# **Topological Aspects of First-Order Neural-Symbolic Integration**



Invited talk for the lecture on Logic Programming and Connectionist Systems

by Steffen Hölldobler



- 1. Short review of [HKS99]
- 2. Topology
- 3. The atomic topology Q on  $I_P$
- 4. Funahashi's theorem revisited
- 5. Generalization to multi-valued logics
- 6. Recursive architecture: Reasoning
- 7. Generalization to measurable operators
- 8. Treatment of nonmonotonic reasoning

# Hölldobler, Kalinke, Störr 1999 [HKS99]

First-order logic programs (acyclic, with injective level mapping) can be approximated by standard sigmoidal feedforward networks.

Existential result via continuity of the  $T_P$ -operator.

Features of the approach:

- Shows representability in principle.
- Single existing approach for first-order LPs with function symbols.

Limitations of the approach:

- No algorithm how to construct the network.
- Very restricted class of programs.
- Proofs highly dependent on specific assumptions.

Today: Lifting to a more general setting. Next week: How to construct the networks.

#### Embedding $T_P$ into the reals

 $T_P: I_P \to I_P$ , where  $I_P = 2^{B_P} \approx 2^{\mathbb{N}}$  $2^{\mathbb{N}}$ : all (countably infinite) binary sequences  $\rightsquigarrow$  interpret sequence as expansion in *b*-adic number system! (b > 2)

- 1. Choose an *enumeration* of  $B_P$  (= bijective level mapping).
- 2. Choose a base b > 2 for the number system.
- 3. Choose integers  $t \neq f$  with  $0 \leq t, f < b$ .
- 4. Given an interpretation  $I \subseteq B_P$ , set  $a_i = f$  if the *i*-th element of I is false, and set  $a_i = t$  otherwise.
- 5. Set  $R(I) = \sum_{i=0}^{\infty} a_i b^i$ .

Denote  $R(I_P)$  by  $D_R$ .

#### Embedding $T_P$ into the reals

Set 
$$f_P: D_R \to D_R: x \mapsto R(T_P(R^{-1}(x)))$$
.  

$$I \in I_P \xrightarrow{T_P} I' \in I_P$$

$$\uparrow_{R^{-1}} R \downarrow$$

$$x \in R(I) \xrightarrow{f_P} x' \in R(I)$$

$$f_P(R(I))$$

Domain of *f<sub>P</sub>* is *totally disconnected*. (Is *Cantor set*: discussed later.)
 → There is a *gap* between any two points.

# Approaching Topology

- [Funahashi 89] treats *continuous functions* (on  $\mathbb{R}^n$ ).
- We have seen *metrics* (distance functions) playing a role both on  $\mathbb{R}$  and on  $I_P$ .
- Iterative behaviour of  $T_P$  (convergence of iterates) important.
- Continuity, metrics, and convergence are notions known from  $\mathbb R.$
- They can be studied on much more general spaces (including  $I_P$ ).
- Corresponding mathematical subject: (Set-theoretic) *Topology*.

Topology:

- Qualitative and quantitative (metric) notions of *distance*.
- Continuity of functions in the sense of *preservation of limits*.
- Notions of approximation in various spaces.
- Abstracts, e.g. allows to link  $\mathbb{R}$  and  $I_P$  in a sound way.
- Bridge between the continuous (networks) and the discrete (logics).

# Table of Contents

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# Standard (natural) topology on ${\mathbb R}$

Open intervals: known.

Open sets (opens): All unions of open intervals.

Open neighborhood of  $x \in \mathbb{R}$ : Open set containing x.

The following hold:

(i) All unions of opens are open.

(ii) All *finite* intersections of opens are open.

Conditions (i) and (ii) define: The set of all opens is a *topology* on  $\mathbb{R}$ .

Note: Infinite intersections of open intervals are not necessarily open.

