## CS 7220 - Computational Complexity and Algorithm Analysis

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Section 7: Computability - Part I
Introduction

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## Models of computation

- Generally, abstract from space/memory limitations
- Assume memory is "as large as needed"
- Ignore, how long a computation takes
- as long as it terminates in finite time.
- Often, use only numbers/integers or only (finite) strings as the things which are computed/stored in memory.
- There exist many formal models of computation.


## Models of Computation

- Turing Machine (in this lecture - at the beginning)
- $\mu$-Recursive functions (in this lecture - towards the end)
- $\lambda$-calculus (see functional programming)
- Unlimited Register Machine
- WHILE-language
- ... many others ...


## Unlimited Register Machine (URM)

- Registers $r_{1}, r_{2}, r_{3}, \ldots$ holding non-negative integers
- Initialization: finite number of registers $\neq$ zero
- A program consists of a finite sequence of instructions.
- Available instructions:
- Zero $Z(n)$ : set register $r_{n}$ to 0
- Successor $S(n)$ : increase $r_{n}$ by 1
- Transfer $T(m, n)$ : copy $r_{m}$ to $r_{n}$
- Jump $J(m, n, p)$ : If $r_{m}=r_{n}$, jump to instruction number $p$


## WHILE-language

- Minimal programming language, essentially consisting of
- Elementary arithmetic +, -, *, /
- Boolean comparison of numbers: <, >, =, , , $\neq$
- Logical AND, OR, NOT
- Assignment of values to variables
- WHILE loops as only control features


## Are they different?

- Not really.
- All models with certain minimal capabilities have so far been shown to be equivalent.
- This is actually quite remarkable!


## Uncomputable example

- $\mathbf{N}$ : Natural numbers (non-negative integers): $\mathbf{N}=\{0,1,2,3,4, \ldots\}$
- $P(N)$ : set of all subsets of $N$

Examples:
$-\{0,1,2,3,4, \ldots\}$

- \{\}
$-\{0,2,4,6,8, \ldots\}$
- \{2,3,267,1011\}
$-\{0,1,2,3,5,8,13,21,34, \ldots\}$
- \{2,3,5,7,11,13,17,19,23,...\}


## Uncomputable example

- We say that an algorithm (in some model of computation) computes a subset S of N if
- It outputs a stream of non-negative integers (strictly increasing).
- It needs only finite time between two outputs.
- If does not skip any number in S .
- All output numbers are in S.
- If it terminates, then it has output all integers in $S$.

Question: Can every set in $\mathrm{P}(\mathrm{N})$ be computed?

## Uncomputable example

- Every algorithm which computes a subset of $\mathbf{N}$ can be expressed with a finite string.
- It is easy to define a strict order on the set of all algorithms.
- E.g. lexicographic order.
- E.g. convert them to bit strings and sort by binary number.
- Hence, we can assume that $\left\{A_{0}, A_{1}, A_{2}, A_{3}, \ldots\right\}$ is the set of all algorithms computing subsets of $N$.


## Uncomputable example

## DaSe Lab

Mark the output of each $\mathrm{A}_{\mathrm{i}}$ :

|  | $\mathbf{0}$ | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ | $\mathbf{7}$ | $\mathbf{8}$ | $\ldots$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{A}_{\mathbf{0}}$ |  | $\mathbf{x}$ |  |  | $\mathbf{x}$ | $\mathbf{x}$ |  | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{1}}$ |  | $\mathbf{x}$ | $\mathbf{x}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ |  |
| $\mathbf{A}_{\mathbf{2}}$ | $\mathbf{x}$ |  | $\mathbf{x}$ | $\mathbf{x}$ | $\mathbf{x}$ |  |  | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{3}}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ |  |  |  |  | $\mathbf{x}$ |  |
| $\mathbf{A}_{\mathbf{4}}$ | $\mathbf{x}$ | $\mathbf{x}$ | $\mathbf{x}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{5}}$ | $\mathbf{x}$ |  |  | $\mathbf{x}$ | $\mathbf{x}$ |  |  | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{6}}$ |  | $\mathbf{x}$ |  |  |  | $\mathbf{x}$ |  |  | $\mathbf{x}$ |  |

