

# CS 7220 – Computational Complexity and Algorithm Analysis

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Section 7: Computability – Part I Introduction

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# **Models of computation**



- Generally, abstract from space/memory limitations
  - Assume memory is "as large as needed"
- Ignore, how long a computation takes
  - as long as it terminates in finite time.
- Often, use only numbers/integers or only (finite) strings as the things which are computed/stored in memory.
- There exist many formal models of computation.



# **Models of Computation**



- Turing Machine (in this lecture at the beginning)
- µ-Recursive functions (in this lecture towards the end)
- λ-calculus (see functional programming)
- Unlimited Register Machine
- WHILE-language
- ... many others ...



# Unlimited Register Machine (URM)



- Registers r<sub>1</sub>, r<sub>2</sub>, r<sub>3</sub>, ...
  holding non-negative integers
- Initialization: finite number of registers ≠ zero
- A program consists of a finite sequence of instructions.
- Available instructions:
  - Zero Z(n): set register  $r_n$  to 0
  - Successor S(n): increase r<sub>n</sub> by 1
  - Transfer T(m,n): copy  $r_m$  to  $r_n$
  - Jump J(m,n,p): If  $r_m = r_n$ , jump to instruction number p



# WHILE-language



- Minimal programming language, essentially consisting of
  - Elementary arithmetic +, -, \*, /
  - Boolean comparison of numbers: <, >, =, , ,  $\neq$
  - Logical AND, OR, NOT
  - Assignment of values to variables
  - WHILE loops as only control features



# Are they different?



- Not really.
- All models with certain minimal capabilities have so far been shown to be equivalent.

• This is actually quite remarkable!





- N: Natural numbers (non-negative integers): N = {0, 1, 2, 3, 4, ...}
- P(N): set of all subsets of N Examples:
  - **{0,1,2,3,4,...}**
  - {}
  - **{0,2,4,6,8,...}**
  - {2,3,267,1011}
  - {0,1,2,3,5,8,13,21,34,...}
  - {2,3,5,7,11,13,17,19,23,...}





- We say that an algorithm (in some model of computation) computes a subset S of N if
  - It outputs a stream of non-negative integers (strictly increasing).
  - It needs only finite time between two outputs.
  - If does not skip any number in S.
  - All output numbers are in S.
  - If it terminates, then it has output all integers in S.

Question: Can every set in P(N) be computed?





- Every algorithm which computes a subset of N can be expressed with a finite string.
- It is easy to define a strict order on the set of all algorithms.
  - E.g. lexicographic order.
  - E.g. convert them to bit strings and sort by binary number.
- Hence, we can assume that {A<sub>0</sub>,A<sub>1</sub>,A<sub>2</sub>,A<sub>3</sub>,...} is the set of all algorithms computing subsets of N.





#### Mark the output of each A<sub>i</sub>:

	0	1	2	3	4	5	6	7	8	
A <sub>0</sub>		X			X	X		X		
<b>A</b> <sub>1</sub>		x	x		x		X		x	
<b>A</b> <sub>2</sub>	x		x	x	x			x		
$A_3$		x		x					x	
<b>A</b> <sub>4</sub>	x	x	x		x		X	x		
$A_5$	x			x	x			x		
<b>A</b> <sub>6</sub>		x				Х			X	





Now make a new subset of N by "inverting" the diagonal:

	0	1	2	3	4	5	6	7	8	
A <sub>0</sub>		X			X	X		X		
<b>A</b> <sub>1</sub>		X	X		X		x		x	
<b>A</b> <sub>2</sub>	X		X	X	X			x		
<b>A</b> <sub>3</sub>		X		x					x	
<b>A</b> <sub>4</sub>	X	X	X		x		X	x		
<b>A</b> <sub>5</sub>	X			X	X			X		
<b>A</b> <sub>6</sub>		X				X			X	
Result:	X					X	X			
i.e.	{ 0,					5,	6,			}



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#### The resulting set is not computed by any A<sub>i</sub>!





#### The resulting set is not computed by any A<sub>i</sub>!

	0	1	2	3	4	5	6	7	8	
A <sub>0</sub>		X			X	X		X		
<b>A</b> <sub>1</sub>		x	x		x		X		X	
<b>A</b> <sub>2</sub>	x		x	x	x			x		
$A_3$		x		x					X	
<b>A</b> <sub>4</sub>	x	x	x		х		x	x		
<b>A</b> <sub>5</sub>	x			x	x			x		
<b>A</b> <sub>6</sub>		x				Х			X	

but we have all possible algorithms in the list! Hence: we found a set which is not computable!



# Looking a bit deeper



- The set of all algorithms is *countable*.
  (I.e., can be enumerated as A<sub>0</sub>, A<sub>1</sub>, A<sub>2</sub>, ...)
- The set P(N) is uncountable.
  (I.e., cannot be enumerated as S<sub>0</sub>, S<sub>1</sub>, S<sub>2</sub>, ...)
  - Essentially the same proof. With a slight twist.
- This proof technique is known as "diagonalization."
  - We will need the technique for the main result in this lecture.
  - It is usually credited to Georg Cantor (1845–1918); at least he was the first to publish the diagonalization proof that P(N) is uncountable).





• Adjust the proof just given such that you prove the following:

The set of real numbers is uncountable.



#### Exercise C2 (hand-in)



Show that there are languages which are not recursively enumerable.

Hint: Use diagonalization. It is possible to adjust the proof given earlier, that not all sets of non-negative integers can be computed. You do not need to spell out all details, but the argument must be convincing.