E.g. 
$$\bigcap_{n=1}^{\infty} \left[ 0 - \frac{1}{n}, 1 + \frac{1}{n} \right] = [0, 1].$$

 $\rightarrow$  A **topology**  $\mathcal{O}$  on a set X is a set of subsets of X s.t. (i) and (ii) hold.

*Note*: The *empty* union (= all of X) is in every topology!

### Standard (natural) topology on $\mathbb{R}$

Standing assumption (for us): There exists a countable subset  $\mathcal{B}$  of  $\mathcal{O}$  s.t. every open is a union of members of  $\mathcal{B}$ .

 $\mathcal{B}$  called a *base* for  $\mathcal{O}$ .

Condition called:  $\mathcal{O}$  is second countable (C2).

For  $\mathbb{R}$ : Take as base e.g. all intervals with rational endpoints.

S is a *subbase* of O if the set of all finite intersections of sets in S are a base for O.

**Subspace:** A subset  $X \subseteq \mathbb{R}$  inherits a topology from  $\mathbb{R}$ , consisting of all  $O \cap X$  for all  $O \in \mathcal{O}$ .

*Example*: [0, 1] as subspace of  $\mathbb{R}$ . Some open sets of [0, 1]:  $\emptyset$  [0, 1] [0, 0.5[] ]0.1, 0.6[...

# Standard (natural) topology on ${\mathbb R}$

**Convergence:** Sequence  $(x_n)$  converges to x (written  $x_n \to x$ ) iff  $(x_n)$  is *eventually* in every open neighborhood of x.

Note: Limits are not necessarily unique. Example:  $X = \{0, 1\}$ ,  $\mathcal{O} = \{\emptyset, X\}$ . Then the sequence  $1, 1, 1, \ldots$  converges to 0 and 1.

**Continuity:** A function  $f : \mathbb{R} \to \mathbb{R}$  is *continuous* iff the pre-image  $f^{-1}(O)$  is open for each open O.

Then:  $x_n \to x$  implies  $f(x_n) \to x$ .

This condition *characterizes* continuity on many spaces (e.g. C2 spaces).

All definitions hold also for more general topologies.

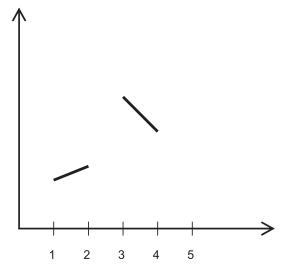
#### **Continuity: Example**

Functions on  $\mathbb{R}$  are continuous if they can be drawn without lifting the pen.  $x \mapsto 2x \quad x \mapsto x^2 \quad x \mapsto \ln x \quad x \mapsto 5 \sin \frac{x}{\pi} \dots$ 

Now consider the subspace  $X = [1, 2] \cup [3, 4] \subseteq \mathbb{R}$ . X consists of two connected pieces. X is *not connected* (as a whole).

 $f: X \to \mathbb{R}$ 

is continuous iff *on each of the connected parts it can be drawn without lifting the pen*. See example on the right.



# Cantor Space

A subspace of  $\mathbb{R}$ .

$$C = [0,1] \cap \left( \left[0,\frac{1}{3}\right] \cup \left[\frac{2}{3},1\right] \right) \cap \left( \left[0,\frac{1}{9}\right] \cup \left[\frac{2}{9},\frac{1}{3}\right] \cup \left[\frac{2}{3},\frac{7}{9}\right] \cup \left[\frac{8}{9},1\right] \right) \cap \dots$$

- Encoding 0=left, 1=right, each point x ∈ C is an (infinite) binary sequence seq(x).
- C is uncountable.
- There is a *gap* between each two points of C.
- A base:  $\{B_n(x) \mid x \in C\}$ , where

 $B_n(x) = \{y \in C \mid seq(x), seq(y) \text{ coincide on the first } n \text{ digits}\}.$ 

#### Metrics

A metric on a set X is a function  $d: X \times X \to \mathbb{R}$  which satisfies the following for all  $x, y, z \in X$ .

