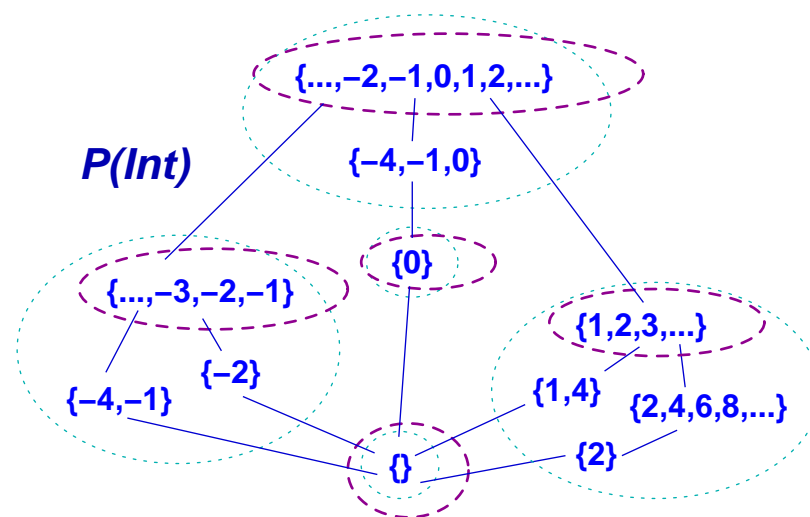
Explaining abstract-interpretation completeness with (a bit of) Scott topology

Open sets are computable properties [Smyth]

For an algebra cpo, D , its Scott-basic-open sets are $\uparrow e$, for each finite element, $e \in D$. *Read* $d \in \uparrow e$ *as* “ d has property $\uparrow e$.”

But abstract intepretation is *finite computation on properties*; for an abstract domain, like $Sign$, $\gamma[Sign]$ (or, $\rho[\mathcal{P}(Sign)]$) identifies the computable properties.

Alas, $\rho[\mathcal{P}(Sign)]$ is closed under intersections (not necessarily unions). Also, there exist abstract domains A that possess *only* a γ but no α (and no ρ) [Cousot²92].



Let's weaken some definitions

For abstract domain A and $\gamma : A \rightarrow \mathcal{P}(\Sigma)$, define Σ 's *property family* as $\mathcal{F}_\Sigma = \gamma[A]$.

For each $U \in \mathcal{F}_\Sigma$, its complement is $\sim U = \Sigma - U$; for \mathcal{F}_Σ , its *complement family*, $\sim \mathcal{F}_\Sigma$, is $\{\sim U \mid U \in \mathcal{F}_\Sigma\}$.

\mathcal{F}_Σ is an *open family* if it is closed under unions, and it is a *closed family* if it is closed under intersections. If \mathcal{F}_Σ is an open family, then its complement is a closed family (and vice versa).

When γ is the upper adjoint of a Galois connection, then \mathcal{F}_Σ is a closed family.

Intuition: closed families are used for overapproximating, postcondition abstract interpretations; open families are used for underapproximating, precondition abstract interpretations.

Property preservation

For $f : \Sigma \rightarrow \Sigma$, define $f : \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$ as $f[S] = \{f(s) \mid s \in S\}$, and define $f^{-1} : \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$ as $f^{-1}(T) = \{s \in \Sigma \mid f(s) \in T\}$, as usual.

f is \mathcal{F}_Σ -*preserving* iff for all $U \in \mathcal{F}_\Sigma$, $f[U] \in \mathcal{F}_\Sigma$. In such a case, $f : \mathcal{F}_\Sigma \rightarrow \mathcal{F}_\Sigma$ is well defined.

This generalizes the notions of topologically open and closed maps.

Let \mathcal{F}_Σ be a closed family, and let $\rho : \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$ be the associated closure operator.

For $f : \Sigma \rightarrow \Sigma$, define $f^\#_0 : \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$ as $f^\#_0 = \rho \circ f$, as usual.

Fact: $f^\#_0$ is forwards complete for f iff f is \mathcal{F}_Σ preserving, that is, iff f is a topologically closed map.