## Uncomputable example

## daSe Lab

Now make a new subset of $N$ by "inverting" the diagonal:

|  | $\mathbf{0}$ | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ | $\mathbf{7}$ | $\mathbf{8}$ | $\boldsymbol{\ldots}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{A}_{\mathbf{0}}$ |  | $\mathbf{x}$ |  |  | $\mathbf{x}$ | $\mathbf{x}$ |  | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{1}}$ |  | $\mathbf{x}$ | $\mathbf{x}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ |  |
| $\mathbf{A}_{\mathbf{2}}$ | $\mathbf{x}$ |  | $\mathbf{x}$ | $\mathbf{x}$ | $\mathbf{x}$ |  |  | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{3}}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ |  |  |  |  | $\mathbf{x}$ |  |
| $\mathbf{A}_{\mathbf{4}}$ | $\mathbf{x}$ | $\mathbf{x}$ | $\mathbf{x}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{5}}$ | $\mathbf{x}$ |  |  | $\mathbf{x}$ | $\mathbf{x}$ |  |  | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{6}}$ |  | $\mathbf{x}$ |  |  |  | $\mathbf{x}$ |  |  | $\mathbf{x}$ |  |
| $\ldots$ |  |  |  |  |  |  |  | $\mathbf{\ldots}$ |  |  |

Result: x
x
x
i.e. \{ 0,
$5, \quad 6, \ldots$
\}

## Uncomputable example

## DaSe Lab

The resulting set is not computed by any $\mathrm{A}_{\mathbf{i}}$ !


Result: X

$$
\text { i.e. }\{\quad 0,
$$


\}
$\mathrm{A}_{5}$ doesn't compute it!

## Uncomputable example

## DaSe Lab

The resulting set is not computed by any $\mathrm{A}_{\mathbf{i}}$ !

|  | $\mathbf{0}$ | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ | $\mathbf{7}$ | $\mathbf{8}$ | $\ldots$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{A}_{\mathbf{0}}$ |  | $\mathbf{x}$ |  |  | $\mathbf{x}$ | $\mathbf{x}$ |  | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{1}}$ |  | $\mathbf{x}$ | $\mathbf{x}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ |  |
| $\mathbf{A}_{\mathbf{2}}$ | $\mathbf{x}$ |  | $\mathbf{x}$ | $\mathbf{x}$ | $\mathbf{x}$ |  |  | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{3}}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ |  |  |  |  | $\mathbf{x}$ |  |
| $\mathbf{A}_{\mathbf{4}}$ | $\mathbf{x}$ | $\mathbf{x}$ | $\mathbf{x}$ |  | $\mathbf{x}$ |  | $\mathbf{x}$ | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{5}}$ | $\mathbf{x}$ |  |  | $\mathbf{x}$ | $\mathbf{x}$ |  |  | $\mathbf{x}$ |  |  |
| $\mathbf{A}_{\mathbf{6}}$ |  | $\mathbf{x}$ |  |  |  | $\mathbf{x}$ |  |  | $\mathbf{x}$ |  |
| $\ldots$ |  |  |  |  |  |  |  | $\ldots$ |  |  |

but we have all possible algorithms in the list!
Hence: we found a set which is not computable!

## Looking a bit deeper

## *DaSe Lab

- The set of all algorithms is countable.
(l.e., can be enumerated as $A_{0}, A_{1}, A_{2}, \ldots$ )
- The set $P(N)$ is uncountable.
(l.e., cannot be enumerated as $S_{0}, S_{1}, S_{2}, \ldots$ )
- Essentially the same proof. With a slight twist.
- This proof technique is known as "diagonalization."
- We will need the technique for the main result in this lecture.
- It is usually credited to Georg Cantor (1845-1918); at least he was the first to publish the diagonalization proof that $P(N)$ is uncountable).


## Exercise C1

- Adjust the proof just given such that you prove the following:

The set of real numbers is uncountable.

## Exercise C2 (hand-in)

Show that there are languages which are not recursively enumerable.

Hint: Use diagonalization. It is possible to adjust the proof given earlier, that not all sets of non-negative integers can be computed. You do not need to spell out all details, but the argument must be convincing.