(i) 
$$d(x,y) = 0$$
 iff  $x = y$ .  
(ii)  $d(x,y) = d(y,x)$ .  
(iii)  $d(x,z) \le d(x,y) + d(y,z)$ . (triangle inequality)

Abstract notion of *distance*.
E.g. on ℝ: d(x, y) = |x - y|.

Collection of all  $B_{\varepsilon}(x) = \{y \in X \mid d(x, y) < \varepsilon\}$  is base of a topology. But not every topology comes from a metric!

- $B_{\varepsilon}(x)$  is called the open ball with center x and radius  $\varepsilon$ .
- Every metric induces exactly one topology!

*Metric inherited from*  $\mathbb{R}$ :

For  $x, y \in C$  set d(x, y) = |x - y|.

Induced topology: subspace topology inherited from  $\mathbb{R}$ .

*Prefix distance on sequences*:

For  $x, y \in C$  set  $\delta(x, y) = 2^{-n}$ , where n is least s.t. seq(x) and seq(y) differ on the n-th digit.

Prefix distance produces base  $\{B_{2^{-n}}(x) \mid x \in C\}$ , where  $B_{2^{-n}}(x) = \{y \in C \mid seq(x), seq(y) \text{ coincide on the first } n \text{ digits}\}.$  $\sim$  The base mentioned before!

We will call the prefix distance also Fitting metric.

#### **Cantor space**

- Can be characterized by the following properties: totally disconnected, compact, Hausdorff, second countable, dense in itself.
- It is a very *well-known* and omnipresent topological space.

- Cantor space sometimes known as *Cantor dust*.
- Cantor space is *self-similar*.

Zooming in on it produces a very similar picture.

- It is a *fractal* as known from *Chaos theory* or *topological dynamics*.
- Can be "produced" by iterated function systems.
  - $\rightsquigarrow$  More about this in two weeks!

# Table of Contents

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A topology on  $I_P$ : The atomic topology Q

[Batarekh & Subrahmanian 1989] [Seda 1995]

For each finite conjunction  $C = L_1 \land \cdots \land L_n$  of literals, set  $\mathcal{G}(C) = \{I \in I_P \mid I \models C\}.$  $\{\mathcal{G}(C) \mid C \text{ a finite conjunction of literals}\}$  is a *base* of the topology Q.

A sequence  $(I_n)$  in  $I_P$  converges wrt. Q to some  $I \in I_P$  iff

- For each  $A \in I$  exists  $n_0$  s.t. for all  $k \ge n_0$ :  $A \in I_k$ .
- For each  $A \notin I$  exists  $n_1$  s.t. for all  $m \ge n_1$ :  $A \notin I_m$ .

#### A result:

If  $T_P^n(K) \to I$  then  $I \subseteq T_P(I)$ , i.e. I is a model of P. If  $T_P$  is also continuous, then  $I = T_P(I)$ .

#### A topology on $I_P$ : The atomic topology Q

#### Another base for Q:

- Fix an enumeration (injective level mapping) on  $B_P$ :  $A_1, A_2, A_3, \ldots$
- Let C be conjunctions of the form L<sub>1</sub>,..., L<sub>n</sub>, where L<sub>i</sub> is A<sub>i</sub> or ¬A<sub>i</sub>. Set G(C) = {I ∈ I<sub>P</sub> | I ⊨ C} as before. Then {G(C) | C of the indicated form } is a base of the topology Q.
  (Clear: This is a subbase. But it really is also a base!)

Metric characterization of Q:

- $d(I, K) = 2^{-n}$ , where n is least such that the enumerations of elements in I and K differ at the n-th position.
- $\rightsquigarrow$  Exactly the same as the prefix distance (Fitting metric)!

#### $I_P$ and $\mathbb{R}$ — topological link

### Cantor space:

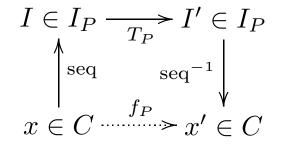
Dinary sequence	==	= =								

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(via the graphical construction) \rightsquigarrow x \in C \subset \mathbb{R}
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 $I_P$  with Q: binary sequence (via enumeration of interpretation)  $\rightsquigarrow I \in I_P$ 

Fitting metric (prefix distance) topologizes both spaces.