Property reflection (continuity)

Let U_c (respectively, U_S) denote a member of \mathcal{F}_Σ such that $c \in U_c$ (respectively, $S \subseteq U_S$):

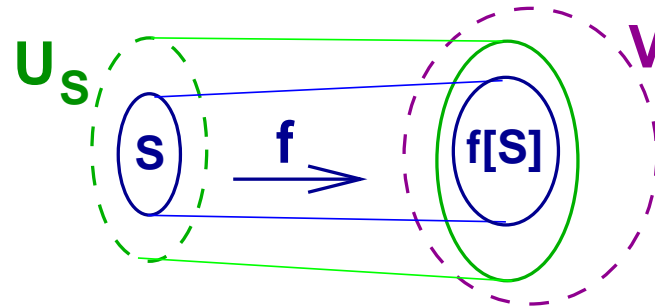
- ◆ For $c \in \Sigma$, $f : \Sigma \rightarrow \Sigma$ is *continuous at c* iff for all $V_{f(c)} \in \mathcal{F}_\Sigma$, there exists some $U_c \in \mathcal{F}_\Sigma$ such that $f[U_c] \subseteq V_{f(c)}$.
- ◆ For $S \subseteq \Sigma$, f is *continuous at S* iff for all $V_{f[S]} \in \mathcal{F}_\Sigma$, there exists some $U_S \in \mathcal{F}_\Sigma$ such that $f[U_S] \subseteq V_{f[S]}$.
- ◆ f is *\mathcal{F}_Σ -reflecting* iff for all $V \in \mathcal{F}_\Sigma$, $f^{-1}(V) \in \mathcal{F}_\Sigma$, that is, f^{-1} is \mathcal{F}_Σ -preserving.

The second item is needed because \mathcal{F}_Σ might not be an open family.

If \mathcal{F}_Σ is a topology, then all three notions are equivalent.

reflection, cont.

f is continuous at $S \subseteq \Sigma$:



If $f[S] \subseteq V \in \mathcal{F}_\Sigma$, then there exists $U_S \in \mathcal{F}_\Sigma$ such that $f[U_S] \subseteq V$.

Proposition:

1. f is \mathcal{F}_Σ -reflecting iff f is continuous at S , for all $S \subseteq \Sigma$.
2. If \mathcal{F}_Σ is an open family, then f is \mathcal{F}_Σ -reflecting iff f is continuous at c , for all $c \in \Sigma$.
3. $f : \Sigma \rightarrow \Sigma$ is $\sim \mathcal{F}_\Sigma$ -reflecting iff f is \mathcal{F}_Σ -reflecting.

reflection, concl.

For $S, S' \subseteq \Sigma$, write $S \leq_{\mathcal{F}_\Sigma} S'$ iff for all $K \in \mathcal{F}_\Sigma$, $S \subseteq K$ implies $S' \subseteq K$.

Write $S \equiv_{\mathcal{F}_\Sigma} S'$ iff $S \leq_{\mathcal{F}_\Sigma} S'$ and $S' \leq_{\mathcal{F}_\Sigma} S$. That is, S and S' share the same properties.

Definition: $f : \Sigma \rightarrow \Sigma$ is *backwards- \mathcal{F}_Σ -complete* iff for all $S, S' \subseteq \Sigma$, $S \equiv_{\mathcal{F}_\Sigma} S'$ implies $f[S] \equiv_{\mathcal{F}_\Sigma} f[S']$ cf. Slide 12.

Proposition: If f is \mathcal{F}_Σ -reflecting, then it is backwards- \mathcal{F}_Σ -complete.

Lemma: If \mathcal{F}_Σ is a closed family, then TFAE:

- (i) f is backwards- \mathcal{F}_Σ -complete;
- (ii) for all $S \subseteq \Sigma$, $f[S] \equiv_{\mathcal{F}_\Sigma} f[\rho(S)]$;
- (iii) $\rho \circ f = \rho \circ f \circ \rho$

Theorem: For closed family, \mathcal{F}_Σ , f is backwards- \mathcal{F}_Σ -complete iff it is \mathcal{F}_Σ -reflecting.

So, abstract-interpretation backwards completeness is topological continuity.

What about open families?

Let \mathcal{F}_Σ be open (closed under unions) and $\iota : \mathcal{P}(\Sigma) \rightarrow \mathcal{F}_\Sigma$ be its interior map.