 $\Rightarrow I_P$  with Q is topologically the same as C!  $\Rightarrow f_P$  is continuous iff  $T_P$  is!



# [HKS99] in topological light

[Hitzler, Hölldobler & Seda, 2004]

- Injective level mapping = enumeration of  $B_P$ .
- R: I<sub>P</sub> → C has Cantor space as range. (may be different concrete set on ℝ, but topologically the same)
- *R* is a *topological homeomorphism* (mapping preserving the topological structure).
- Acyclicity of the program: guarantees continuity of T<sub>P</sub>.
   → More about this later.
- $\Rightarrow$  Hence:  $f_P$  as representation of  $T_P$  on  $\mathbb{R}$  is continuous and can be approximated by feedforward networks!

$$I \in I_P \xrightarrow{T_P} I' \in I_P$$

$$\uparrow_{R^{-1}} \qquad R \downarrow$$

$$x \in R(I) \xrightarrow{f_P} x' \in R(I)$$

# Table of Contents

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#### Funahashi's theorem revisited

**Theorem** (Funahashi 1989, simplified version):

 $\sigma$  sigmoidal

 $K \subseteq \mathbb{R}$  compact,  $f: K \to \mathbb{R}$  continuous,

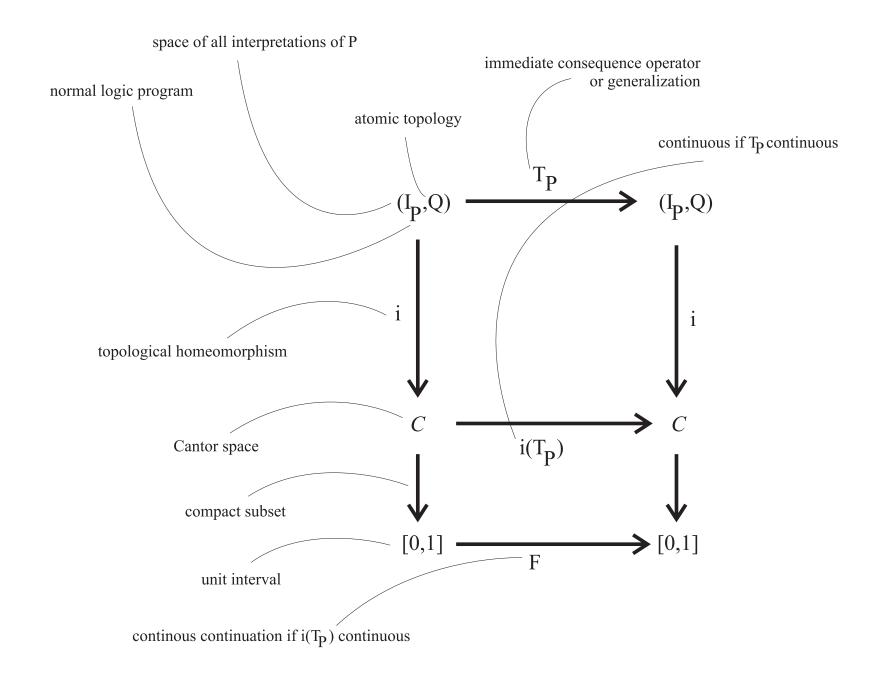
 $\varepsilon > 0.$ 

Then there exists perceptron with sigmoidal  $\sigma$  and I/O-function  $\overline{f}: K \to \mathbb{R}$  with

$$\max_{x \in K} \left\{ d\left(f(x), \bar{f}(x)\right) \right\} < \varepsilon;$$

d metric which induces natural topology on  $\mathbb R.$ 

I.e. every continuous function  $f: K \to \mathbb{R}$  can be uniformly approximated by I/O-functions of perceptrons.