We use an open family to perform an underapproximating *precondition analysis*: for $f : \Sigma \rightarrow \Sigma$, define $f^{-1} : \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$ as $f^{-1}(S) = \{s \in \Sigma \mid f(s) \in S\}$, as usual.

The strongest (*weakest precondition*) abstract function for f^{-1} is $\iota \circ f^{-1} : \mathcal{F}_\Sigma \rightarrow \mathcal{F}_\Sigma$.

F- \mathcal{F}_Σ -completeness: $f^{-1} \circ \iota = \iota \circ f^{-1} \circ \iota$

Define *B- \mathcal{F}_Σ -completeness*: $\iota \circ f^{-1} = \iota \circ f^{-1} \circ \iota$

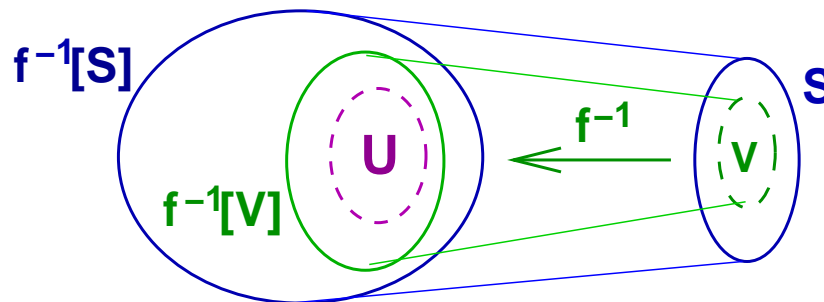
Fact: f^{-1} is \mathcal{F}_Σ -preserving iff f^{-1} is F- \mathcal{F}_Σ -complete iff f is $\sim\mathcal{F}_\Sigma$ -reflecting iff f is \mathcal{F}_Σ -reflecting.

This is the classic pre- post-condition duality of predicate transformers.

Backwards completeness for an open family and f^{-1} is a “dual continuity” property

Definition: $f^{-1} : \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$ is *dual continuous* at $S \subseteq \Sigma$ iff for all $U \in \mathcal{F}_\Sigma$, if $f^{-1}[S] \supseteq U$ then there exists $V \in \mathcal{F}_\Sigma$, $V \subseteq S$, such that $f^{-1}[V] \supseteq U$.

f^{-1} is dual continuous at $S \subseteq \Sigma$:



Theorem: f^{-1} is dual continuous for all $S \subseteq \Sigma$ iff f^{-1} is $B\text{-}\mathcal{F}_\Sigma$ -complete, that is, $\iota \circ f^{-1} = \iota \circ f^{-1} \circ \iota$.

But I don't know for what this might be useful! (-:

The “topology” induced from an abstract interpretation is coarser than the Scott topology

Reconsider L^∞ and its approximant, L_k , which denotes a closed family.

- ◆ There is a Scott-continuous function, $f : L^\infty \rightarrow L^\infty$, that is not L_k -backwards complete for all $k > 0$. Define f as $f(d^k, \text{nil}) = \text{nil}$, for all $k \geq 0$, and $f(\ell) = \perp$, otherwise; this is Scott-continuous. Consider $f^{-1}\{\text{nil}\}$; it is all total, finite lists in L^∞ , and for no finite $e \in L^\infty$ does this set equal $\uparrow e$. (Nor does the union of the upclosed sets of finite elements in any L_k equal $f^{-1}(\text{nil})$ — the union of the basic opens of *all* finite lists in L^∞ are required.)
- ◆ For each $k > 0$, there is a monotone, L_k -backwards complete function that is not Scott-continuous. For k , define $f_k : L^\infty \rightarrow L^\infty$ as follows: $f(\perp) = \perp$; for $j < k$, $f_k(d^j, \text{nil}) = (d^j, \text{nil})$ and $f_k(d^j, \perp) = (d^j, \perp)$. For $j \geq k$, $f_k(d^j, \text{nil}) = (d^k, \perp)$; $f_k(d^j, \perp) = (d^k, \perp)$. Finally, define $f_k(d^\infty) = d^\infty$. This makes f_k monotone and backwards complete but Scott-discontinuous. The result does not change when the sets defined by L_k are closed under union.

Concluding remarks

There is a lot of classical denotational semantics employed in abstract-interpretation theory and practice....

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