# Table of Contents

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#### General consequence operators: multi-valued logics

- Truth values  $\mathcal{T} = \{t_1, \ldots, t_n\}.$
- Interpretations are functions  $I: B_P \to \mathcal{T}$ .
- $I_{P,n} = I_P$  set of all interpretations.
- B<sub>A</sub> set of all atoms in bodies of clauses in ground(P) with head
   A ∈ B<sub>P</sub>.
- $T: I_P \to I_P$  consequence operator for P, if for all  $I \in I_P$  and all  $A \leftarrow \text{body in } P$  we have that  $T(I)(A) \leftarrow I(\text{body})$  holds via truth table.
- *T* local if T(I)(A) = T(K)(A) for all  $A \in B_P$  and all  $I, K \in I_P$  which agree on  $\mathcal{B}_A$ .
- $T_P$  is a local consequence operator.

Other examples: Operators as defined by Fitting (1985,199x) in three- or four-valued logic.

### Cantor topology $\mathcal{Q}$

- For Q, we considered *binary* sequences (two truth values).
- Now consider sequences, where each element can be one out of n values (n truth values).

Use the same prefix distance:

For  $I, K \in I_{P,n}$  set  $\delta(I, K) = 2^{-n}$ , where n is least s.t. I and K differ on the n-th element.

Topological structure turns out to be the same!

 $\Rightarrow \mathcal{Q} \text{ is the same as the Cantor topology!}$  $\Rightarrow I_{P,n} \text{ is the same as Cantor space!}$  Approximation of continuous consequence operators

Theorem (Hitzler & Seda 2003)

Let P be a logic program, T be a locally finite consequence operator, and  $\iota$  be a homeomorphism from  $(I_{P,n}, \mathcal{Q})$  to  $\mathcal{C}$ . Then  $\iota(T)$  can be uniformly approximated by I/O-functions of 3lfns.

This holds *mutatis mutandis* e.g. for radial basis function networks (activation function is gaussian).

 $\iota$  normally given via some enumeration (injective level mapping)  $l: B_P \to \mathbb{N}$ and some corresponding *p*-adic expansion.

There exist *uncountably many homeomorphisms* from  $I_P$  to C. Lots of degrees of freedom!

#### Characterizing continuity in ${\cal Q}$

Consequence operator T on  $I_P$  is *locally finite*, if for all  $A \in B_P$  and all  $I \in I_P$  there exists a finite set  $S \subseteq \mathcal{B}_A$  with T(J)(A) = T(I)(A) for all  $J \in I_P$  which agree with I on S.

#### Theorem

A local consequence op. is locally finite iff it is continuous in Q.

Sufficient:

• *P* is *covered*, i.e. does not contain any *local variables* (occuring in some body, but not in corresponding head).

# **Continuity of** $T_P$

Continuity of  $T_P$  is guaranteed under any of the following conditions:

- *P* is propositional.
- P does not contain function symbols.
- P is acyclic with respect to an injective level mapping.
- *P* is covered.

#### **Open Question**

Describe a maximal class  $\mathcal{A}$  of programs such that for each  $P \in \mathcal{A}$  there exists a *covered* program Q with  $T_Q = T_P$ .

Does  $\mathcal{A}$  contain all programs P with continuous  $T_P$ ? Probably not, but it may contain all computationally relevant such programs.

# Table of Contents

- 1. Short review of [HKS99]
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#### **Recursive architecture: Reasoning**

T locally finite consequence operator. f approximating  ${\rm I/O}\mbox{-function}.$ 

• For all  $I \in I_P$  and  $n \in \mathbb{N}$  we have  $|f^n(\iota(I)) - \iota(T^n(I))| \leq \varepsilon \frac{1-\lambda^n}{1-\lambda}$ .

Need:  $\lambda$  Lipschitz-constant of F (i.e.  $|F(x) - F(y)| \le \lambda |x - y|$  for all x, y), and F the continuation of  $\iota(T)$  to [0, 1].  $\varepsilon$  bound on approximation error.

• If F is contraction, then  $(F^k(\iota(I)))$  converges for all I to unique fixed point x of F and  $\exists m \in \mathbb{N} \ \forall n \ge m$ :  $|f^n(\iota(I)) - x| \le \varepsilon \frac{1}{1-\lambda}$ . Furthermore, T is a contraction on the complete space  $I_P$  (with suitable metric), and we have  $\iota(M) = x$  for the unique fixed point M of T.

• Assume there is  $I \in I_P$  s.t.  $T^n(I)$  converges in  $\mathcal{Q}$  to a fixed point M of T.

Then for every  $\delta > 0$  there exists some  $n \in \mathbb{N}$  and a network with  $|f^n(\iota(I)) - \iota(M)| < \delta.$ 

# Table of Contents

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#### **Remarks on measurability**

An alternative to [Funahashi 89]:

**Theorem** (Hornik, Stinchcombe, White 1989, simplified version)

 $\sigma:\mathbb{R}\rightarrow(0,1)$  monotonic increasing, onto.

- $f:\mathbb{R} \to \mathbb{R}$  Borel measurable,
- $\mu$  Borel probability measure on  $\mathbb R,$

 $\varepsilon > 0.$ 

Then there is a perceptron with sigmoidal activation function  $\sigma$  and I/O-function  $\overline{f}: \mathbb{R} \to \mathbb{R}$  with

$$\varrho_{\mu}(f,\bar{f}) = \inf\left\{\delta > 0 : \mu\left\{x : \left|f(x) - \bar{f}(x)\right| > \delta\right\} < \delta\right\} < \varepsilon.$$

I.e. the set of I/O-functions which can be computed using 3Ifns is dense with respect to  $\rho_{\mu}$  in the set of all Borel measurable functions  $f : \mathbb{R} \to \mathbb{R}$ . Measurable consequence operators

Theorem (Hitzler & Seda 2000)

Local consequence operators are always measurable with respect to  $\sigma(\mathcal{Q})$ .

But:

Approximation by networks is only *almost everywhere*.

Cantor set has measure 0.

Result can be improved to some extent, but principal problem remains.  $\Rightarrow$  Continuity approach appears to be more promising!

# Table of Contents

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- 2. Topology
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#### Non-monotonic reasoning

Fixed points of operator  $GL_P$  yield the *stable models* of P. (as in Answer Set Programming)

[DK89] Phan M. **Dung** and Kanchana **Kanchanasut**, A fixpoint approach to declarative semantics of logic programs. Proc. NACLP'89, 1989.

Program transformation  $P \mapsto \operatorname{fix}(P)$ .

Complete unfolding through positive body literals.

[Wen02] Matthias **Wendt**, Unfolding the well-founded semantics, Journal of Electrical Engineering 2002.

Shows  $GL_P(I) = T_{fix(P)}(I)$  for all interpretations I.

 $\sim$  Allows to carry over results. (Bader & Hitzler, in preparation)  $\sim$  Works similarly also for well-founded semantics.

Quasi-interpretation K: set of clauses of form  $A \leftarrow \neg B_1, \ldots, \neg B_m$ .

Program P: set of (ground) clauses of form  

$$A \leftarrow A_1, \ldots, A_n, \neg B_1, \ldots, \neg B_m.$$

$$\begin{array}{ll} T'_P(K) \mbox{ set of } A \leftarrow \mbox{body}_1, \dots, \mbox{body}_n, \neg B_1, \dots, \neg B_m \\ \mbox{where} & A \leftarrow A_1, \dots, A_n, \neg B_1, \dots, \neg B_m & \mbox{ in } P \\ \mbox{and} & A_i \leftarrow \mbox{body}_i & \mbox{ in } K \mbox{ for all } i. \end{array}$$

 $T'_P \uparrow \omega = \operatorname{fix}(T'_P) = \operatorname{fix}(P)$  quasi-interpretation.

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# Thank You!

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